



MATSON  
& ISOM

THE CSU, CHICO  
RESEARCH FOUNDATION

**Chico, California**

FINANCIAL STATEMENTS AND  
SUPPLEMENTARY INFORMATION WITH  
INDEPENDENT AUDITORS' REPORTS

**June 30, 2012 and 2011**

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June 30, 2012 and 2011

*The CSU, Chico  
Research Foundation*

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## INDEPENDENT AUDITORS' REPORT

To the Board of Directors  
The CSU, Chico Research Foundation  
Chico, California

We have audited the accompanying financial statements of the business-type activities of The CSU, Chico Research Foundation (the Research Foundation), as of June 30, 2012 and 2011, and for the years then ended, which collectively comprise the Research Foundation's basic financial statements as listed in the table of contents. These financial statements are the responsibility of the Research Foundation's management. Our responsibility is to express opinions on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinions.

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the business-type activities of the Research Foundation, as of June 30, 2012 and 2011, and the respective changes in financial position and cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

As explained in note 1, the financial statements include investments valued at \$1,163,377 (4.53% of net assets) and \$1,157,607 (4.76% of net assets) as of June 30, 2012 and 2011, respectively, whose fair values have been estimated by the Research Foundation in the absence of readily determinable fair values. The Research Foundation's estimates are based on information provided by the fund managers.

In accordance with *Government Auditing Standards*, we have issued our report dated September 17, 2012, on our consideration of the Research Foundation's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance, and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of our audit.

The Research Foundation has omitted the management's discussion and analysis (MD&A) that accounting principles generally accepted in the United States of America require to be presented to supplement the basic financial statements. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. Our opinion on the basic financial statements is not affected by this missing information.

## INDEPENDENT AUDITORS' REPORT

Continued

Our audits were conducted for the purpose of forming opinions on the financial statements that collectively comprise the Research Foundation's basic financial statements. The accompanying schedule of expenditures of federal awards and the financial information listed as supplementary information in the table of contents are presented for purposes of additional analysis as required by the U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*; the California State University Chancellor's Office; or by management; and are not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

*Matson and Isom*

September 17, 2012  
Chico, California

# STATEMENTS OF NET ASSETS

*The CSU, Chico  
Research Foundation*

June 30	2012	2011
<b>ASSETS</b>		
<b>CURRENT ASSETS</b>		
Cash and cash equivalents	\$ 1,396,204	\$ 525,335
Short-term investments	7,526,656	7,887,743
Accounts receivable - net	7,683,710	7,158,332
Prepaid expenses and other assets	483,587	401,688
<b>Total Current Assets</b>	<b>17,090,157</b>	<b>15,973,098</b>
<b>NONCURRENT ASSETS</b>		
Notes receivable	71,130	38,538
Other long-term investment	16,500	16,500
Capital assets - net	15,829,264	16,272,750
Other assets	685,575	1,078,622
<b>Total Noncurrent Assets</b>	<b>16,602,469</b>	<b>17,406,410</b>
<b>Total Assets</b>	<b>\$ 33,692,626</b>	<b>\$ 33,379,508</b>
<b>LIABILITIES AND NET ASSETS</b>		
<b>CURRENT LIABILITIES</b>		
Accounts payable	\$ 1,138,090	\$ 2,160,730
Accrued salaries and benefits payable	882,952	874,510
Accrued compensated absences	52,234	48,899
Deferred revenue	1,600	1,725
Long-term debt obligations - current portion	635,300	630,300
Other current liabilities	35,540	40,551
<b>Total Current Liabilities</b>	<b>2,745,716</b>	<b>3,756,715</b>
<b>NONCURRENT LIABILITIES</b>		
Accrued compensated absences - net of current portion	20,951	16,565
Long-term debt obligations - net of current portion	4,188,971	4,333,971
Depository accounts	9,925	11,550
Other postemployment benefits obligation	552,934	518,730
Other long-term liabilities	503,867	412,177
<b>Total Noncurrent Liabilities</b>	<b>5,276,648</b>	<b>5,292,993</b>
<b>Total Liabilities</b>	<b>8,022,364</b>	<b>9,049,708</b>
<b>NET ASSETS</b>		
Invested in capital assets - net of related debt	11,340,024	11,645,126
Restricted for:		
Expendable:		
Research	1,093,883	1,079,996
Loans	50,101	50,101
Capital projects	-	111,613
Other	734,836	710,329
Unrestricted	12,451,418	10,732,635
<b>Total Net Assets</b>	<b>25,670,262</b>	<b>24,329,800</b>
<b>Total Liabilities and Net Assets</b>	<b>\$ 33,692,626</b>	<b>\$ 33,379,508</b>

*The accompanying notes are an integral part of these financial statements.*

**STATEMENTS OF REVENUES, EXPENSES,  
AND CHANGES IN NET ASSETS**

*The CSU, Chico  
Research Foundation*

Years Ended June 30	<b>2012</b>	<b>2011</b>
<b>REVENUES</b>		
<b>OPERATING REVENUES</b>		
Grants and contracts - noncapital:		
Federal	\$ 20,762,465	\$ 19,305,384
State	4,046,276	3,863,318
Local	1,852,643	1,268,502
Nongovernmental	2,656,068	2,582,473
Sales and services of educational activities	2,146,812	2,193,454
Sales and services of auxiliary enterprises	4,466,642	4,192,467
Other operating revenues	4,802,115	5,200,497
<b>Total Operating Revenues</b>	<b>40,733,021</b>	<b>38,606,095</b>
<b>EXPENSES</b>		
<b>OPERATING EXPENSES</b>		
Instruction	3,442,656	3,605,301
Research	2,288,175	2,390,259
Public services	22,327,664	19,764,332
Academic support	5,384,354	5,151,769
Student services	1,575,111	1,897,036
Institutional support	2,569,161	3,021,646
Operation and maintenance of plant	312,860	431,915
Student grants and scholarships	140,658	223,630
Auxiliary enterprises expenditures	1,796,882	1,924,202
Depreciation and amortization	1,051,820	900,503
<b>Total Operating Expenses</b>	<b>40,889,341</b>	<b>39,310,593</b>
<b>Net Operating Loss</b>	<b>(156,320)</b>	<b>(704,498)</b>
<b>NONOPERATING REVENUES (EXPENSES)</b>		
Investment income - net	74,038	320,989
Interest expense	(197,111)	(207,710)
Other nonoperating revenue	1,323,626	1,347,198
<b>Net Nonoperating Revenues</b>	<b>1,200,553</b>	<b>1,460,477</b>
<b>Income Before Other Additions</b>	<b>1,044,233</b>	<b>755,979</b>
Grants and gifts - capital	296,229	385,026
<b>Increase in Net Assets</b>	<b>1,340,462</b>	<b>1,141,005</b>
<b>Net Assets - Beginning of Year - as Previously Reported</b>	<b>23,535,172</b>	<b>23,188,795</b>
<b>Recognize Receipt of Capital Gift</b>	<b>794,628</b>	<b>-</b>
<b>Net Assets - Beginning of Year - as Restated</b>	<b>24,329,800</b>	<b>23,188,795</b>
<b>Net Assets - End of Year</b>	<b>\$ 25,670,262</b>	<b>\$ 24,329,800</b>

*The accompanying notes are an integral part of these financial statements.*

**STATEMENTS OF CASH FLOWS***The CSU, Chico  
Research Foundation*

Page 1 of 2

Years Ended June 30	2012	2011
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Federal grants and contracts	\$ 20,955,123	\$ 19,807,690
State grants and contracts	3,859,158	4,887,242
Local grants and contracts	1,996,439	1,251,350
Nongovernmental grants and contracts	2,225,994	2,995,502
Payments to suppliers	(22,639,558)	(18,953,320)
Payments to employees	(17,982,485)	(18,764,848)
Payments to students	(269,650)	(275,750)
Sales and services of educational activities	2,248,948	2,261,930
Sales and services of auxiliary enterprises	4,399,943	4,190,632
Other receipts	5,000,341	4,401,876
<b>Net Cash Provided (Used) by Operating Activities</b>	<b>(205,747)</b>	<b>1,802,304</b>
<b>CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES</b>		
Other	1,259,560	850,981
<b>CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES</b>		
Capital grants and gifts	296,229	385,026
Proceeds from sale of capital assets	636,968	1,590
Acquisitions of capital assets	(1,181,236)	(624,498)
Principal paid on capital debt and lease	(140,000)	(194,252)
Interest paid on capital debt	(197,438)	(207,995)
<b>Net Cash Used by Capital and Related Financing Activities</b>	<b>(585,477)</b>	<b>(640,129)</b>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>		
Investment income	91,745	93,148
Purchase of investments	(21,649,942)	(23,835,369)
Withdrawals from investments	21,960,730	21,481,345
<b>Net Cash Provided (Used) by Investing Activities</b>	<b>402,533</b>	<b>(2,260,876)</b>
<b>Increase (Decrease) in Cash and Cash Equivalents</b>	<b>870,869</b>	<b>(247,720)</b>
<b>Cash and Cash Equivalents - Beginning of Year</b>	<b>525,335</b>	<b>773,055</b>
<b>Cash and Cash Equivalents - End of Year</b>	<b>\$ 1,396,204</b>	<b>\$ 525,335</b>

*The accompanying notes are an integral part of these financial statements.*



**STATEMENTS OF CASH FLOWS***The CSU, Chico  
Research Foundation*

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Years Ended June 30	2012	2011
<b>RECONCILIATION OF NET OPERATING LOSS TO NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES</b>		
Operating loss	\$ (156,320)	\$ (704,498)
Adjustments to reconcile operating loss to net cash provided by operating activities:		
Depreciation and amortization expense	1,051,820	900,503
Change in assets and liabilities:		
Accounts receivable - net	(525,378)	1,815,031
Prepaid expenses and other assets	311,148	(580,974)
Accounts payable	(1,022,640)	453,083
Accrued salaries and benefits payable	8,442	(9,487)
Accrued compensated absences	7,721	(7,895)
Deferred revenue	(125)	(7,950)
Depository accounts	(1,625)	1,025
Other postemployment benefits obligation	34,204	(63,969)
Other liabilities	87,006	7,435
<b>Net Cash Provided (Used) by Operating Activities</b>	<b>\$ (205,747)</b>	<b>\$ 1,802,304</b>
<b>SUPPLEMENTAL SCHEDULE OF NONCASH ACTIVITIES</b>		
Cost of equipment purchased	\$ -	\$ (1,419,126)
Donated equipment	-	794,628
<b>Cash Used to Purchase Equipment</b>	<b>\$ -</b>	<b>\$ (624,498)</b>

*The accompanying notes are an integral part of these financial statements.*

**1. NATURE OF ACTIVITIES AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Nature of Activities** The CSU, Chico Research Foundation (the Research Foundation) is a not-for-profit auxiliary organization of California State University, Chico (CSU, Chico), that administers various funds and performs other activities related to the CSU, Chico community. The Research Foundation administers the grants and contracts as well as the nonphilanthropic agency accounts, incentive accounts, and enterprise activities.

**Basis of Accounting** Pursuant to the requirements established by the Chancellor of the California State University, the Research Foundation has adopted the provisions of Statement No. 35 of the Governmental Accounting Standards Board (GASB), *Basic Financial Statements—and Management’s Discussion and Analysis—for Public Colleges and Universities*. With minor exceptions, this statement provides that public colleges and universities are subject to the financial reporting requirements of GASB Statement No. 34, which is applicable to state and local governments. The Research Foundation is an auxiliary organization to CSU, Chico; and, therefore, is determined to be a component unit of CSU, Chico, in accordance with GASB Statement No. 14, *The Financial Reporting Entity*, as amended by GASB Statement No. 39, *Determining Whether Certain Organizations are Component Units*. All component units are required to adopt GASB Statement Nos. 34 and 35. Management has elected not to present management’s discussion and analysis (MD&A) that GASB has determined is necessary to supplement, although not required to be a part of, the basic financial statements.

The financial statements are prepared using the economic measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when incurred.

**Cash and Cash Equivalents** Cash and cash equivalents are defined as short-term, highly liquid investments that are readily convertible to known amounts of cash and present insignificant risk of change in value because of a change in interest rates. They consist of cash on hand and in commercial checking and savings accounts.

The Research Foundation has secured a contract for deposit of monies with Tri Counties Bank whereby all deposits will be subject to the security provided to local public agencies. The deposits are collateralized with securities held by Union Bank of California.

**Investments** Short-term investments consist of time certificates of deposit, money market accounts, and deposits in Local Agency Investment Fund (LAIF). Investments also include equity securities, mutual funds, and Common Fund.

Common Fund consists of U.S. government securities, corporate obligations, and other securities that are recorded at their estimated fair value based on information provided by the fund manager. Common Fund is considered an alternative investment since the fair value is not readily determinable. For the years ended June 30, 2012 and 2011, the investment in Common Fund amounted to \$1,163,377 (4.53% of net assets) and \$1,157,607 (4.76% of net assets), respectively.

**NOTES TO THE FINANCIAL STATEMENTS**

June 30, 2012 and 2011

*The CSU, Chico  
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In accordance with GASB Statement No. 31, *Accounting and Financial Reporting for Certain Investments and for External Investment Pools*, highly liquid market investments with maturities of one year or less at the time of purchase are stated at amortized cost. All other investments are stated at fair value.

The Research Foundation participates in LAIF managed by the State of California. As of June 30, 2012 and 2011, the LAIF pool includes structured notes and asset-backed securities which total 3.47% and 5.01%, respectively, of the total portfolio. These structured notes and asset-backed securities are subject to market risk as interest rates change. The fair value of the Research Foundation's investment in LAIF is the same as the carrying value of the pool shares. As of June 30, 2012 and 2011, the fair value of LAIF is 100.12% and 100.15%, respectively, of the carrying value and is deemed to not represent a material difference. There are no LAIF funds invested in derivatives as of June 30, 2012 and 2011. Oversight of LAIF is provided by the Local Investment Advisory Board (LIAB), which consists of five members as designated by statute. The chairman of the LIAB is the State treasurer or his designated representative. The Research Foundation is considered to be a voluntary participant in the LAIF investment pool.

The Research Foundation's investment policy established safety of principal as the primary investment objective to realize a reasonable interest yield.

**Accounts Receivable** Accounts receivable include amounts due from the federal government, state and local governments, or private sources in connection with reimbursement of allowable expenditures made pursuant to the Research Foundation's grants and contracts. Additionally, accounts receivable consists of CSU, Chico, faculty emergency loan funds and other miscellaneous accounts receivable. The Research Foundation utilizes the allowance method whereas uncollectible accounts are determined based on past payment history. There was no allowance recorded for the years ended June 30, 2012 and 2011.

**Prepaid Expenses and Other Assets** Prepaid expenses and other assets include inventories and deferred crop planting expenses. Deferred crop planting expenses represent the cost of seed, fertilizer, labor, and other crop preparation expenses which will be charged to operations when the related crop revenue is recognized.

