



## 2002-2003 Budget Plan for Academic Affairs

Date: 11/18/2002

### Explanatory Comments

In his invitation to the November 22, 2002 meeting of the University Budget Committee, the Chair of the Academic Senate requested a "recap and current status of 2002-2003 CSU, Chico Budget." This Excel file contains Academic Affairs' response to the invitation to submit detailed information. Please note that the file (workbook) is made up of a number of worksheets, each addressing a particular component of the recap and the current status of the AA budget plan for 2003-2004.

(Click on each of the tabs on the bottom of your screen).

### % Scenarios

This worksheet, first presented to UBC at the May 2, 2002 meeting, computes the base reductions to the units within Academic Affairs under various percent reduction scenarios. The worksheet is now augmented with a column highlighting the actual base budget reductions assigned to each AA unit for 2002-2003.

*5 Percent Reductions (2001-2002 Base)* \$ 4,549,233.02

### AA Reductions

On April 26, 2002 the VPAA sent this worksheet to each member of UBC with the request to rankorder sources for budget reductions and to recommend specific amounts of reduction for a set of items. We use this same worksheet to report on AA budget reduction decisions for 2002-2003.

*Total Reductions for 2002-2003* \$ 4,779,775.20

### Balance w 5%

This worksheet attempts to highlight the impact of anticipated, rumored further budget reduction possibly materializing in January of 2003. The worksheet shows funds available for budget reduction and net amounts remaining under the various additional budget reduction scenarios of 2%, 3%, 4% and 5%.

### Sources

In this worksheet we present the planned/actual sources of revenue for Academic Affairs for the year 2002-03. The primary source of funding is Exhibit III, i.e. Academic Affairs' portion of the CSU, Chico budget for 2002-03 approved by Cabinet on October 18, 2002. Academic Affairs also receives other funding from Lottery, internal university transactions, the Chancellor's Office, and One-Time Special Funding.

*Total Expected Sources* \$ 97,378,571.00

### Allocations

The Allocation worksheet presents the planned allocations of the sources of revenues for Academic Affairs.

The allocations are presented under the headings of base-, on-going- and one-time (other) allocations.

The subheadings under each category specify the programmatic efforts undertaken by Academic Affairs in 2002-03.

*Total Planned Allocations* \$ 95,094,056.67

### SBAs

Provost McNall engages in strategic budgeting in which part of the overall AA budget is allocated to initiatives and units which contribute to the accomplishment of the strategic priorities of the university in general and Academic Affairs in particular. This worksheet presents the details on the strategic and performance-based allocations planned for 2002-03.

*Total Planned Strategic Allocations* \$1,000,000.00

### Lottery

The University receives supplemental funding as a result of the California State Lottery Act of 1984. The intent of this funding is to enhance the value of instructional programs. This spreadsheet provides information on planned allocation of lottery funds by Academic Affairs.

*Total Available for AA Lottery Allocations* \$ 1,334,051.00



**California State University, Chico**  
**Academic Affairs**  
**Planning for FY 2002-2003** (UBC May 02 Presentation)

GF BASE TOTAL*		BUDGET REDUCTION LEVEL					ACTUAL
COLLEGE/UNIT	2001-2002	1%	3%	5%	10%	15%	BASE REDUCTION
AA S&W Benefits	11,425,893	114,259	342,777	571,295	1,142,589	1,713,884	
Acad Sen	107,063	1,071	3,212	5,353	10,706	16,059	5,353
AGR	2,476,452	24,765	74,294	123,823	247,645	371,468	123,823
BSS	10,491,230	104,912	314,737	524,562	1,049,123	1,573,685	524,562
BUS	5,575,666	55,757	167,270	278,783	557,567	836,350	278,783
CME	11,107,442	111,074	333,223	555,372	1,110,744	1,666,116	535,986
ECT	5,092,684	50,927	152,781	254,634	509,268	763,903	254,634
ENR	3,525,542	35,255	105,766	176,277	352,554	528,831	108,311
GRAD	1,598,213	15,982	47,946	79,911	159,821	239,732	79,911
HFA	11,790,691	117,907	353,721	589,535	1,179,069	1,768,604	589,535
HR	1,323,759	13,238	39,713	66,188	132,376	198,564	66,188
Inst Res	211,149	2,111	6,334	10,557	21,115	31,672	0
IR	9,915,323	99,153	297,460	495,766	991,532	1,487,298	495,766
NS	9,084,265	90,843	272,528	454,213	908,427	1,362,640	454,213
OVPA A	1,779,999	17,800	53,400	89,000	178,000	267,000	99,557
RCE	311,274	3,113	9,338	15,564	31,127	46,691	15,564
UED	752,014	7,520	22,560	37,601	75,201	112,802	0
UPE	363,936	3,639	10,918	18,197	36,394	54,590	0
							3,632,186
OVPA A: EXH III	4,052,065	40,521	121,562	202,603	405,207	607,810	917,047
<b>TOTAL:</b>	<b>90,984,660</b>	<b>909,847</b>	<b>2,729,540</b>	<b>4,549,233</b>	<b>9,098,466</b>	<b>13,647,699</b>	<b>4,549,233</b>