**Inventories** Feed inventory is stated at cost, and horticulture inventory is stated at average cost. Both are on a first-in, first-out basis. Feeder livestock is stated at the lower of cost or market value.

Inventories, included in prepaid expenses and other assets, consisted of the following:

	<u>2012</u>	<u>2011</u>
Feeder livestock	\$ 33,290	\$ 27,959
Meat lab	18,525	20,540
Feed	76,136	39,068
Other	39,832	40,191
<b>Total</b>	<u>\$ 167,783</u>	<u>\$ 127,758</u>

**Other Long-Term Investment** Other long-term investment is real estate held for sale. It is recorded at the fair market value determined on the date it was donated.

## NOTES TO THE FINANCIAL STATEMENTS

June 30, 2012 and 2011

*The CSU, Chico  
Research Foundation*

**Capital Assets** Capital assets are stated at cost, or if acquired by gift, are recorded at estimated market value at the date of acquisition. Livestock is recorded at a unit value which approximates average cost for the particular species, gender, size, and age of the animal. Capital assets are not capitalized by the Research Foundation if the title remains with or reverts to the grantor. Planned major maintenance is accounted for using the direct expense method. Expenditures for new construction, major renewals and replacements, and equipment over \$5,000 are capitalized.

Depreciation is provided for in amounts sufficient to relate the cost of depreciable assets to operations over their estimated service lives using the straight-line method. Depreciation for capital assets purchased with grant and contract funds has been charged using an estimated useful life of five years. Livestock is not depreciated because management considers the unit values to approximate amounts to be realized on the ultimate disposition of livestock.

**Depository Accounts** Depository accounts represent the amount of tenant security deposits on property the Research Foundation leases through a property management company.

**Net Assets** The Research Foundation's net assets are classified as follows:

*Invested in Capital Assets - Net of Related Debt:* This represents the Research Foundation's total investment in capital assets, net of outstanding debt obligations related to those capital assets. To the extent debt has been incurred for capital assets but not yet expended, such amounts are not included as a component of invested in capital assets - net of related debt.

*Restricted Net Assets—Expendable:* This includes resources in which the Research Foundation is legally or contractually obligated to spend in accordance with restrictions imposed by external third parties. Restricted net assets include a donor restricted research endowment, faculty emergency loan fund, and funds held for CSU, Chico, for campus licensing agreements.

When an expense is incurred for purposes for which both restricted and unrestricted net assets are available, restricted resources are applied first.

**Operating and Nonoperating Revenues (Expenses)** All revenues that relate to the ongoing operations of the Research Foundation are included in operating revenues. Gains and losses not directly related to the ongoing operations and interest incurred on capital related debt is reported as nonoperating.

Charges for services include revenues based on exchange transactions, namely sales of educational and student activities and sales of agricultural products.

Grants and contracts are received from federal and state governmental agencies and various other organizations. The Research Foundation receives an administration fee to cover indirect overhead costs and recognizes this fee as income over the life of the grant or contract as a percentage of total expenditures or salaries and wages as specified in the grant or contract. Grants and contracts to be expended are not reflected in the financial statements of the Research Foundation. The balance of these accounts totaled \$20,068,444 and \$20,473,604 at June 30, 2012 and 2011, respectively.

Grants and gifts - capital includes real property or equipment received from donors, federal, state, and other funding agencies.

## NOTES TO THE FINANCIAL STATEMENTS

June 30, 2012 and 2011

*The CSU, Chico  
Research Foundation*

**Operating Expenses** Administrative overhead charges are included in operating expenses. When these charges are made to various programs, they are included in the direct expenses of those programs. Allocations of overhead expenses from one function to another, and those within one function, are eliminated in the statement of activities so that allocated expenses are reported only by the function to which they were allocated.

**Use of Estimates** The preparation of financial statements in conformity with generally accepted accounting principles includes the use of estimates that affect the financial statements. Accordingly, actual results could differ from those estimates.

**Income Taxes** The Research Foundation is exempt from income taxes under Section 501(c)(3) of the Internal Revenue Code and Section 23701(d) of the California Revenue and Taxation Code. However, income from certain activities not directly related to the tax-exempt purpose is subject to taxation as unrelated business income. Expenses associated with the unrelated business income exceed the income or are insignificant. Accordingly, no provision for income taxes has been recorded. The Research Foundation functionally supports CSU, Chico, and has been classified in accordance with Section 509(a)(3), Type III.

**Reclassifications** Certain balances in the prior-year financial statements have been reclassified for comparative purposes to conform to the presentation in the current-year financial statements. These reclassifications have not had any impact on net income.

**Subsequent Events** Management has evaluated subsequent events through September 17, 2012, the date which the report was available to be issued.

### 2. CASH AND CASH EQUIVALENTS

Custodial credit risk is the risk that, in the event of a bank failure, the Research Foundation's deposits may not be returned. The Research Foundation does not have a policy for custodial credit risk. As of June 30, 2012 and 2011, the entire bank balance of \$745,292 and \$443,004, respectively, was uninsured and collateralized with securities held by the pledging financial institution's trust department.

### 3. INVESTMENTS

Investments for the year ended June 30, 2012, were as follows:

	<b>Current Unrestricted</b>	<b>Noncurrent Unrestricted</b>	<b>Total</b>
Local Agency Investment Fund	\$ 5,947,451	\$ -	\$ 5,947,451
Money market	6,662	-	6,662
Real estate	-	16,500	16,500
Equity securities	122,031	-	122,031
Mutual funds	287,135	-	287,135
Common Fund	1,163,377	-	1,163,377
Note receivable	-	71,130	71,130
<b>Total Investments</b>	<b>\$ 7,526,656</b>	<b>\$ 87,630</b>	<b>\$ 7,614,286</b>

Investments for the year ended June 30, 2011, were as follows:

	<b>Current Unrestricted</b>	<b>Noncurrent Unrestricted</b>	<b>Total</b>
Local Agency Investment Fund	\$ 6,224,303	\$ -	\$ 6,224,303
Money market	25,419	-	25,419
Real estate	-	16,500	16,500
Certificates of deposit	99,000	-	99,000
Equity securities	116,685	-	116,685
Mutual funds	264,729	-	264,729
Common Fund	1,157,607	-	1,157,607
Note receivable	-	38,538	38,538
<b>Total Investments</b>	<b>\$ 7,887,743</b>	<b>\$ 55,038</b>	<b>\$ 7,942,781</b>

### **Credit Risk**

The Research Foundation's investment policy does not limit its investment choices. The Research Foundation's investments in LAIF, money market, equity securities, mutual funds, and the Common Fund are unrated.

### **Concentration of Credit Risk**

Financial instruments which potentially subject the Research Foundation to concentrations of credit risk consist primarily of cash investments. More than 5.00% of the Research Foundation's investments are in LAIF and the Common Fund. At June 30, 2012, these investments were 78.11% and 15.28%, respectively, of the Research Foundation's total investments. At June 30, 2011, these investments were 78.36% and 14.57%, respectively, of the Research Foundation's total investments.

### **Interest Rate Risk**

Interest rate risk is the risk whereby changes in market interest rates could adversely affect the fair value of an investment. The Research Foundation does not have a formal investment policy that limits investment maturities as a means of managing its exposure to fair value losses arising from increasing interest rates. The average maturity for investments held within LAIF is less than one year.

## **4. ACCOUNTS RECEIVABLE**

Accounts receivable consisted of the following:

	<b>2012</b>	<b>2011</b>
Federal, state, and other grants and contracts	\$ 6,004,712	\$ 5,723,974
CSU, Chico, faculty emergency loan funds	50,101	50,101
Other	1,628,897	1,384,257
<b>Total</b>	<b>\$ 7,683,710</b>	<b>\$ 7,158,332</b>

**NOTES TO THE FINANCIAL STATEMENTS**

June 30, 2012 and 2011

*The CSU, Chico  
Research Foundation*

**5. CAPITAL ASSETS**

Capital assets activity for the year ended June 30, 2012, was as follows:

	<b>Beginning Balance (as Previously Reported)</b>	<b>Prior-Period Adjustment</b>	<b>Beginning Balance (as Restated)</b>	<b>Additions</b>	<b>Retirements</b>	<b>Transfers</b>	<b>Ending Balance</b>
<b>NONDEPRECIABLE CAPITAL ASSETS</b>							
Land and land improvements	\$ 6,427,443	\$ -	\$ 6,427,443	\$ -	\$ (330,000)	\$ -	\$ 6,097,443
Construction in progress	464,016	-	464,016	21,524	-	(150,194)	335,346
Breeding livestock	86,890	-	86,890	11,230	-	-	98,120
<b>Total Nondepreciable Capital Assets</b>	<b>6,978,349</b>	<b>-</b>	<b>6,978,349</b>	<b>32,754</b>	<b>(330,000)</b>	<b>(150,194)</b>	<b>6,530,909</b>
<b>DEPRECIABLE CAPITAL ASSETS</b>							
Building and improvements	11,068,317	-	11,068,317	17,638	(342,397)	150,194	10,893,752
Equipment and furnishings	6,518,463	794,628	7,313,091	1,130,844	(157,887)	-	8,286,048
<b>Total Depreciable Capital Assets</b>	<b>17,586,780</b>	<b>794,628</b>	<b>18,381,408</b>	<b>1,148,482</b>	<b>(500,284)</b>	<b>150,194</b>	<b>19,179,800</b>
<b>Subtotal</b>	<b>24,565,129</b>	<b>794,628</b>	<b>25,359,757</b>	<b>1,181,236</b>	<b>(830,284)</b>	<b>-</b>	<b>25,710,709</b>
<b>LESS: ACCUMULATED DEPRECIATION</b>							
Building and improvements	(4,088,096)	-	(4,088,096)	(408,564)	187,203	-	(4,309,457)
Equipment and furnishings	(4,998,911)	-	(4,998,911)	(643,256)	70,179	-	(5,571,988)
<b>Total Accumulated Depreciation</b>	<b>(9,087,007)</b>	<b>-</b>	<b>(9,087,007)</b>	<b>(1,051,820)</b>	<b>257,382</b>	<b>-</b>	<b>(9,881,445)</b>
<b>Total Net Capital Assets</b>	<b>\$ 15,478,122</b>	<b>\$ 794,628</b>	<b>\$ 16,272,750</b>	<b>\$ 129,416</b>	<b>\$ (572,902)</b>	<b>\$ -</b>	<b>\$ 15,829,264</b>

Capital assets activity for the year ended June 30, 2011, was as follows:

	<b>Beginning Balance</b>	<b>Additions</b>	<b>Retirements</b>	<b>Ending Balance</b>
<b>NONDEPRECIABLE CAPITAL ASSETS</b>				
Land and land improvements	\$ 6,427,443	\$ -	\$ -	\$ 6,427,443
Construction in progress	761,961	2,055	(300,000)	464,016
Breeding livestock	88,520	(1,630)	-	86,890
<b>Total Nondepreciable Capital Assets</b>	<b>7,277,924</b>	<b>425</b>	<b>(300,000)</b>	<b>6,978,349</b>
<b>DEPRECIABLE CAPITAL ASSETS</b>				
Building and improvements	11,048,146	20,171	-	11,068,317
Equipment and furnishings	5,922,734	1,398,530	(8,173)	7,313,091
<b>Total Depreciable Capital Assets</b>	<b>16,970,880</b>	<b>1,418,701</b>	<b>(8,173)</b>	<b>18,381,408</b>
<b>Subtotal</b>	<b>24,248,804</b>	<b>1,419,126</b>	<b>(308,173)</b>	<b>25,359,757</b>
<b>LESS: ACCUMULATED DEPRECIATION</b>				
Building and improvements	(3,683,816)	(404,280)	-	(4,088,096)
Equipment and furnishings	(4,510,861)	(496,223)	8,173	(4,998,911)
<b>Total Accumulated Depreciation</b>	<b>(8,194,677)</b>	<b>(900,503)</b>	<b>8,173</b>	<b>(9,087,007)</b>
<b>Total Net Capital Assets</b>	<b>\$ 16,054,127</b>	<b>\$ 518,623</b>	<b>\$ (300,000)</b>	<b>\$ 16,272,750</b>

The detail of depreciation and amortization expense was as follows:

	<b>2012</b>	<b>2011</b>
<b>Depreciation and Amortization Expense Related to Capital Assets</b>	<b>\$ 1,051,820</b>	<b>\$ 900,503</b>

## 6. OTHER ASSETS

Other assets consisted of the following:

	<b>2012</b>	<b>2011</b>
Deposits held by property managers	\$ 179,686	\$ 124,762
Deposits held by unemployment insurance trust	195,133	643,104
Bond reserve accounts held by trustee	310,756	310,756
<b>Total Other Assets</b>	<b>\$ 685,575</b>	<b>\$ 1,078,622</b>



**7. LONG-TERM DEBT OBLIGATIONS**

Long-term debt obligations consisted of the following:

	<u>2012</u>	<u>2011</u>
<b>LONG-TERM DEBT OBLIGATIONS</b>		
Noninterest bearing agreements with the State of California, payable in cash or in-kind upon mutual agreement or 30 days' notice by either party. The agreements are secured by cattle, sheep, swine, and horticulture.	\$ 73,971	\$ 73,971
Noninterest bearing note payable to the City of Chico to be repaid in 20 annual payments of \$25,000 through June 2020. The note is secured by cash reserves and other assets.	200,000	225,000
Note payable to River Network to be repaid in the future or forgiven. The note was to be repaid to the extent the Research Foundation was able to raise funds for acquisition of the land for the Big Chico Creek Ecological Preserve. New management of River Network and the Research Foundation will discuss ways to raise additional funds to repay the note. To the extent additional funds are not raised, River Network and the Research Foundation plan to sign an agreement to forgive the unpaid balance on the note. Interest accrued at 5.00% for the year ended June 30, 2003. Per a revised agreement dated July 1, 2003, no additional interest is to accrue. The note is secured by real property.	490,300	490,300
Public auxiliary organization revenue bonds payable of \$5,115,000 acquired through A.G. Edwards & Sons, Inc. The bonds were acquired to fund the cost of a building located at 25 Main Street, Chico, California, and to refinance the outstanding amount of the Research Foundation's auxiliary organization revenue bonds Series 2000, which were originally acquired to fund the cost of the CSU, Chico, soccer stadium and the building located at 35 Main Street, Chico, California. The bonds will be repaid to the trustee in 30 annual installments, including principal, interest, and sinking fund payments, beginning June 2003 with interest rates ranging from 2.00% to 4.75%. The bonds are secured by revenues of the Research Foundation.	4,060,000	4,175,000
<b>Total Long-Term Debt Obligations</b>	<u>\$ 4,824,271</u>	<u>\$ 4,964,271</u>

**NOTES TO THE FINANCIAL STATEMENTS**

June 30, 2012 and 2011

*The CSU, Chico  
Research Foundation*

Long-term liability obligation activity for the year ended June 30, 2012, was as follows:

<b>Description</b>	<b>Balance June 30, 2011</b>	<b>Additions</b>	<b>Reductions</b>	<b>Balance June 30, 2012</b>	<b>Current Portion</b>	<b>Long-Term Portion</b>
ACCRUED COMPENSATED ABSENCES	\$ 65,464	\$ 69,609	\$ (61,888)	\$ 73,185	\$ 52,234	\$ 20,951
LONG-TERM DEBT OBLIGATIONS						
Revenue bonds payable	4,175,000	-	(115,000)	4,060,000	120,000	3,940,000
Notes payable	789,271	-	(25,000)	764,271	515,300	248,971
<b>Total Long-Term Debt Obligations</b>	<b>4,964,271</b>	<b>-</b>	<b>(140,000)</b>	<b>4,824,271</b>	<b>635,300</b>	<b>4,188,971</b>
<b>Total Long-Term Liabilities</b>	<b>\$ 5,029,735</b>	<b>\$ 69,609</b>	<b>\$ (201,888)</b>	<b>\$ 4,897,456</b>	<b>\$ 687,534</b>	<b>\$ 4,209,922</b>

Long-term liability obligation activity for the year ended June 30, 2011, was as follows:

<b>Description</b>	<b>Balance June 30, 2010</b>	<b>Additions</b>	<b>Reductions</b>	<b>Balance June 30, 2011</b>	<b>Current Portion</b>	<b>Long-Term Portion</b>
ACCRUED COMPENSATED ABSENCES	\$ 73,359	\$ 63,280	\$ (71,175)	\$ 65,464	\$ 48,899	\$ 16,565
CAPITALIZED LEASE OBLIGATION	54,252	-	(54,252)	-	-	-
LONG-TERM DEBT OBLIGATIONS						
Revenue bonds payable	4,290,000	-	(115,000)	4,175,000	115,000	4,060,000
Notes payable	814,271	-	(25,000)	789,271	515,300	273,971
<b>Total Long-Term Debt Obligations</b>	<b>5,104,271</b>	<b>-</b>	<b>(140,000)</b>	<b>4,964,271</b>	<b>630,300</b>	<b>4,333,971</b>
<b>Total Long-Term Liabilities</b>	<b>\$ 5,231,882</b>	<b>\$ 63,280</b>	<b>\$ (265,427)</b>	<b>\$ 5,029,735</b>	<b>\$ 679,199</b>	<b>\$ 4,350,536</b>

Debt service requirements are as follows:

Years Ending	Revenue Bonds		All Other Long-Term Debt Obligations		Total	
	Principal	Interest	Principal	Interest	Principal	Interest
2013	\$ 120,000	\$ 187,025	\$ 515,300	\$ -	\$ 635,300	\$ 187,025
2014	125,000	182,525	25,000	-	150,000	182,525
2015	130,000	177,681	98,971	-	228,971	177,681
2016	135,000	171,831	25,000	-	160,000	171,831
2017	145,000	165,756	25,000	-	170,000	165,756
2018-2022	635,000	751,550	75,000	-	710,000	751,550
2023-2027	760,000	585,675	-	-	760,000	585,675
2028-2032	-	477,375	-	-	-	477,375
2033	2,010,000	47,738	-	-	2,010,000	47,738
<b>Total</b>	<b>\$ 4,060,000</b>	<b>\$ 2,747,156</b>	<b>\$ 764,271</b>	<b>\$ -</b>	<b>\$ 4,824,271</b>	<b>\$ 2,747,156</b>

## 8. OTHER LONG-TERM LIABILITIES

Other long-term liabilities consisted of the following:

	2012	2011
Reserve for grant cost disallowance	\$ 489,756	\$ 405,474
Other	14,111	6,703
<b>Total Other Long-Term Liabilities</b>	<b>\$ 503,867</b>	<b>\$ 412,177</b>

## 9. ACCRUED COMPENSATED ABSENCES

Employees of the Research Foundation are entitled to paid vacation and paid sick leave based on length of service and other factors. The Research Foundation's policy is to recognize the costs of those compensated absences when actually paid to employees as sick leave does not vest to the employee. The liability for compensated absences relating to paid vacation has been accrued for all employees, except those funded by grants and contracts. The compensated absences' liability will be paid by both the General Fund and the Auxiliary Activities Fund.

## 10. HEALTH CARE BENEFITS

The Research Foundation entered into a contract with the California State University Risk Management Authority (CSURMA) to provide a fully insured healthcare and vision plan for eligible employees. The Research Foundation also contracted with Premier Access to provide a fully insured dental plan for eligible employees. Postretirement health benefits are not required as a part of the CSURMA contract, however, the Research Foundation has elected to provide that benefit.

Total health, dental, and vision insurance expense, except for employees funded by grants and contracts, amounted to \$348,897 and \$306,858 for the years ended June 30, 2012 and 2011, respectively.

**11. RETIREMENT PLAN**

The Research Foundation sponsors a 403(b) savings plan, which covers fully benefited employees in a funded position. The employer contributions to the plan are at the discretion of the Board of Directors. The employer contribution is based upon an employee's years of service. At the beginning of the second year of service, the Research Foundation contributes 2% of the employee's pay to the plan. The percentage increases by 2% for each year of service up to a maximum contribution of 8%. Employer contributions vest immediately to the employee. Expenses recognized for the plan amounted to \$122,267 and \$124,930 for the years ended June 30, 2012 and 2011, respectively. The expense amounts for the savings plan does not include amounts paid for employees funded by grants and contracts.

**12. POSTEMPLOYMENT BENEFITS OTHER THAN PENSION BENEFITS****Plan Description**

The Research Foundation sponsors an endowment-funded single-employer postretirement health care plan that covers both salaried and nonsalaried benefited employees. The plan provides medical benefits to qualified retirees to the extent sustainable by the provided endowment and can be terminated at any time. The postretirement health care plan is contributory with retiree contributions adjusted annually. Employees who retired prior to January 1, 2006, are qualified after 15 years of credited service and attainment of age 55. Employees retiring after January 1, 2006, are qualified after 15 years of credited service and the attainment of age 60. At June 30, 2012, 7 participants met these eligibility requirements and an estimated 44 participants will be eligible in future years.

The Research Foundation elected to fully accrue the net other postemployment benefit (OPEB) plan obligation. The annual OPEB cost and net obligation are standardized measurements of the present value of postemployment benefits estimated to be payable in the future as a result of an employee's service to date. The plan provides a monthly fixed benefit of \$200 or \$400 for a participant or a participant and spouse, respectively.

**Funding Policy**

The Research Foundation will fund the plan monthly, on a pay-as-you-go basis, for members who meet the eligibility criteria. The contribution requirements of plan members and the Research Foundation are established and may be amended by the Research Foundation's Board or Directors. The Research Foundation has the right to modify, alter, or amend the plan in whole or in part.

### Annual Other Postemployment Benefit (OPEB) Cost and Net Obligation

The Research Foundation's OPEB cost, the percentage of OPEB cost contributed to the plan, and the net OPEB obligation are as follows:

	2012	2011	2010
Annual Required Contribution (ARC)	\$ 20,434	\$ 19,461	\$ 12,966
Interest on net OPEB Obligation	19,977	20,394	-
Adjustment to ARC	52,046	(52,046)	77,775
<b>Annual OPEB Cost</b>	<b>92,457</b>	<b>(12,191)</b>	<b>90,741</b>
Contributions	58,253	51,778	29,109
<b>Change in Net OPEB Obligation</b>	<b>34,204</b>	<b>(63,969)</b>	<b>61,632</b>
<b>Net OPEB Obligation - Beginning of Year</b>	<b>518,730</b>	<b>582,699</b>	<b>521,067</b>
<b>Net OPEB Obligation - End of Year</b>	<b>\$ 552,934</b>	<b>\$ 518,730</b>	<b>\$ 582,699</b>

Year Ended	Annual OPEB Cost	Actual Employer Contributions	Percentage Contributed	Net Ending OPEB Obligation
June 30, 2012	\$ 92,457	\$ 58,253	63.01%	\$ 552,934
June 30, 2011	\$ (12,191)	\$ 51,778	424.72%	\$ 518,730
June 30, 2010	\$ 90,741	\$ 29,109	32.08%	\$ 582,699

### Funded Status and Funding Progress

The funded status of the plan was as follows:

	2012	2011	2010
Actuarial Accrued Liability (AAL)	\$ 552,934	\$ 518,730	\$ 582,699
Actuarial value of plan assets	-	-	-
<b>Unfunded Actuarial Accrued Liability (UAAL)</b>	<b>\$ 552,934</b>	<b>\$ 518,730</b>	<b>\$ 582,699</b>
Funded ratio (actuarial value of plan assets/AAL)	0.00%	0.00%	0.00%
Covered payroll (active members)	\$ 5,994,263	\$ 5,504,862	\$ 4,793,072
<b>UAAL as a Percentage of Covered Payroll</b>	<b>9.22%</b>	<b>9.42%</b>	<b>12.16%</b>

Actuarial valuations of an ongoing plan involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events into the future. Examples include assumptions about future employment, mortality, and the health care cost trend. Amounts determined regarding the funded status of the plan and the annual required contributions of the employer are subject to continual revision as actual results are compared with past expectations and new estimates are made about the future. Deviations in any of several factors, such as future interest rates, medical cost inflation, Medicare coverage, and changes in marital status, could result in actual costs being less or greater than estimated.

### **Actuarial Methods and Assumptions**

The actuarial valuation was performed as of July 1, 2010, and was completed using the entry age normal actuarial cost method. An actuarial cost method is a procedure for allocating the actuarial present value of benefits and expenses and for developing an actuarially equivalent allocation of such value to time periods, usually in the form of a normal cost and an actuarial accrued liability (AAL). The entry age normal cost method allocates the present value of future benefits on a level basis over the earnings or service of each employee between the hire date and assumed retirement age. The portion of the present value of future benefits allocated to a valuation year is called the normal cost. The portion allocated to all prior years is called the AAL.

The actuarial valuation was completed by an independent consultant. Significant actuarial assumptions used in the valuations include a discount rate of 3.50% to calculate the present value of the future benefit payment; a health care cost trend rate range of 7.60% to 5.50% compounded annually; fixed monthly benefit amounts of the \$200 for one and \$400 for two participants, respectively; annual rate of increase in payroll of 3.25%; and mortality rates used in the most recent California Public Employees' Retirement System pension valuations.

### **13. SELF-INSURANCE**

The Research Foundation is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. The Research Foundation participates with other auxiliaries and universities in the CSURMA, a public entity risk pool currently operating as a common risk management and insurance program. The Research Foundation pays an annual premium to CSURMA for its general liability, property, and workers' compensation insurance coverage. The balance payable to CSURMA for workers' compensation insurance was \$50,555 at June 30, 2012.

The Research Foundation also participates in the CSURMA Auxiliary Organizations Risk Management Authority (AORMA) Unemployment Insurance Program (UIP). The deposit requirement within AORMA UIP is \$195,133 and is included in other assets as a long-term deposit. The Research Foundation will maintain a vested interest in the amount held by AORMA UIP.

CSURMA is economically dependent on its members for funding and has secured a commitment from members to provide sufficient cash flow to meet its operating needs and to fund losses over a period of time. The CSURMA has reinsurance policies through a joint powers authority as well as commercial companies. The reinsurance effective for the year ended June 30, 2011 was applicable to; general liability claims in excess of \$5 million and up to \$200 million per occurrence, workers compensation claims in excess of \$2.5 million and up to approximately \$27 million per occurrence, and AORMA liability claims in excess of \$350,000 and up to \$5 million per occurrence.

### **14. NET OPERATING LOSS**

The Research Foundation has net operating loss (NOL) carry forwards as a result of unrelated business income. At June 30, 2012 and 2011, the NOL carry forwards were approximately \$260,000 and \$230,000, respectively, and will expire beginning in 2020. A deferred tax asset valuation allowance has been established to the extent of the full NOL as it is unlikely that a benefit will be realized.

**15. RELATED-PARTY TRANSACTIONS**

***Operating Lease and Facility Use Agreements*** The Research Foundation operates as an auxiliary organization to CSU, Chico, under an operating agreement negotiated every five years. The current operating and lease agreements expire on June 30, 2017. The Research Foundation uses certain CSU, Chico, and other facilities under lease agreements requiring annual or monthly rental payments, or space trades.

***Business, Financial, and Information Technology Services*** The Associated Students of CSU, Chico (Associated Students), by contract provides business, financial, and information technology services to the Research Foundation.

The Research Foundation provides administrative and financial services to the University Foundation.

***Receivable From the Associated Students*** As required by the operating lease agreement between CSU, Chico, and the Associated Students, all payments related to this agreement are directed to the Research Foundation.

***Receivable/Payable With CSU, Chico*** Receivables and payables with CSU, Chico, are a result of grant and contract transactions and other miscellaneous supplies and services.

***Personnel*** The Research Foundation provides personnel services to the University Foundation under contract. The University Foundation reimburses the Research Foundation for the cost of these services. The personnel hired are employees of the Research Foundation and participate in the Research Foundation's benefit plans. The University Foundation indemnifies the Research Foundation for the acts of its employees under the University Foundation's supervision.

The following is a schedule of transactions with related parties:

	<u>2012</u>	<u>2011</u>
<b>TRANSACTIONS WITH CSU, CHICO</b>		
Payments to University for salaries of University personnel working on contracts, grants, and other programs	\$ 2,432,912	\$ 3,112,153
Payments to University for other than salaries of University personnel	\$ 2,098,034	\$ 1,606,220
Payments received from University for services, space, and programs	\$ 1,665,764	\$ 684,660
Gifts-in-kind to the University from Auxiliary Organizations	\$ 4,551	\$ 136,369
Gifts (cash or assets) to the University from recognized Auxiliary Organization	\$ 193,343	\$ -
Accounts (payable to) University	\$ (67,424)	\$ (218,542)
Other amounts (payable to) University	\$ (73,971)	\$ (73,971)
Accounts receivable from University	\$ 645,367	\$ 218,729
Other amounts receivable from University	\$ 50,101	\$ 50,101
<b>TRANSACTIONS WITH ASSOCIATED STUDENTS</b>		
Payments for business, financial, and information technology services	\$ 685,156	\$ 693,420
Accounts receivable from Associated Students for operating lease and facility use agreements with CSU, Chico	\$ 562,285	\$ 583,158
Accounts receivable (payable) to Associated Students	\$ 33,837	\$ (71,670)
<b>TRANSACTIONS WITH UNIVERSITY FOUNDATION</b>		
Revenue for administrative and financial services	\$ 430,294	\$ 452,664
Revenue for personnel services	\$ 108,487	\$ 109,267

## 16. CONTINGENCY

The Chancellor's Office conducted a review of campus program accounts held by the Research Foundation and identified several accounts that may be more appropriately accounted for within CSU, Chico, Trust accounts. The Research Foundation, CSU, Chico, and Chancellor's Office Task Force are conducting a full review of the accounts to make a final determination as to which entity should account for the specific campus programs. It is estimated that \$35,000 may be transferred to CSU, Chico, during 2012-13.