(11-16-01)

\* Includes Workstudy; may not be used for reductions



**California State University, Chico**  
**Academic Affairs**  
 FY 2002-2003

**Five Percent-Level Reductions for Academic Affairs**

**\$ 4,549,233.00**

			<b>AA Reduction Decisions</b>
	<b>Proposed Reductions</b>	↓	↓
<b>AA Budget Item</b>			
<b>Units' Response to 5% Reduction Scenario</b>			
TT Faculty	\$ 1,121,294.00	(TT+PT Faculty ->)	\$ 2,368,170.00
PT Faculty	1,120,967.00	_____	_____
Administration	247,572.00	(MPP + Staff ->)	714,186.00
Staff	571,739.00	_____	_____
OT/Th	63,000.00	_____	13,000.00
Operating Expenses	590,538.00	_____	536,830.00
	<i>Subtotal</i>		3,632,186.00

**VPAA Office Candidates for Reduction**

	<b>2001-2002 Plan / Actual</b>		
Strategic Budget Allocations			
<i>General Initiatives</i>	500,000.00	_____	500,000.00
<i>Performance Dollars</i>	1,000,000.00	_____	-
Remodeling AA Space	200,000.00	_____	200,000.00
Faculty Development	285,000.00	<i>Included in Base</i>	-
Assessment of GE	48,000.00	<i>Included in One-time</i>	-
Writing Center	60,813.50	<i>Included in One-time</i>	-
Provost Reserve	200,000.00	_____	200,000.00
Enrollment Support	313,360.00	_____	-
Program Support	400,000.00	_____	36,850.00
One-time Transactions	662,000.00	_____	210,739.20
	<i>Subtotal</i>		1,147,589.20

**Total Reductions**

**\$ 4,779,775.20**



## 2002-2003 Budget Plan for Academic Affairs Further Reduction Scenarios

Date: 11/18/2002

			<b>Comments</b>
<b>Exhibit III Sources</b> (incl -1.6%)		\$ 95,692,353.00	
Base Expenditures		\$ 88,026,383.69	From Base Allocation spreadsheet <b>with</b> 5% reduction + benefits
Base YRO Expenditures ( <b>est</b> )		1,200,000.00	Summer 2002 actual expenditures to be finalized; base allocations for next years to be determined
On-Going Expenditures		361,797.00	From allocation drill downs; administrative obligations and AA support for CFA, EOSR, GEAC
		\$ 89,588,180.69	
<b>Available for VPAA One-Time Allocations and Budget Reductions</b>			
		<b>\$ 6,104,172.31</b>	
One-Time Allocations		3,604,708.98	One-Time VPAA Allocation at this point are \$3,555,708.98 with 5% budget reduction (includes 1.6%); any further promises of new funding or extra funding will add to this value and reduce our funding for budget reductions
<b>Available for Budget Reductions</b>			
		<b>\$ 2,499,463.33</b>	
<b>Further Reduction Scenarios</b>			
2% further reduction		1,913,847.06	
	<b>Net</b>	<b>\$ 585,616.27</b>	
3% further reduction		2,870,770.59	
	<b>Net</b>	<b>\$ (371,307.26)</b>	
4% further reduction		\$ 3,827,694.12	
	<b>Net</b>	<b>\$ (1,328,230.79)</b>	
5% further reduction		4,784,617.65	
	<b>Net</b>	<b>\$ (2,285,154.32)</b>	