## 17. PRIOR-PERIOD ADJUSTMENT

At June 30, 2011, the Research Foundation incorrectly omitted the recording of donated equipment. The accompanying 2011 financial statements have been restated by recognizing as capital assets \$794,628 in equipment received at June 30, 2011. The restatement also had the effect of increasing net assets by the same amount as of and for the year ended June 30, 2011.



**SUPPLEMENTARY INFORMATION**

**SCHEDULES OF FINANCIAL POSITION**

*The CSU, Chico  
Research Foundation*

June 30	General Fund	Plant and Bond Fund	Board Designated Fund	Auxiliary Activities Fund	Sponsored Programs Fund	Campus Programs Fund	2012	Totals 2011
<b>ASSETS</b>								
Cash and cash equivalents	\$ 638,263	\$ -	\$ 115,318	\$ 277,895	\$ 21,958	\$ 342,770	\$ 1,396,204	\$ 525,335
Short-term investments	607,538	-	1,238,101	761,394	1,183,524	3,736,099	7,526,656	7,887,743
Net accounts and other receivables	697,772	-	56,931	156,742	101,127	767,553	1,780,125	1,610,071
Sponsored programs receivable	-	-	-	-	5,903,585	-	5,903,585	5,548,261
Inventories	-	-	-	167,783	-	-	167,783	127,758
Prepaid and deferred crop planting expenses	417,700	350,961	2,861	209,181	200	20,476	1,001,379	1,352,552
Property held for sale	16,500	-	-	-	-	-	16,500	16,500
Notes receivable	-	-	-	-	-	71,130	71,130	38,538
Land, buildings, and equipment - net of accumulated depreciation	-	14,386,352	71,060	1,371,852	-	-	15,829,264	16,272,750
<b>Total Assets</b>	<b>\$ 2,377,773</b>	<b>\$ 14,737,313</b>	<b>\$ 1,484,271</b>	<b>\$ 2,944,847</b>	<b>\$ 7,210,394</b>	<b>\$ 4,938,028</b>	<b>\$ 33,692,626</b>	<b>\$ 33,379,508</b>
<b>LIABILITIES AND NET ASSETS</b>								
<b>LIABILITIES</b>								
Accounts payable	\$ 98,610	\$ -	\$ 40,819	\$ 84,318	\$ 808,281	\$ 106,062	\$ 1,138,090	\$ 2,160,730
Accrued expenses	214,079	15,930	13,452	23,658	647,281	91,388	1,005,788	987,228
Deferred revenue	-	-	-	1,600	-	-	1,600	1,725
Deposits held for others	9,925	-	-	-	-	-	9,925	11,550
Interfund (receivables) payables	753,877	92,565	(1,042,554)	244,146	3,926,113	(3,974,147)	-	-
Notes payable	-	690,300	-	73,971	-	-	764,271	789,271
Bonds payable	-	4,060,000	-	-	-	-	4,060,000	4,175,000
Post retirement health reserve	552,934	-	-	-	-	-	552,934	518,730
Reserve for grant cost disallowance	489,756	-	-	-	-	-	489,756	405,474
<b>Total Liabilities</b>	<b>2,119,181</b>	<b>4,858,795</b>	<b>(988,283)</b>	<b>427,693</b>	<b>5,381,675</b>	<b>(3,776,697)</b>	<b>8,022,364</b>	<b>9,049,708</b>
<b>NET ASSETS</b>	<b>258,592</b>	<b>9,878,518</b>	<b>2,472,554</b>	<b>2,517,154</b>	<b>1,828,719</b>	<b>8,714,725</b>	<b>25,670,262</b>	<b>24,329,800</b>
<b>Total Liabilities and Net Assets</b>	<b>\$ 2,377,773</b>	<b>\$ 14,737,313</b>	<b>\$ 1,484,271</b>	<b>\$ 2,944,847</b>	<b>\$ 7,210,394</b>	<b>\$ 4,938,028</b>	<b>\$ 33,692,626</b>	<b>\$ 33,379,508</b>

See notes to the supplementary information.

**SCHEDULES OF FUNCTIONAL ACTIVITIES AND  
CHANGES IN NET ASSETS (DEFICIT)**

*The CSU, Chico  
Research Foundation*

Years Ended June 30	General	Plant	Board	Auxiliary	Sponsored	Campus	Totals	
	Fund	and Bond Fund	Designated Fund	Activities Fund	Programs Fund	Programs Fund	2012	2011
<b>REVENUES AND OTHER SUPPORT</b>								
Agriculture and enterprise sales	\$ -	\$ -	\$ -	\$ 1,822,630	\$ -	\$ -	\$ 1,822,630	\$ 1,673,365
Sponsored programs fees	2,937,640	-	-	-	-	-	2,937,640	2,609,397
Sponsored programs receipts	-	-	-	-	29,317,452	-	29,317,452	27,019,677
Agriculture Research Initiative	-	-	-	-	562,813	-	562,813	497,973
University programs receipts	-	-	-	-	-	4,706,580	4,706,580	4,652,615
Interest and investment income	45,359	-	521	-	14,566	13,592	74,038	320,989
Other income	756,441	1,099,264	1,531	111,606	106,236	89,532	2,164,610	3,223,236
<b>Total Revenues and Other Support</b>	<b>3,739,440</b>	<b>1,099,264</b>	<b>2,052</b>	<b>1,934,236</b>	<b>30,001,067</b>	<b>4,809,704</b>	<b>41,585,763</b>	<b>39,997,252</b>
<b>EXPENSES</b>								
Auxiliary activities' cost of sales	-	-	-	199,680	-	-	199,680	234,833
Auxiliary activities' expense	-	-	-	1,761,905	-	-	1,761,905	1,775,439
Sponsored programs' disbursements	-	-	-	-	28,125,734	-	28,125,734	26,567,101
University programs' expenses	-	-	-	-	-	4,461,278	4,461,278	4,418,643
Scholarships and research grants	-	-	-	-	643,679	-	643,679	622,560
Foundation administration	2,882,733	-	-	-	-	-	2,882,733	3,465,617
Faculty and grant development	-	-	1,430,766	-	-	-	1,430,766	1,969,628
Interest expense	-	192,781	-	-	-	-	192,781	196,730
Other expenses	-	569,220	-	3,377	-	-	572,597	502,204
<b>Total Expenses</b>	<b>2,882,733</b>	<b>762,001</b>	<b>1,430,766</b>	<b>1,964,962</b>	<b>28,769,413</b>	<b>4,461,278</b>	<b>40,271,153</b>	<b>39,752,755</b>
<b>Change in Net Assets Before Transfers</b>	<b>856,707</b>	<b>337,263</b>	<b>(1,428,714)</b>	<b>(30,726)</b>	<b>1,231,654</b>	<b>348,426</b>	<b>1,314,610</b>	<b>244,497</b>
<b>TRANSFERS</b>								
Board of directors' allocations	(498,756)	-	498,756	-	-	-	-	-
Administration fee transfers	10,588	-	-	-	(10,588)	-	-	-
Other fund transfers	114,480	59,000	1,219,503	(186,814)	(1,152,672)	(53,497)	-	-
Transfers from other auxiliaries	-	-	30,200	391,583	(30,000)	206,706	598,489	1,387,656
Plant fund transfers:								
Purchased assets - net	455,071	(455,071)	-	-	-	-	-	-
Depreciation	309,185	(309,185)	-	-	-	-	-	-
CSU, Chico	(4,551)	(50,457)	(198,343)	-	-	(319,286)	(572,637)	(491,148)
<b>Net Transfers</b>	<b>386,017</b>	<b>(755,713)</b>	<b>1,550,116</b>	<b>204,769</b>	<b>(1,193,260)</b>	<b>(166,077)</b>	<b>25,852</b>	<b>896,508</b>
<b>Increase (Decrease) in Net Assets</b>	<b>1,242,724</b>	<b>(418,450)</b>	<b>121,402</b>	<b>174,043</b>	<b>38,394</b>	<b>182,349</b>	<b>1,340,462</b>	<b>1,141,005</b>
<b>Net Assets (Deficit) - Beginning of Year - as Previously Reported</b>	<b>(984,132)</b>	<b>9,502,340</b>	<b>2,351,152</b>	<b>2,343,111</b>	<b>1,790,325</b>	<b>8,532,376</b>	<b>23,535,172</b>	<b>23,188,795</b>
<b>Recognize Receipt of Capital Gift</b>	<b>-</b>	<b>794,628</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>794,628</b>	<b>-</b>
<b>Net Assets (Deficit) - Beginning of Year - as Restated</b>	<b>(984,132)</b>	<b>10,296,968</b>	<b>2,351,152</b>	<b>2,343,111</b>	<b>1,790,325</b>	<b>8,532,376</b>	<b>24,329,800</b>	<b>23,188,795</b>
<b>Net Assets - End of Year</b>	<b>\$ 258,592</b>	<b>\$ 9,878,518</b>	<b>\$ 2,472,554</b>	<b>\$ 2,517,154</b>	<b>\$ 1,828,719</b>	<b>\$ 8,714,725</b>	<b>\$ 25,670,262</b>	<b>\$ 24,329,800</b>

See notes to the supplementary information.

**SCHEDULES OF ACTIVITY  
OF THE GENERAL FUND**

*The CSU, Chico  
Research Foundation*

Years Ended June 30	2012	2011
<b>REVENUES</b>		
Sponsored programs' administration fees	\$ 2,937,640	\$ 2,609,397
Interest and investment income	45,359	152,092
Other income	756,441	1,675,880
Auxiliary activities' fund reimbursements	444,858	466,277
<b>Total Revenues</b>	<b>4,184,298</b>	<b>4,903,646</b>
<b>EXPENSES</b>		
Salaries and related costs	1,802,852	1,875,152
Professional services	257,573	253,262
Insurance	108,525	135,211
Office and operating supplies	43,989	48,201
Depreciation	312,142	311,839
Administrative services	415,305	424,120
Grant cost disallowance	84,282	10,180
Other operating expenses	302,923	873,929
<b>Total Expenses</b>	<b>3,327,591</b>	<b>3,931,894</b>
<b>Excess Revenues Over Expenses From Operations</b>	<b>856,707</b>	<b>971,752</b>
Administration fees	10,588	10,624
<b>Excess Revenues Over Expenses</b>	<b>\$ 867,295</b>	<b>\$ 982,376</b>

*See notes to the supplementary information.*

**SCHEDULES OF ACTIVITY OF THE  
AUXILIARY ACTIVITIES FUND**

*The CSU, Chico  
Research Foundation*

Years Ended June 30	University Farm	Enterprise	2012	Totals 2011
<b>SALES</b>				
Livestock and dairy	\$ 587,889	\$ -	\$ 587,889	\$ 597,013
Crop and orchard	749,179	-	749,179	442,399
Horticulture	21,113	-	21,113	19,943
Enterprise receipts	-	464,449	464,449	614,010
<b>Total Sales</b>	1,358,181	464,449	1,822,630	1,673,365
<b>COST OF SALES</b>				
Livestock and dairy	199,680	-	199,680	234,833
<b>Gross Profit</b>	1,158,501	464,449	1,622,950	1,438,532
<b>OPERATING EXPENSES</b>				
Salaries and employee benefits	167,463	375,775	543,238	575,417
Accounting and professional costs	164,122	168,078	332,200	338,343
Utilities and telephone	433	20,240	20,673	22,980
Travel and meetings	2,091	29,036	31,127	35,440
Operating supplies and customer telephone	244,516	8,757	253,273	255,107
Depreciation	144,579	21,955	166,534	161,187
Insurance	-	1,570	1,570	1,570
Student payments	22,500	-	22,500	23,500
Veterinary costs	26,485	-	26,485	33,514
Machine hire	14,128	-	14,128	21,864
Repair and maintenance	113,894	13,438	127,332	110,474
Rent and leasing	7,963	825	8,788	35,828
Registration fees	4,443	-	4,443	2,959
Postage and printing	-	5,812	5,812	4,485
Other operating expenses	27,532	176,270	203,802	225,195
<b>Total Operating Expenses</b>	940,149	821,756	1,761,905	1,847,863
<b>Loss From Operations</b>	218,352	(357,307)	(138,955)	(409,331)
<b>OTHER INCOME (EXPENSE)</b>				
Other income	110,017	-	110,017	97,122
Interest income	1,589	-	1,589	1,880
Interest expense	(1,546)	-	(1,546)	(2,515)
Other expense	-	(1,831)	(1,831)	-
<b>Total Other Income (Expense)</b>	110,060	(1,831)	108,229	96,487
<b>TRANSFERS IN (OUT)</b>				
In	6,948	11,934	18,882	14,415
Out	(6,559)	(199,137)	(205,696)	(119,553)
From other auxiliaries	41,583	350,000	391,583	381,548
<b>Net Transfers</b>	41,972	162,797	204,769	276,410
<b>Net Income (Loss), Including Administration</b>				
<b>Fees Paid to the General Fund</b>	\$ 370,384	\$ (196,341)	\$ 174,043	\$ (36,434)

*See notes to the supplementary information.*

**SCHEDULES OF SOURCE OF FUNDING AND  
EXPENDITURES FOR GRANTS AND CONTRACTS  
(SPONSORED PROGRAMS FUND)**

*The CSU, Chico  
Research Foundation*

Years Ended June 30	<b>2012</b>	<b>2011</b>
<b>SOURCE OF DIRECT FUNDING</b>		
Federal government	\$ 18,752,595	\$ 17,539,476
State of California	3,701,082	3,503,109
Local governments	1,598,134	1,093,093
Nongovernmental funds	2,331,059	2,204,269
Agricultural research initiative	562,813	497,973
Noncash contributions	92,200	108,656
<b>Total Direct Sources and Transfers</b>	<b>27,037,883</b>	<b>24,946,576</b>
<b>SOURCE OF INDIRECT COST REIMBURSEMENTS</b>		
Federal government	2,009,870	1,765,908
State of California	345,194	360,209
Local governments	254,509	175,409
Nongovernmental funds	328,067	307,871
<b>Total Indirect Cost Reimbursements</b>	<b>2,937,640</b>	<b>2,609,397</b>
<b>Total</b>	<b>\$ 29,975,523</b>	<b>\$ 27,555,973</b>
<b>DIRECT EXPENDITURES</b>		
Personnel services:		
Salaries and wages	\$ 9,434,643	\$ 9,778,510
Fringe benefits	3,164,991	3,127,163
Operating expenses	12,608,881	10,022,718
Equipment	850,681	879,304
Student support	978,687	1,138,881
<b>Total Direct Expenditures</b>	<b>27,037,883</b>	<b>24,946,576</b>
<b>INDIRECT EXPENDITURES</b>		
Personnel services:		
Salaries, wages, and fringe benefits	939,720	995,653
Administration expenses	850,119	926,482
Development	65,259	61,136
Programming allocation	983,095	576,595
Other	99,447	49,531
<b>Total Indirect Expenditures</b>	<b>2,937,640</b>	<b>2,609,397</b>
<b>Total</b>	<b>\$ 29,975,523</b>	<b>\$ 27,555,973</b>

*See notes to the supplementary information.*

**SCHEDULE OF EXPENDITURES  
OF FEDERAL AWARDS**

June 30, 2012

*The CSU, Chico  
Research Foundation*

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Catalog Number	Project Number	Project Title	Award/Contract Number	Program or Award Amount	Accrued (Deferred) Revenue 7/1/2011	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2012
<b>Department of Agriculture</b>								
<b>Direct Awards - Other</b>								
10.310	60518	LOCAL CULTURAL FOODS-RESEARCH	2011-69001-30080	\$ 39,276.00	\$ 3,717.19	\$ 20,861.49	\$ 19,563.90	\$ 2,419.60
10.310	60519	LOCAL CULTURAL FOODS-EXTENSION	2011-69001-30080	48,966.00	-	33,053.46	55,060.56	22,007.10
10.310	60520	LOCAL CULTURAL FOODS-EDUCATION	2011-69001-30080	61,728.00	2,993.71	17,296.26	36,184.46	21,881.91
10.652	60492	FIRE SEVRITY/FUEL PROP RESEARC	10-CR-1121637-099	10,000.00	2,508.57	4,082.44	2,995.84	1,421.97
10.6XX	60482	PLUMAS NAT'L FOREST NVUM 09/10	10-PA-11051150-008	74,695.32	-	-	-	-
10.6XX	60489	PALMS TO PINES	10-PA-11072100-021	115,404.30	24,846.53	33,546.13	39,004.31	30,304.71
10.6XX	60537	KLAMATH NT'L FOREST DATA	11-CS-11050500-045	10,000.00	-	-	6,387.25	6,387.25
10.6XX	60561	TRIP CAR-LESS CA PHASE I	12-CS-11052009-034	70,950.00	-	-	10,088.16	10,088.16
10.783	60546	RBEG ECONOMIC GARDENING 11/12	none	40,000.00	-	7,050.39	8,888.16	1,837.77
10.783	60548	GREEN JOBS IN INNOVATION/MFTG	none	50,000.00	-	37,490.38	45,218.37	7,727.99
		<b>Sub-Total Other Direct Awards</b>		<b>521,019.62</b>	<b>34,066.00</b>	<b>153,380.55</b>	<b>223,391.01</b>	<b>104,076.46</b>
<b>Direct Awards - Research and Development</b>								
10.6XX	60413	PHACELIA COOKEI SHASTA TRINITY	07-CR-11051400-062	10,000.00	(0.01)	-	598.67	598.66
		<b>Sub-Total R &amp; D Direct Awards</b>		<b>10,000.00</b>	<b>(0.01)</b>	<b>-</b>	<b>598.67</b>	<b>598.66</b>
<b>Pass Through Awards</b>								
CA Department of Food & Agriculture								
10.170	64234	COMMNTY NUTRI HEALTH NETWRK	SCB11048	185,736.00	-	4,881.81	71,005.25	66,123.44
		<b>Sub-Total Other Pass Through Awards, CA Department of Food &amp; Agriculture</b>		<b>185,736.00</b>	<b>-</b>	<b>4,881.81</b>	<b>71,005.25</b>	<b>66,123.44</b>
CSU Fresno Foundation								
10.200	64063	FRUIT CANNERY BY PRDCT FRESNO	SC350022-09-01	123,552.00	10,406.94	11,058.51	651.57	-
10.200	64133	MILK NUTRIENTS STUDY 10/11	SC350054-10-01	98,842.00	10,329.77	35,101.54	28,916.90	4,145.13
		<b>Sub-Total R&amp;D Cluster, Pass Through Awards, CSU, Fresno Foundation</b>		<b>222,394.00</b>	<b>20,736.71</b>	<b>46,160.05</b>	<b>29,568.47</b>	<b>4,145.13</b>
University of Vermont								
10.307	64153	EORGANIC ONLINE CLASSES Y1	24685 5097110	138,315.00	24,390.18	57,475.08	40,540.61	7,455.71
		<b>Sub-Total R&amp;D Cluster, Pass Through Awards, University of Vermont</b>		<b>138,315.00</b>	<b>24,390.18</b>	<b>57,475.08</b>	<b>40,540.61</b>	<b>7,455.71</b>
CA Health Collaborative								
10.551	64130	EXERCISE SPEC Y3 10/11	IC-09-112 Am2	55,350.06	14,216.38	28,950.80	14,734.42	-
		<b>Sub-Total SNAP Cluster, Pass Through Awards, CA Health Collaborative</b>		<b>55,350.06</b>	<b>14,216.38</b>	<b>28,950.80</b>	<b>14,734.42</b>	<b>-</b>
CA Dept of Education								
10.559	64099	SUMMER FOOD PROGRAM 2010	none	31,843.42	-	-	-	-
10.559	64240	SUMMER FOODS PROGRAM 2012	none	32,936.00	-	-	-	-
		<b>Sub-Total Child Nutr. Cluster, Pass Through Awards, CA Dept of Ed Nutr Serv</b>		<b>64,779.42</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
CA Dept of Health Services								
10.561	64045	SCNAC Y3 09/10	07-65309	2,197,059.51	-	-	-	-
10.561	64062	FOOD STAMP OUTREACH	09-11265	121,105.89	-	-	-	-
10.561	64126	SCNAC Y1 10/11	10-10044	2,327,582.00	1,607,155.01	2,324,859.76	717,638.79	(65.96)
		<b>Sub-Total SNAP Cluster, Pass Through Awards, CA Dept of Health Services</b>		<b>4,645,747.40</b>	<b>1,607,155.01</b>	<b>2,324,859.76</b>	<b>717,638.79</b>	<b>(65.96)</b>
CA Department of Public Health								
10.561	64129	FOOD STAMP OUTREACH 10/11	10-10093	327,997.61	150,456.54	303,037.80	152,581.26	-
10.561	64205	FOOD STAMP OUTREACH Y2	10-10093	521,299.00	-	17,402.28	196,020.59	178,618.31
10.561	64208	SCNAC Y2 11/12	10-10044	2,449,072.00	-	520,281.48	1,582,126.81	1,061,845.33
10.561	64220	LFNE GET COOKING Y1 11/12	11-10155	85,000.00	-	1,769.33	42,631.16	40,861.83
		<b>Sub-Total SNAP Cluster, Pass Through Awards, CA Dept of Public Health</b>		<b>3,383,368.61</b>	<b>150,456.54</b>	<b>842,490.89</b>	<b>1,973,359.82</b>	<b>1,281,325.47</b>
CA Health Collaborative Fdn								
10.561	64221	PHYSICAL ACTIV SPEC 11/12	none	55,535.00	-	25,406.18	32,813.74	7,407.56
		<b>Sub-Total SNAP Cluster, Pass Through Awards, CA Health Collaborative Fdn</b>		<b>55,535.00</b>	<b>-</b>	<b>25,406.18</b>	<b>32,813.74</b>	<b>7,407.56</b>
Colusa County Dept. of Health & Human Services								
10.561	64265	COLUSA CO CALFRESH NUTRI ED	C12-098	77,394.00	-	-	4,136.37	4,136.37
		<b>Sub-Total SNAP Cluster, Pass Through Awards, Colusa County Dept. of Health &amp; Human</b>		<b>77,394.00</b>	<b>-</b>	<b>-</b>	<b>4,136.37</b>	<b>4,136.37</b>
Glenn County Human Resources Agency								
10.561	64276	GLENN CO CALFRESH NUTRI ED	none	225,211.00	-	-	4,276.06	4,276.06
		<b>Sub-Total SNAP Cluster, Pass Through Awards, Glenn County Human Resources Agency</b>		<b>225,211.00</b>	<b>-</b>	<b>-</b>	<b>4,276.06</b>	<b>4,276.06</b>
Tehama County Dept. of Social Services								
10.561	64271	TEHAMA COUNTY NUTRI ED	084PA12	11,511.00	-	-	1,624.55	1,624.55
		<b>Sub-Total SNAP Cluster, Pass Through Awards, Tehama County Dept. of Social Services</b>		<b>11,511.00</b>	<b>-</b>	<b>-</b>	<b>1,624.55</b>	<b>1,624.55</b>
CA Assoc Resource Conservation & Development Council								
10.773	64183	RBOG RURAL PROSPERITY	none	40,000.00	-	21,121.17	24,021.70	2,900.53
		<b>Sub-Total Other Pass Through Awards, CA Assoc Resource Cons &amp; Dev Council</b>		<b>40,000.00</b>	<b>-</b>	<b>21,121.17</b>	<b>24,021.70</b>	<b>2,900.53</b>
		<b>Total Other Direct Awards</b>		<b>521,019.62</b>	<b>34,066.00</b>	<b>153,380.55</b>	<b>223,391.01</b>	<b>104,076.46</b>
		<b>Total Research &amp; Development Direct Awards</b>		<b>10,000.00</b>	<b>(0.01)</b>	<b>-</b>	<b>598.67</b>	<b>598.66</b>
		<b>Total Research &amp; Development Pass Through Awards</b>		<b>360,709.00</b>	<b>45,126.89</b>	<b>103,635.13</b>	<b>70,109.08</b>	<b>11,600.84</b>
		<b>Total Child Nutrition Cluster Pass Through Awards</b>		<b>64,779.42</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
		<b>Total SNAP Cluster Pass Through Awards</b>		<b>8,454,117.07</b>	<b>1,771,827.93</b>	<b>3,221,707.63</b>	<b>2,748,583.75</b>	<b>1,298,704.05</b>
		<b>Total Other Pass Through Awards</b>		<b>225,736.00</b>	<b>-</b>	<b>26,002.98</b>	<b>95,026.95</b>	<b>69,023.97</b>
<b>Total Department of Agriculture</b>				<b>\$ 9,636,361.11</b>	<b>\$ 1,851,020.81</b>	<b>\$ 3,504,726.29</b>	<b>\$ 3,137,709.46</b>	<b>\$ 1,484,003.98</b>

**SCHEDULE OF EXPENDITURES  
OF FEDERAL AWARDS**

June 30, 2012

*The CSU, Chico  
Research Foundation*  
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Catalog Number	Project Number	Project Title	Award/Contract Number	Program or Award Amount	Accrued (Deferred) Revenue 7/1/2011	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2012
<b>Department of Commerce</b>								
<b>Direct Awards</b>								
11.303	60495	EDA ADMIN Y2 10/11	07-66-06411	\$ 135,000.00	\$ 82,693.35	\$ 83,096.98	\$ 403.63	\$ -
11.303	60539	EDA ADMINISTRATION Y3 11/12	07-66-06411-02	135,000.00	-	49,361.41	135,220.77	85,859.36
11.303	60547	ECON SUPRT TO NATIVE AMERICAN	07-06-06782	200,000.00	-	-	4,985.26	4,985.26
<b>Sub-Total Other Direct Awards</b>				470,000.00	82,693.35	132,458.39	140,609.66	90,844.62
<b>Pass Through Awards</b>								
Apex CoVantage								
11.558	64108	NEBRASKA BROADBAND ARRA/APEX	P2830-1	239,000.00	(52,228.66)	55,000.00	107,228.66	-
<b>Sub-Total Other Pass Through Awards, Apex CoVantage</b>				239,000.00	(52,228.66)	55,000.00	107,228.66	-
CA Public Utilities Comm								
11.558	64109	BROADBAND MAP/OUTREACH CPUC	09IA5851	900,000.00	30,594.94	275,000.00	325,782.03	81,376.97
11.558	64110	BROADBAND MAP/OUTREACH CPUC	09IA5851	1,512,240.00	(110,955.73)	115,000.00	250,606.39	24,650.66
<b>Sub-Total Other Pass Through Awards, Ca Public Utilities Comm</b>				2,412,240.00	(80,360.79)	390,000.00	576,388.42	106,027.63
<b>Total Other Direct Awards</b>				470,000.00	82,693.35	132,458.39	140,609.66	90,844.62
<b>Total Other Pass Through Awards</b>				2,651,240.00	(132,589.45)	445,000.00	683,617.08	106,027.63
<b>Total Department of Commerce</b>				3,121,240.00	(49,896.10)	577,458.39	824,226.74	196,872.25
<b>Department of Defense</b>								
<b>Direct Awards - Research and Development</b>								
12.1XX	60486	CORP NRM RESEARCH 09/10	W912HZ-10-C-0015	63,620.08	1,178.85	1,178.85	-	-
12.300	60487	MULTI PARTY/RATE HISPEED 09/10	N00014-10-10435	221,221.00	6,121.60	5,597.46	(524.13)	0.01
12.300	60522	SIMULATION SYSTEM PART 2	N00014-11-1-0489	194,231.00	9,683.44	110,740.03	144,146.87	43,090.28
12.300	60534	ADV SIM TECHNIQUES FPGA	N00014-11-1-0902	100,534.00	-	37,659.59	42,632.95	4,973.36
12.300	60555	TECH TRANSFER TO NSWC	N00014-12-1-Q376	199,863.00	-	1,252.71	6,005.83	4,753.12
<b>Sub-Total R &amp; D Direct Awards</b>				779,469.08	16,983.89	156,428.64	192,261.52	52,816.77
<b>Pass Through Awards</b>								
University of South Carolina								
12.300	64070	SIM MTHDS NETWKD PWR ELEC Y1	10-1793	324,470.00	33,518.81	105,065.23	96,877.39	25,330.97
<b>Sub-Total R &amp; D Pass Through Awards, University of South Carolina</b>				324,470.00	33,518.81	105,065.23	96,877.39	25,330.97
H.T. Harvey & Associates								
12.CNT#	64262	BEALE AFB POND TURTLE 2012	3262-02	11,950.00	-	-	1,807.64	1,807.64
<b>Sub-Total Other Pass Through Awards, H.T. Harvey &amp; Associates</b>				11,950.00	-	-	1,807.64	1,807.64
<b>Total Research &amp; Development Direct Awards</b>				779,469.08	16,983.89	156,428.64	192,261.52	52,816.77
<b>Total Research &amp; Development Pass Through Awards</b>				324,470.00	33,518.81	105,065.23	96,877.39	25,330.97
<b>Total Other Pass Through Awards</b>				11,950.00	-	-	1,807.64	1,807.64
<b>Total Department of Defense</b>				1,115,889.08	50,502.70	261,493.87	290,946.55	79,955.38
<b>Department of Housing and Urban Development</b>								
<b>Pass Through Awards</b>								
City of Chico								
14.218	64191	CHICO COMMTY CARING CBDG 1011	PO 135794	4,555.44	(147.56)	(147.56)	-	-
<b>Sub-Total CDBG -Entitlement Grants Cluster, Pass Through Awards, City of Chico</b>				4,555.44	(147.56)	(147.56)	-	-
<b>Total Department of Housing and Urban Development</b>				4,555.44	(147.56)	(147.56)	-	-
<b>Department of Interior</b>								
<b>Direct Awards - Other</b>								
15.224	60500	BLM-NEIC DATA SHARING	L10AC20264	4,500.00	1,794.82	3,274.08	1,479.26	-
15.225	60559	BLM CESU INTERNSHIP - HOFFMAN	L12AC20008, AM 1	12,500.00	-	-	5,993.10	5,993.10
15.225	60560	BLM CESU INTERNSHIP - WILSON	L12AC20008, AM 2	12,500.00	-	-	5,850.61	5,850.61
15.225	60565	BLM CESU INTERNSHIP - AZEVEDO	L12AC20008 AM3	5,000.00	-	-	4,389.17	4,389.17
15.225	60566	BLM CESU NLCS WORKSHOP	L12AC20008 AM4	17,996.00	-	-	13,642.98	13,642.98
15.512	60506	IRRIG TRG FACILITY 10/15	R10AP20034	500,000.00	17,988.83	79,620.88	80,639.77	19,007.72
15.631	60480	HABITAT RESTORATION BCEP 09-14	814209J525	18,000.00	-	-	3,536.14	3,536.14
15.648	60422	IRON CANYON FISH LADDER	813327G007	222,068.00	10,955.35	358.61	-	10,596.74
15.945	60524	ALCATRAZ CIM FLD SCHL NPS-CESU	J8C07110005	20,520.00	9,687.99	20,520.00	10,832.01	-
15.945	60530	RED BARN CIM FLD SCHL NPS-CESU	J8C07110006	70,983.73	-	70,983.73	70,983.73	-
15.945	60563	ALCATRAZ FLD SCHL 2 NPS-CESU	J8C071100005 MOD 1	21,924.00	-	-	21,000.00	21,000.00
15.DAV	60510	CESU JUBA TOOLKIT/NPS	J8C07100020	20,000.00	1,080.33	1,080.33	-	-
<b>Sub-Total Other Direct Awards</b>				925,991.73	41,507.32	175,837.63	218,346.77	84,016.46
<b>Direct Awards - Research and Development</b>								
15.945	60542	CHIS NP MARINE HABITAT MAP	J8C07110015	299,950.00	-	10,738.06	39,233.73	28,495.67
15.FCC	60428	CUCKOO HABITAT SURVEY	801817M493	42,303.86	698.71	698.71	-	-
<b>Sub-Total Research &amp; Development Direct Awards</b>				342,253.86	698.71	11,436.77	39,233.73	28,495.67
<b>Pass Through Awards</b>								
CA Dept of Fish & Game								
15.615	63425	VERNAL POOLS SHASTA F&G	P0210712	4,743.95	-	-	-	-
<b>Sub-Total Other Pass Through Awards, CA Dept of Fish and Game</b>				\$ 4,743.95	\$ -	\$ -	\$ -	\$ -