## 2002-2003 Budget Plan for Academic Affairs

Date: 11/18/2002

### Sources

<b>Exhibit III :</b>	<b>2001/2002 ACTUAL</b>	<b>2002/2003 PLANNED</b>	<b>Comments</b>
Salary and Wages	\$ 71,285,450.00	\$ 72,649,934.00	CSUC 2002-03 Budget Plan, Exhibit III, Provost and VPAA
Benefits	14,282,631.00	14,724,452.00	
General Operation Expenses	8,601,906.00	8,319,955.00	
Workstudy	929,543.00	1,002,103.00	See Exhibit VII for details on change; includes IRA
Workstudy Match	(230,919.00)	(230,919.00)	
Redirection	(685,191.00)	(427,581.00)	See Exhibit IV for details
Reserve Contributions	(345,591.00)	(345,591.00)	
<b>Subtotal Exhibit III Sources</b>	<b>\$ 93,837,829.00</b>	<b>\$ 95,692,353.00</b>	Subtotal Provost, CSUC Budget Plan, Exhibit III
<b>Other Funding</b>			
AA Share of Lottery	1,184,966.00	1,108,002.00	See exhibit VIII for details; also separate worksheet
One-Time Lottery	570,690.12		
University Base Adjustments	719,316.00		One-time Library and Academic Technology for 2001-02; rollover; S&W adjustments
University Transactions	1,748,584.79	11,100.00	
University Fees Distribution	1,191,226.00		
<b>Subtotal Other Funding</b>	<b>\$ 5,414,782.91</b>	<b>\$ 1,119,102.00</b>	
<b>Special One-Time Funding</b>	<b>\$ 670,631.00</b>	<b>\$ 567,116.00</b>	Pending
<b>Total Available Sources</b>	<b>\$ 99,923,242.91</b>	<b>\$ 97,378,571.00</b>	



## 2002-2003 Budget Plan for Academic Affairs

### Allocations

	2001/2002 ACTUAL	2002/2003 PLANNED	Comments
<b>Base and Base Adjustments</b>			
AA General Fund Base Allocation	\$ 89,387,908.00	\$ 87,858,157.00	Includes 5 percent base budget reduction for units within AA at - \$3,632,186
Teacher Preparation Program		-	
New Faculty/ Staff Position(s)		125,226.69	
Other Base Adjustments		43,000.00	
<b>On-Going Allocations</b>			
AA Support of CFA, EOSR, GEAC	20,020.00	61,760.00	
Administrative Obligations	251,212.00	300,037.00	
<b>One-Time Allocations</b>			
Facilities Improvement Projects	204,198.00	-	<a href="#">REDUCTION OF \$ 200,000 from 2001-02 plan</a>
Misc. Non-Permanent Allocations	22,832.53	50,000.00	
OVPAA Program Support	481,637.64	363,150.00	<a href="#">REDUCTION OF \$ 36,850 from 2001-02 plan</a>
VPAA Strategic Budget Allocations	1,263,879.00	1,000,000.00	<a href="#">REDUCTION OF \$ 500,000 from 2001-02 plan; See worksheet for details</a>
Provost Reserve Fund	49,151.01	-	<a href="#">REDUCTION OF \$ 200,000 from 2001-02 plan</a>
One-Time Enrollment Transactions	1,901,608.00	2,000,000.00	Impaction and Enrollment Growth Support
Other One-Time Transactions	2,570,935.83	191,558.98	<a href="#">REDUCTION OF \$ 210,739.20 from 2001-02 plan; some decisions pending</a>
Internal Transactions	1,569,622.53	-	
YRO Summer 2002		1,200,000.00	See separate worksheet for details; some action pending
<b>Other One-Time Allocations</b>			
Lottery	1,088,209.94	1,334,051.00	See separate worksheet for details
Lottery Special Library Allocation	150,000.00	-	
Lottery Technology	291,397.43	-	
Special One-Time External Funding	670,631.00	567,116.00	Pending
<b>Total Proposed Allocations</b>	<b>\$ 99,923,242.91</b>	<b>\$ 95,094,056.67</b>	
Total Available Sources	99,923,242.91	97,378,571.00	
Balance (Shortfall)	-	2,284,515.00	
Budget Deficit Tolerance		-	
<b>Overall Balance (Shortfall)</b>		<b>\$ 2,284,515.00</b>	