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15.631		Streamminder						
	64157	ADDIT FUND BIDWELL/STRMNDR/FWS	SP-11-216	\$ 11,301.30	\$ -	\$ 11,301.30	\$ 11,301.30	\$ -
		<b>Sub-Total Other Pass Through Awards, Streamminders</b>		11,301.30	-	11,301.30	11,301.30	-
15.904	64156	OHP ANNUAL GRANT 10/11	C8952510	24,900.00	10,650.46	24,900.00	14,249.54	-
15.904	64215	OHP ANNUAL GRANT 2011-2012	C8953532	9,600.00	-	-	10,031.85	10,031.85
		<b>Sub-Total Other Pass Through Awards, CA Dept of Park and Rec</b>		34,500.00	10,650.46	24,900.00	24,281.39	10,031.85
		<b>Total Other Direct Awards</b>		925,991.73	41,507.32	175,837.63	218,346.77	84,016.46
		<b>Total Research &amp; Development Direct Awards</b>		342,253.86	698.71	11,436.77	39,233.73	28,495.67
		<b>Total Other Pass Through Awards</b>		50,545.25	10,650.46	36,201.30	35,582.69	10,031.85
		<b>Total Department of Interior</b>		1,318,790.84	52,856.49	223,475.70	293,163.19	122,543.98
		<b>Department of Justice</b>						
		<b>Direct Awards</b>						
16.525	60453	VIOLENCE AGAINST WOMEN 08-11	2008-WA-AX-0013	432,971.46	58,191.37	105,759.61	47,568.24	-
		<b>Sub-Total Other Direct Awards</b>		432,971.46	58,191.37	105,759.61	47,568.24	-
		<b>Total Department of Justice</b>		432,971.46	58,191.37	105,759.61	47,568.24	-
		<b>U.S. Dept of Labor</b>						
		<b>Pass Through Awards</b>						
17.258	64149	SUSTAINABLE MANUFCTRNG Y1	11-B-308-06	200,000.00	(200,000.00)	-	200,000.00	-
		<b>Sub-Total WIA Cluster, Pass Through Awards, Alliance for Workforce Dev</b>		200,000.00	(200,000.00)	-	200,000.00	-
17.259	64150	CHICO RURL TEACHR PATHWY	K182088	500,000.00	30,625.10	166,856.58	215,450.40	79,218.92
		<b>Sub-Total WIA Cluster, Pass Through Awards, CA Employment Dev Dept</b>		500,000.00	30,625.10	166,856.58	215,450.40	79,218.92
17.259	64163	SUMMER WORK EXPR 1011/NCCC	10-23	7,199.00	7,199.00	7,199.00	-	-
17.259	64214	SUMMER WORK EXPR 1112/NCCC	11-23	9,640.00	-	9,640.00	9,640.00	-
		<b>Sub-Total WIA Cluster, Pass Through, North Central Counties Consortium</b>		16,839.00	7,199.00	16,839.00	9,640.00	-
17.260	63979	GEOSPATIAL WORKFORCE TRAINING	none	318,783.06	0.01	-	-	0.01
17.260	63988	NURSE REENTRY ARRA 09/10	none	326,778.66	(0.35)	-	-	(0.35)
17.260	64025	ZERO ENERGY HOME PJCT Y1 09/10	none	118,693.31	-	-	-	-
17.260	64064	PIC LVN TO BSN	none	91,774.04	(2,758.07)	-	-	(2,758.07)
17.260	64122	ARRA GEOSPATIL TRG PGM Y2 1011	none	80,508.64	-	-	-	-
		<b>Sub-Total WIA Cluster, Pass Through Awards, Private Industry Council</b>		936,537.71	(2,758.41)	-	-	(2,758.41)
17.260	64050	REAP WEATHER MEASURMENT	none	67,964.94	-	-	-	-
		<b>Sub-Total R&amp;D and WIA Cluster, Pass Through Awards, Private Industry Council</b>		67,964.94	-	-	-	-
		<b>Total WIA Cluster Pass Through Awards</b>		1,653,376.71	(164,934.31)	183,695.58	425,090.40	76,460.51
		<b>Total R&amp;D/WIA Cluster Pass Through Awards</b>		67,964.94	-	-	-	-
		<b>Total Department of Labor</b>		1,721,341.65	(164,934.31)	183,695.58	425,090.40	76,460.51
		<b>Department of State Bureau of Educational and Cultural Affairs</b>						
		<b>Direct Awards - Other</b>						
19.401	60521	US INST FOR 2NDRY EDUC/STATE	S-ECAAE-11-CA-049(DT)	352,093.00	140,619.03	300,319.87	165,940.45	6,239.61
19.401	60557	US INST 2NDRY EDUC 2012/DOS	S-ECAAE-12-CA-045(DT)	359,933.00	-	-	226,583.70	226,583.70
		<b>Sub-Total Other Direct Awards</b>		712,026.00	140,619.03	300,319.87	392,524.15	232,823.31
		<b>Pass Through Awards</b>						
19.408	64138	IREX TEA 2011	FY10-TEA-CSU-Chico-01	167,750.26	73,237.72	73,240.63	2.91	-
19.408	64158	PAKISTAN TEACHER IREX 2011	F010-TEA-CSU-CHICO-02	176,990.74	-	176,990.74	176,990.74	-
19.408	64219	TEA PROGRAM SPRING 2012	FY11-TEA-CSU-Chico-01	199,966.00	-	102,343.07	188,516.58	86,173.51
19.408	64275	TEA PROGRAM FALL 2012	FY12-TEA-CSU-Chico-01	220,376.00	-	-	-	-
		<b>Sub-Total Other Pass Through Awards, Int'l Research &amp; Exchanges Board</b>		765,083.00	73,237.72	352,574.44	365,510.23	86,173.51
		<b>Total Other Direct Awards</b>		712,026.00	140,619.03	300,319.87	392,524.15	232,823.31
		<b>Total Other Pass Through Awards</b>		765,083.00	73,237.72	352,574.44	365,510.23	86,173.51
		<b>Total Department of State Bureau of Educational and Cultural Affairs</b>		1,477,109.00	213,856.75	652,894.31	758,034.38	318,996.82
		<b>Department of Transportation</b>						
		<b>Direct Awards - Other</b>						
20.205	60447	YUBA DONNER SCENIC BYWAY 08/09	08-CR-11072100-104	118,637.00	-	7,744.04	7,744.04	-
		<b>Sub-Total Highway Planning and Const. Cluster, Direct Awards</b>		\$ 118,637.00	\$ -	\$ 7,744.04	\$ 7,744.04	\$ -

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<b>Pass Through Awards</b>								
University of Alaska								
20.701	64134	ALASKA PVMT PRESERVATION GUIDE	PO FP12209 UAF 110028	\$ 82,134.00	\$ 14,754.03	\$ 53,773.67	\$ 41,504.11	\$ 2,484.47
<b>Sub-Total Other Pass Through Awards, University of Alaska</b>				82,134.00	14,754.03	53,773.67	41,504.11	2,484.47
<b>Total Highway Planning and Construction Cluster Direct Awards</b>				118,637.00	-	7,744.04	7,744.04	-
<b>Total Other Pass Through Awards</b>				82,134.00	14,754.03	53,773.67	41,504.11	2,484.47
<b>Total Department of Transportation</b>				200,771.00	14,754.03	61,517.71	49,248.15	2,484.47
<b>National Aeronautics and Space Administration</b>								
<b>Pass Through Awards</b>								
NASA - Jet Propulsion Labs								
43.CNT#	64213	CAPSTONE DESIGN STUDENT PROJ	1445015	5,000.00	-	2,465.17	3,368.58	903.41
<b>Sub-Total Other Pass Through Awards, NASA - Jet Propulsion Labs</b>				5,000.00	-	2,465.17	3,368.58	903.41
<b>Total National Aeronautics and Space Administration</b>				5,000.00	-	2,465.17	3,368.58	903.41
<b>National Endowment for the Arts</b>								
<b>Direct Awards - Other</b>								
45.024	60528	CHICO WORLD MUSIC SUPPORT 2011	11-5400-7104	20,000.00	-	20,000.00	20,000.00	-
<b>Sub-Total Other Direct Awards</b>				20,000.00	-	20,000.00	20,000.00	-
<b>Direct Awards - Research and Development</b>								
45.160	60496	CA INDIAN SLAVERY	none	50,400.00	(3,816.66)	-	4,577.00	760.34
<b>Sub-Total Research and Development Direct Awards</b>				50,400.00	(3,816.66)	-	4,577.00	760.34
<b>Pass Through Awards</b>								
California Presenters								
45.025	64164	METALES M5/CA PRESENTERS	none	2,000.00	-	2,000.00	2,000.00	-
<b>Sub-Total Other Pass Through Awards, California Presenters</b>				2,000.00	-	2,000.00	2,000.00	-
WESTAF (Western St Arts Fed)								
45.025	64196	CHAMBER ORCHESTRA KREMLIN	TW20110043	2,500.00	-	2,500.00	2,500.00	-
45.025	64197	CREOLE CHOIR OF CUBA	TW20110038	2,500.00	-	2,500.00	2,500.00	-
<b>Sub-Total Other Pass Through Awards, WESTAF</b>				5,000.00	-	5,000.00	5,000.00	-
<b>Total Other Direct Awards</b>				20,000.00	-	20,000.00	20,000.00	-
<b>Total Research and Development Direct Awards</b>				50,400.00	(3,816.66)	-	4,577.00	760.34
<b>Total Other Pass Through Awards</b>				7,000.00	-	7,000.00	7,000.00	-
<b>Total National Endowment for the Arts</b>				77,400.00	(3,816.66)	27,000.00	31,577.00	760.34
<b>National Science Foundation</b>								
<b>Direct Awards -Other</b>								
47.050	60436	VOLCANO MONITORING 08/09	EAR-0833767	17,741.00	0.27	-	(0.27)	-
47.075	60509	NSF ADV RSRCH VSUAL ANTHROPOLG	BCS-1040483	286,646.00	11,190.89	44,667.14	40,968.85	7,492.60
47.076	60412	NOYCE SCHOLARSHIPS Y1 07/08	DUE-0733758	883,081.00	28,203.27	227,247.47	299,662.85	100,618.65
47.076	60417	CEET SCHOLARSHIPS PJCT 07-12	DUE-0726313	598,642.00	13,293.55	141,076.53	127,782.98	-
47.076	60540	NSF TEMS II PROGRAM 11/16	DUE-1136431	749,600.00	-	-	2,547.23	2,547.23
<b>Sub-Total Other Direct Awards</b>				2,535,710.00	52,687.98	412,991.14	470,961.64	110,658.48
<b>Direct Awards - Research and Development</b>								
47.050	60515	RAPID REAL SECURITY/NSF	AGS-1104342	25,292.00	6,852.90	8,963.02	2,110.12	-
47.074	60414	RUI VIRAL DIRVITY HOT LAKE 08	MCB-0702069	291,453.00	4,635.21	37,222.48	36,128.60	3,541.33
47.075	60444	SEDENTISM IN CA DELTA 08_11	BCS0819975	93,578.00	5,111.03	4,934.92	(176.11)	-
47.076	60448	GARNET (GEOSCIENCE RSRCH NTWRK	DUE-0817245	17,376.98	0.02	-	(0.02)	-
47.076	60459	JOINT LAB CURRICULUM BUTTE COL	DUE-0817245	151,424.00	-	(2,000.00)	105.07	2,105.07
47.076	60461	STDT GENRATD SCINTFC INQ 09/11	DUE-0837058	149,662.00	37,362.60	61,383.43	16,808.33	(7,212.50)
47.076	60488	LIFE SCIENCE ED FOR ELEM TCHRS	DUE-0942391	152,085.00	27,300.89	49,227.92	34,936.54	13,009.51
47.076	60511	NSF GARNET PHASE II	DUE-1022765	36,210.00	2,143.77	4,461.33	3,429.43	1,111.87
47.076	60552	NSF PRE-K EARLY ALGEBRA 11/12	DRL-1212766	207,128.00	-	24,774.80	126,193.31	101,418.51
47.082	60475	HORIZONTAL VECTOR WIND MSRMNT	ATM-0924407	554,585.00	46,457.32	170,782.31	177,665.95	53,340.96
47.479	60558	NSF REU/RET MATHEMATICS	DMS-1156612	107,534.00	-	-	46,335.83	46,335.83
<b>Sub-Total Research &amp; Development Direct Awards</b>				1,786,327.98	129,863.74	359,750.21	443,537.05	213,650.58
<b>Pass Through Awards</b>								
University of Texas, Arlington								
47.041	64136	NSF RC FRAME COLLAPSE STUDY	26-1003-8263	166,799.00	16,689.82	28,540.58	16,915.54	5,064.78
<b>Sub-Total R &amp; D Pass Through Awards, Univ. of Texas, Arlington</b>				166,799.00	16,689.82	28,540.58	16,915.54	5,064.78
University of Southern California								
47.050	64072	SCEC SIM GROUND MOTION SIMS	144207	6,946.88	-	4,871.39	4,871.39	-
<b>Sub-Total R &amp; D Pass Through Awards, University of Southern Ca</b>				6,946.88	-	4,871.39	4,871.39	-
Univ. of CA, Office of the President								
47.074	64154	IMPVG BIOLOGICAL RSRCH CLLCTNS	Sub 00007365	21,512.00	-	100.71	2,221.36	2,120.65
<b>Sub-Total Other Pass Through Awards, Univ of CA Office of the President</b>				21,512.00	-	100.71	2,221.36	2,120.65
New York University								
47.076	64264	MARN 1112 & 1213	F6776-05	14,257.00	-	-	5,773.88	5,773.88
<b>Sub-Total R &amp; D Pass Through Awards, New York University</b>				\$ 14,257.00	\$ -	\$ -	\$ 5,773.88	\$ 5,773.88

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47.076	64204	San Diego State University ETHICS EDU FOR SCI MASTERS '11	55975A P2123 7803 211	\$ 11,966.14	\$ -	\$ 11,966.14	\$ 11,966.14	\$ -
		<b>Sub-Total Other Pass Through Awards, San Diego State University</b>		11,966.14	-	11,966.14	11,966.14	-
47.076	64135	Shasta College NSF ADV TECH PRGM SHASTA 10/12	SP-09-239	36,716.00	10,221.00	12,670.21	16,433.60	13,984.39
		<b>Sub-Total Other Pass Through Awards, Shasta College</b>		36,716.00	10,221.00	12,670.21	16,433.60	13,984.39
47.076	64128	MESA Statewide / UCOP MESA NSF SCHOLARSHIPS 10/11	11-MESA-324218-3	13,000.00	-	-	-	-
47.076	64227	MESA NSF SCHLRSH GONZALES	11-MESA-63118-3-92	12,875.00	-	12,875.00	12,875.00	-
		<b>Sub-Total Other Pass Through Awards, MESA Statewide / UCOP</b>		25,875.00	-	12,875.00	12,875.00	-
47.076	64071	University Enterprises - Sacramento State LSAMP PHASE IV Y3 10/11	HRD-0802628-515261	55,203.95	5,990.37	5,990.37	-	-
47.076	64111	LSAMP STU DNT Y3 10/11 UEI/NSF	HRD-0802628-518551	1,900.00	1,900.00	1,900.00	-	-
47.076	64159	LSAMP PHAS IV Y4 11/12 UEI/NSF	HRD-0802628-515261	48,000.00	-	35,465.75	48,000.00	12,534.25
47.076	64165	LSAMP STU DNT Y4 11/12 UEI/NSF	HRD-0802628-519851	9,663.00	-	1,899.00	9,663.00	7,764.00
47.076	64277	LSAMP PH IV Y5 12-13	HRD-0802628-515265	45,000.00	-	-	-	-
		<b>Sub-Total Other Pass Through Awards, University Enterprises - Sac State</b>		159,766.95	7,890.37	45,255.12	57,663.00	20,298.25
47.082	64051	Iowa State University ARRA EFR1 BIOFUELS	441-04-04A	314,250.00	24,360.08	61,599.94	90,007.21	52,767.35
		<b>Sub-Total Research &amp; Development Pass Through Awards, Iowa State University</b>		314,250.00	24,360.08	61,599.94	90,007.21	52,767.35
47.082	64160	San Diego State University ARRA SCIENCE MSTR'S PGM Y1 10/11	56277A P38297802 218	4,111.40	4,111.40	4,111.40	-	-
		<b>Sub-Total Other Pass Through Awards, San Diego State University</b>		4,111.40	4,111.40	4,111.40	-	-
		<b>Total Other Direct Awards</b>		2,535,710.00	52,687.98	412,991.14	470,961.64	110,658.48
		<b>Total Research &amp; Development Direct Awards</b>		1,786,327.98	129,863.74	359,750.21	443,537.05	213,650.58
		<b>Total Research &amp; Development Pass Through</b>		502,252.88	41,049.90	95,011.91	117,568.02	63,606.01
		<b>Total Other Pass Through Awards</b>		259,947.49	22,222.77	86,978.58	101,159.10	36,403.29
		<b>Total National Science Foundation</b>		5,084,238.35	245,824.39	954,731.84	1,133,225.81	424,318.36
<b>U.S. Small Business Administration</b>								
<b>Direct Awards - Other</b>								
59.037	60485	SBA 2010	0-603001-A0063-08	1,185,540.23	325,453.38	325,453.38	-	-
59.037	60513	SBA 2009 CARRYFORWARD	9-603001-Z-0063	436,420.94	436,421.01	436,420.94	(0.07)	-
59.037	60514	SBA 2011	1-603001-Z-0063-09	1,461,683.00	157,148.08	1,454,802.85	1,290,483.29	(7,171.48)
59.037	60517	SBA JOBS 11/12	1-603001-Z-0108	616,586.00	799.18	147,378.47	156,141.20	9,561.91
59.037	60550	SBA 2012	SBAHQ-12-B-0070	1,391,422.00	-	85,551.51	174,725.59	89,174.08
59.058	60516	SBA FAST	SBAHQ-10-G-0012	100,000.00	29,000.43	76,979.44	65,838.33	17,859.32
59.058	60554	SBA FAST 11/12	SBAHQ-11-G-0028	55,444.00	-	-	-	-
59.037	60527	2010 CARRYFORWARD TO 2011	1-603001-Z-0063-09-02	158,555.76	-	158,555.76	158,555.76	-
		<b>Sub-Total Other Direct Awards</b>		5,405,651.93	948,822.08	2,685,142.35	1,845,744.10	109,423.83
<b>Pass Through Awards</b>								
59.037	64211	Center for Economic Development SBDC STATEWIDE PARTNERSHP 2011	1-603001-Z-0063-09	11,114.10	-	-	7,174.89	7,174.89
		<b>Sub-Total Other Pass Through Awards, Center for Economic Development</b>		11,114.10	-	-	7,174.89	7,174.89
59.037	64211	Humboldt State University No. CA. SBDC Network SBDC STATEWIDE PARTNERSHP 2011	1-603001-Z-0063-09	22,407.60	-	14,366.20	14,366.20	-
		<b>Sub-Total Other Pass Through Awards, Humboldt State University No. CA. SBDC Network</b>		22,407.60	-	14,366.20	14,366.20	-
59.037	64211	Southwestern Community College SBDC STATEWIDE PARTNERSHP 2011	1-603001-Z-0063-09	8,343.04	-	5,348.97	5,348.97	-
		<b>Sub-Total Other Pass Through Awards, Southwestern Community College</b>		8,343.04	-	5,348.97	5,348.97	-
59.037	64211	UC Merced Sponsored Projects SBDC STATEWIDE PARTNERSHP 2011	1-603001-Z-0063-09	11,642.38	-	7,464.27	7,464.27	-
		<b>Sub-Total Other Pass Through Awards, UC Merced Sponsored Projects</b>		11,642.38	-	7,464.27	7,464.27	-
59.037	64211	Long Beach City College SBDC STATEWIDE PARTNERSHP 2011	1-603001-Z-0063-09	28,916.57	-	18,539.31	18,539.31	-
		<b>Sub-Total Other Pass Through Awards, Long Beach City College</b>		28,916.57	-	18,539.31	18,539.31	-
59.037	64211	Orange County / Inland Empire Region SBDC SBDC STATEWIDE PARTNERSHP 2011	1-603001-Z-0063-09	17,254.25	-	11,062.24	11,062.24	-
		<b>Sub-Total Other Pass Through Awards, Orange County / Inland Empire Region SBDC</b>		17,254.25	-	11,062.24	11,062.24	-
59.037	64232	Center for Economic Development SBDC STATEWIDE PARTNERSHP '12	2-603001-Z-0063	17,432.92	-	-	8,867.18	8,867.18
		<b>Sub-Total Other Pass Through Awards, Center for Economic Development</b>		17,432.92	-	-	8,867.18	8,867.18
59.037	64232	Humboldt State University No. CA. SBDC Network SBDC STATEWIDE PARTNERSHP 2011	2-603001-Z-0063	35,147.26	-	8,033.24	16,862.96	8,829.72
		<b>Sub-Total Other Pass Through Awards, Humboldt State Univ. No. CA. SBDC Network</b>		\$ 35,147.26	\$ -	\$ 8,033.24	\$ 16,862.96	\$ 8,829.72

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59.037		Southwestern Community College						
	64232	SBDC STATEWIDE PARTNERSHP 2011	2-603001-Z-0063	\$ 13,086.41	\$ -	\$ -	\$ 6,278.60	\$ 6,278.60
		<b>Sub-Total Other Pass Through Awards, Southwestern Community College</b>		13,086.41	-	-	6,278.60	6,278.60
59.037		UC Merced Sponsored Projects						
	64232	SBDC STATEWIDE PARTNERSHP 2011	2-603001-Z-0063	18,261.56	-	-	8,761.54	8,761.54
		<b>Sub-Total Other Pass Through Awards, UC Merced Sponsored Projects</b>		18,261.56	-	-	8,761.54	8,761.54
59.037		Long Beach City College						
	64232	SBDC STATEWIDE PARTNERSHP 2011	2-603001-Z-0063	45,356.84	-	10,366.74	21,761.32	11,394.58
		<b>Sub-Total Other Pass Through Awards, Long Beach City College</b>		45,356.84	-	10,366.74	21,761.32	11,394.58
59.037		Orange County / Inland Empire Region SBDC						
	64232	SBDC STATEWIDE PARTNERSHP 2011	2-603001-Z-0063	27,064.01	-	6,185.74	12,984.78	6,799.04
		<b>Sub-Total Other Pass Through Awards, Orange County / Inland Empire Region SBDC</b>		27,064.01	-	6,185.74	12,984.78	6,799.04
		<b>Total Other Direct Awards</b>		5,405,651.93	948,822.08	2,685,142.35	1,845,744.10	109,423.83
		<b>Total Other Pass Through Awards</b>		256,026.94	-	81,366.71	139,472.26	58,105.55
		<b>Total U.S. Small Business Administration</b>		5,661,678.87	948,822.08	2,766,509.06	1,985,216.36	167,529.38
		<b>Environmental Protection Agency</b>						
		<b>Pass Through Awards</b>						
		City of Chico						
66.458	64030	CITY OF CHICO BIDWELL AVE ARRA	131905 AMD #2	309,314.29	13,710.26	252,666.23	238,955.97	-
66.458	64031	ARRA CITY OF CHICO VERBENA	131905 AMD #2	25,795.62	2,391.44	2,391.44	-	-
		<b>Sub-Total Other Pass Through Awards, City of Chico</b>		335,109.91	16,101.70	255,057.67	238,955.97	-
		<b>Total Environmental Protection Agency</b>		335,109.91	16,101.70	255,057.67	238,955.97	-
		<b>Department of Energy</b>						
		<b>Pass Through Awards</b>						
		University of Minnesota						
81.122	64139	ARRA ENERGY SYSTEM ED CONSRTY1	A000211581	2,666.00	0.40	1,333.00	1,332.60	-
		<b>Sub-Total Other Pass Through Awards, University of Minnesota</b>		2,666.00	0.40	1,333.00	1,332.60	-
		Lawrence Livermore National Laboratory						
81.CNT	64198	SCOUT ROBOTIC VEHICLE 2011	B597357	142,073.00	-	99,870.67	113,153.09	13,282.42
81.CNT	64203	NIF ROBOTIC MODULE DEVELOPMNT	B597629	225,000.00	-	100,703.34	195,406.59	94,703.25
		<b>Sub-Total R &amp; D Pass Through Awards, Lawrence Livermore Ntl Lab</b>		367,073.00	-	200,574.01	308,559.68	107,985.67
		Lawrence Livermore National Laboratory						
81.CNT#	64131	STRD ROBOTIC SENSOR TECH DEV	B593227	147,739.43	24,496.32	73,575.44	49,079.12	-
81.CNT#	64132	D2T3 ROBOTIC DEVELOPMENT	B593372	297,957.00	61,267.16	146,879.96	85,612.80	-
81.CNT#	64140	RFID SYSTEMS PLATFORM/LLNL	B593961	36,381.45	4,911.54	26,804.88	21,893.34	-
		<b>Sub-Total Other Pass Through Awards, Lawrence Livermore Ntl Lab</b>		482,077.88	90,675.02	247,260.28	156,585.26	-
		<b>Total Research &amp; Development Pass Through Awards</b>		367,073.00	-	200,574.01	308,559.68	107,985.67
		<b>Total Other Pass Through Awards</b>		484,743.88	90,675.42	248,593.28	157,917.86	-
		<b>Total Department of Energy</b>		851,816.88	90,675.42	449,167.29	466,477.54	107,985.67
		<b>US Department of Education</b>						
		<b>Direct Awards - Other</b>						
84.116J	60499	TRANSATLANTIC DUAL MASTER Y1	P116J100044	103,724.00	6,800.00	22,716.50	15,916.50	-
84.116J	60549	TRNSATLNTC DUAL MASTER Y2 1112	P116J100044-11	104,073.00	-	30,702.91	30,702.91	-
84.116N	60471	N AMERICAN ENG INV TRG Y3 9/10	P116N070004-09	71,473.20	-	-	-	-
84.116N	60504	N AMERICA ENG INV TRG Y4 10/11	P116N070004-10	63,633.64	3,683.30	23,007.75	19,308.34	(16.11)
84.215F	60543	GET MOVING SO COUNTY Y1 11/12	Q215F110569	333,656.00	-	183,884.45	208,328.09	24,443.64
84.325	60544	T.R.A.C.K. PROGRAM Y1 11/12	H325K110322	250,000.00	-	62,662.35	65,250.82	2,588.47
84.325K	60465	MEETING CHANGES RURAL Y5 2010	H325K053174-08	169,411.82	-	-	-	-
84.325K	60491	PRIORITY PERSONNEL DEV Y2 1011	H325K090238-10	149,935.52	2,004.52	2,004.52	-	-
84.325K	60529	PRIORITY PERSONNEL DEV Y3 1112	H325K090238-11	306,363.61	-	114,349.58	144,153.89	29,804.31
84.325T	60477	NEXT STEPS Y2 09/10	H328T080001-09	98,266.92	-	-	-	-
84.325T	60501	NEXT STEPS Y3 10/11	H325T08001-10	69,580.64	7,953.52	26,806.36	18,852.84	-
84.325T	60531	NEXT STEPS Y4 11/12	H325T08001-11	138,344.18	-	52,750.19	57,072.55	4,322.36
84.335	60423	CCAMPIS Y2 07/08	P335A06217-07	11,861.23	-	-	-	-
84.335	60451	CCAMPIS Y3 08/09	P335A060217-08	169,334.50	-	-	-	-
84.335	60476	CCAMPIS Y4 09/10	P335A060217-09	83,399.01	-	-	-	-
84.335A	60512	CCAMPIS Y1 10/11	P335A100115	86,199.00	1,773.44	77,745.58	75,972.14	-
84.335A	60545	CCAMPIS Y2 11/12	P335A100115-11	86,759.00	-	44,103.78	53,736.98	9,633.20
84.365Z	60564	CPD COLLAB PROF DEVELP CA SHCL	T365Z120186	384,401.00	-	-	151.20	151.20
		<b>Sub-Total Other Direct Awards</b>		\$ 2,680,416.27	\$ 22,214.78	\$ 640,733.97	\$ 689,446.26	\$ 70,927.07