## 2002-2003 Budget for Academic Affairs

Date: 11/18/2002

	2001/2002 ACTUAL	2002/2003 PLANNED	<i>Requests and Comments</i>
<b>General Strategic Initiatives</b>			
Increase in Temporary Help (5%)	43,379.00		
Augmentation for New Faculty Development	50,000.00		
Technology Initiatives	325,000.00		
<b>Subtotal General Strategic Initiatives</b>	<b>\$ 418,379.00</b>	<b>\$ -</b>	
<b>Performance-Based Allocations</b>			
Colleges	707,500.00	671,000.00	Agreed to \$1,000,000 for unit performance funding for 02-03
Support Units	138,000.00	152,500.00	
New faculty		77,000.00	
<b>Subtotal Performance-based</b>	<b>\$ 845,500.00</b>	<b>\$ 900,500.00</b>	RF Incentive Funds not sufficient; AA commitment made for 02-03: 39 new faculty for 2002-03 and 38 continuing new faculty who started in 2001-02.
<b>Total Strategic Budget Allocations</b>	<b>\$ 1,263,879.00</b>	<b>\$ 900,500.00</b>	
Reserve	236,121.00	99,500.00	Available to mitigate budget reductions/ address unanticipated needs
<b>TOTAL</b>	<b>\$1,500,000.00</b>	<b>\$1,000,000.00</b>	

**Criteria for Performance-Based Allocations**

(not in order of importance)

		Strategic Plan Priority #
1	FTES.	5
2	Progress in unit development efforts, including collegewide participation in Telethon.	5
3	Grants and contracts activities.	2,5
4	Creation of high quality learning environments, including who won HQLA award, who participated in CELT activities, which students got undergraduate awards.	1
5	Use of technology to enhance the learning environment.	1,3
6	Faculty/staff development, especially efforts to mentor new faculty.	2
7	Outreach, especially K-12 participation.	4
8	Accomplishment of specific annual goals.	5
9	Use of previous strategic/performance-based allocations.	5
10	Willingness to solve problems internally.	5
11	Quality of recruiting efforts.	2
12	ITFS/on line efforts/initiatives.	1



## 2002-2003 Budget Plan for Academic Affairs

Date: 11/18/2002

	2001/2002 ACTUAL	2002/2003 PLANNED	<i>Comments</i>
<b><i>Lottery Sources</i></b>			
Campus-based programs	\$ 1,184,966.00	1,108,002.00	See Exhibit VIII
One-time Allocations 00-01			
AA Rollover	120,690.12	226,049.00	Estimated rollover subject to change
One-Time Allocations 01-02	450,000.00		
<b>Total Sources</b>	<b>\$ 1,755,656.12</b>	<b>\$ 1,334,051.00</b>	
<b><i>Lottery Uses</i></b>			
Library	\$ 751,000.00	\$ 751,000.00	
Library Augmentation	250,000.00	200,000.00	To further implement Library Strategic Plan and Special Needs
UPE (Fadiman)	8,979.94		
CELT	32,000.00	7,000.00	(2001-02: Small grants \$25,000; New Faculty \$7,000); 2002-03 New Faculty \$7,000
Faculty Development/Hospitality	46,230.00	50,000.00	One-time allocation for 2002-03
Honors Enhancement Program	-	4,500.00	One-time allocation for 2002-03
Technology Development	291,397.43		One-time allocation for 2001-02
Library (One-Time)	150,000.00		One-time CO allocation for 2001-02
<b>Subtotals</b>	1,529,607.37	1,012,500.00	
<b>Unallocated / Reserve</b>	226,048.75	321,551.00	To meet likely reduction and possible requests/needs: Yolo Hall; Info Resources; CELT; Shop 2 Replacements
<b>Total Allocations</b>	<b>\$ 1,755,656.12</b>	<b>\$ 1,334,051.00</b>	