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84.042A	60449	STUDENT SUPPORT SVCS Y4 08/09	P042A050994-08	\$ 251,318.58	\$ -	\$ -	\$ -	\$ -
84.042A	60474	STUDENT SUPPORT SVCS Y5 09/10	P042A050994-092-09	269,069.33	-	-	-	-
84.042A	60507	STDNT SUPPRT SERVICES Y1 10/11	P042A100854	253,230.69	32,796.84	78,165.01	45,294.62	(73.55)
84.042A	60538	STDNT SUPPRT SREVICES Y2 11/12	P042A100854-11	249,856.31	-	169,901.05	198,378.06	28,477.01
84.044A	60446	ETS II Y3 08/09	P044A060322-08	249,162.47	-	-	-	-
84.044A	60472	TALENT SEARCH I Y4 09/10	P004A060323-09	381,513.11	-	-	-	-
84.044A	60473	TALENT SEARCH II Y4 09/10	P004A06032	236,056.46	-	-	-	-
84.044A	60502	TALENT SEARCH PROGRAM Y5 10/11	P044A060323-10	380,281.10	40,076.37	80,520.68	40,444.31	-
84.044A	60503	ETS II Y5 10/11	P044A060322-10	230,072.37	23,073.24	43,312.32	20,239.08	-
84.044A	60532	EDU TALENT SEARCH I Y1 11/12	P044A110388	379,019.00	-	230,326.24	265,271.27	34,945.03
84.044A	60533	ETS II Y1 11/12	P044A110441	230,000.00	-	135,685.42	155,187.33	19,501.91
84.047A	60460	UPWARD BOUND Y2 09/10	P047A080258-08	542,061.71	-	-	-	-
84.047A	60467	UPWARD BOUND ESL Y2 09/10	P047A080227-09	359,055.95	-	-	-	-
84.047A	60490	UPWARD BOUND 10/11	P047A080258-10	571,685.34	11,757.20	(1,006.35)	(12,763.55)	-
84.047A	60505	UPWARD BOUND ESL Y3 10/11	P047A080227-10	325,918.52	39,603.29	163,053.72	123,450.43	-
84.047A	60523	UPWARD BOUND Y4 11/12	P047A080258-11	557,753.47	63,204.30	539,038.28	480,462.58	4,628.60
84.047A	60536	UPWARD BOUND ESL Y4 11 12	P047A080227-11	346,582.59	-	189,478.58	230,862.95	41,384.37
84.047A	60562	UPWARD BOUND Y5 12/13	P047A08258-12	560,000.00	-	-	60,259.72	60,259.72
84.047M	60466	UPWARD BD MATH & SCI Y3 09/10	P047M070045-09	270,233.76	3,579.41	3,579.41	-	-
84.047M	60498	UPWARD BOUND MATH & SCI Y4	P047M070045-10	254,671.10	51,896.98	142,933.23	91,036.25	-
84.047M	60535	UPWARD BND MATH SCI Y5 11/12	P047M070045-13	242,565.90	-	126,825.11	152,487.28	25,662.17
		<b>Sub-Total TRIO Cluster Direct Awards</b>		<b>7,140,107.76</b>	<b>265,987.63</b>	<b>1,901,812.70</b>	<b>1,850,610.33</b>	<b>214,785.26</b>
84.336S	60508	TQP COSTARS Y2 10/11	U336S090019-11	1,021,370.72	127,683.10	523,858.23	396,175.13	-
84.336S	60541	COSTARS TQP Y3 11/12	U336S090119-11	1,563,298.78	-	438,411.97	584,361.78	145,949.81
		<b>Sub-Total Teacher Quality Partnership Grants Cluster Direct Awards</b>		<b>2,584,669.50</b>	<b>127,683.10</b>	<b>962,270.20</b>	<b>980,536.91</b>	<b>145,949.81</b>
<b>Pass Through Awards</b>								
CA Dept of Education								
84.048	64123	CHICO AG FIELD OFFICE Y2 1011	CN090279	8,583.69	2,587.54	3,697.49	1,109.95	-
84.048	64195	CHICO AG FIELD OFFICE Y3 11/12	CN090279	5,517.94	-	5,517.94	5,517.94	-
		<b>Sub-Total Other Pass Through Awards, CA Department of Education</b>		<b>14,101.63</b>	<b>2,587.54</b>	<b>9,215.43</b>	<b>6,627.89</b>	<b>-</b>
CA Department of Food & Agriculture								
84.048	64236	CHICO AG FIELD OFFICE 11/12	CN110279	6,950.45	-	-	4,579.68	4,579.68
		<b>Sub-Total Other Pass Through Awards, CA Department of Food &amp; Agriculture</b>		<b>6,950.45</b>	<b>-</b>	<b>-</b>	<b>4,579.68</b>	<b>4,579.68</b>
CalPoly Corporation								
84.048A	64231	CAL POLY AG ED PRESRVC 11/12	PO F0018924	6,259.00	-	1,682.54	6,259.00	4,576.46
		<b>Sub-Total Other Pass Through Awards, CalPoly Corporation</b>		<b>6,259.00</b>	<b>-</b>	<b>1,682.54</b>	<b>6,259.00</b>	<b>4,576.46</b>
Univ. of CA, Irvine								
84.1842	64152	WILDCAT WATCH TRAINING PGM '11	2011-2552	10,000.00	8,926.95	10,000.00	1,284.33	211.28
		<b>Sub-Total Other Pass Through Awards, U.C. Irvine</b>		<b>10,000.00</b>	<b>8,926.95</b>	<b>10,000.00</b>	<b>1,284.33</b>	<b>211.28</b>
Chico Unified School District								
84.366	64055	CAMSP MATH GRANT Y2 09/10	109042	165,753.00	-	-	-	-
84.366	64118	CA MSP Y3 10/11	109042	174,648.00	124,430.65	138,797.94	14,367.50	0.21
		<b>Sub-Total Other Pass Through Awards, Chico Unified School District</b>		<b>340,401.00</b>	<b>124,430.65</b>	<b>138,797.94</b>	<b>14,367.50</b>	<b>0.21</b>
Marysville Joint USD								
84.366B	64068	MAKING MATH MATTER M3	SP 10-055	214,565.00	61,873.55	73,091.80	11,198.48	(19.77)
84.366B	64200	MAKING MATH MATTER M3 YR2	SP-10-055 Am1	282,724.00	-	132,692.86	236,455.53	103,762.67
		<b>Sub-Total Other Pass Through Awards, Marysville Joint USD</b>		<b>497,289.00</b>	<b>61,873.55</b>	<b>205,784.66</b>	<b>247,654.01</b>	<b>103,742.90</b>
Shasta Co Office of Education								
84.366B	64036	SMART SCIENCE Y1 09/10	SP 09-047	38,671.95	-	-	-	-
84.366B	64148	SMART SCIENCE Y2 10/11	SP 09-047-Y2	46,201.00	(17,788.90)	-	17,349.25	(439.65)
84.366B	64217	SMART SCIENCE Y3 11/12	SP 09-047-Y3	40,335.00	-	40,335.00	18,040.53	(22,294.47)
		<b>Sub-Total Other Pass Through Awards, Shasta Office of Education</b>		<b>125,207.95</b>	<b>(17,788.90)</b>	<b>40,335.00</b>	<b>35,389.78</b>	<b>(22,734.12)</b>
CA Postsecondary Education Commission								
84.367	64048	SCIENCE & ACADEMIC LIT Y2 09/10	ITQ-08-510	215,562.35	0.30	-	(0.30)	-
84.367	64054	SCI & ACADMC LIT Y2 CME 09/10	ITQ-08-510	132,126.65	-	-	-	-
84.367	64113	T-BAR ITQ YR 1/CPEC	ITQ-10-T701	480,550.00	(294,971.80)	-	136,354.18	(158,617.62)
84.367	64119	MEG Y4 10/11	ITQ-07-404	353,933.00	133,916.87	130,920.00	165,189.60	168,186.47
84.367	64124	SCIENCE & ACADEMIC LIT Y3 1011	ITQ-08-510	235,156.00	(60,802.84)	-	85,636.69	24,833.85
84.367	64125	SCI & ACADMC LIT Y3 CME 1011	ITQ-08-510	126,765.00	13,321.00	-	57,920.62	71,241.62
84.367	64201	SCIENCE & ACADEMIC LIT Y4 1112	ITQ-08-510	55,542.00	-	-	56,299.25	56,299.25
84.367	64202	SCI & ACADMC LIT Y3 CME 11/12	ITQ-08-510	15,278.00	-	-	21,407.90	21,407.90
84.367	64206	CALNET RURAL COUNTIES COLLAB	ITQ-11-801	250,000.00	-	-	159,782.23	159,782.23
84.367	64207	MODELING COLLOQUIUM/CPEC	10-1105	48,716.00	-	-	28,270.73	28,270.73
84.367	64210	T-BAR ITQ CPEC Y2 11/12	ITQ-10-T701	445,218.00	-	-	262,614.86	262,614.86
		<b>Sub-Total Other Pass Through Awards, CA Postsecondary Education Commission</b>		<b>2,358,847.00</b>	<b>(208,536.47)</b>	<b>130,920.00</b>	<b>973,475.76</b>	<b>634,019.29</b>
Tehama Co Dept of Education								
84.367	63769	N STATE MATH PARTNERSHIP 06/07	SP 06-430	94,250.00	-	-	-	-
84.367	64114	N STATE MATH PRTNSHIP Y3 10/11	SP 09-042Y3	49,700.00	46,938.45	49,700.00	2,761.55	-
		<b>Sub-Total Other Pass Through Awards, Tehama Co Dept of Education</b>		<b>\$ 143,950.00</b>	<b>\$ 46,938.45</b>	<b>\$ 49,700.00</b>	<b>\$ 2,761.55</b>	<b>\$ -</b>

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University California Office of the President								
84.367	64042	NCLB 6 CA MATH PROJECT 09/10	NCLB6-CMP-CHICO	\$ 37,000.00	\$ -	\$ -	\$ -	\$ -
84.367	64098	CSP TRI TCHR RETNTION Y4 10/11	0995GMA093 - Am2	33,228.24	11,450.77	9,298.23	(2,152.54)	-
84.367	64120	NCLB7 NE CA ARTS PJCT 10/11	NCLB7-TCAP-CHICO	72,000.00	11,862.69	17,789.16	5,926.47	-
84.367	64121	NCLB 7 N CA WRITING PJCT 10/11	NCLB7-CWP-CHICO	43,482.00	22,310.59	24,056.14	1,745.55	-
84.367	64127	NCLB 7 CA MATH PROJECT 10/11	NCLB7-CMP-CHICO	33,400.00	18,019.65	17,681.63	(338.02)	-
84.367	64137	NCLB 7 CA READING & LIT 10/11	NCLB7-CRLP-CHICO	57,706.00	28,539.40	24,832.60	(3,706.80)	-
84.367	64226	NCLB 8 CA MATH PROJECT 11/12	NCLB8-CMP-CHICO	36,000.00	-	22,673.29	36,109.22	13,435.93
84.367	64228	NCLB 8 N CA WRITING PJCT 11/12	NCLB8-CWP-CHICO	42,468.00	-	2,493.53	42,289.33	39,795.80
84.367	64229	NCLB 8 N CA ARTS PJCT 11/12	NCLB8-TCAP-CHICO	53,222.00	-	11,735.12	44,194.52	32,459.40
84.367	64230	NCLB 8 CA READING & LIT 11/12	NCLB8-CRLP-CHICO	57,705.00	-	1,895.82	56,962.59	55,066.77
84.367	64233	NCLB8 CA SCIENCE PROJECT 11/12	NCLB8-CSP-CHICO	35,495.00	-	-	33,959.82	33,959.82
84.367	64237	NCLB8A CA SCIENCE PJCT 11/12	11-MESA-63118-3-476	3,431.00	-	-	3,603.80	3,603.80
<b>Sub-Total Other Pass Through Awards, UCOP</b>				<b>505,137.24</b>	<b>92,183.10</b>	<b>132,455.52</b>	<b>218,593.94</b>	<b>178,321.52</b>
UCLA								
84.367	64101	CMP STIR Y4 10/11	1010GHC105 Am5	41,029.88	23,781.68	23,854.45	72.77	-
84.367	64266	CMP STIR Y5 12/13	1010 G HC105 Amd 7	43,000.00	-	-	40,529.34	40,529.34
<b>Sub-Total Other Pass Through Awards, UCLA</b>				<b>84,029.88</b>	<b>23,781.68</b>	<b>23,854.45</b>	<b>40,602.11</b>	<b>40,529.34</b>
Arizona Department of Education								
84.367A	64053	AZ DOE WORKSHOPS 09/10	ED10-0013	81,000.00	(11,624.89)	9,000.00	19,179.26	(1,445.63)
<b>Sub-Total Other Pass Through Awards, Arizona Department of Education</b>				<b>81,000.00</b>	<b>(11,624.89)</b>	<b>9,000.00</b>	<b>19,179.26</b>	<b>(1,445.63)</b>
North Valley CAL-SOAP Cons-Yuba Community College								
84.378	64100	CAL SOAP 10/11	G-10-012	65,000.00	46,047.90	-	-	46,047.90
<b>Sub-Total Other Pass Through Awards, No Valley Cal-SOAP Consortium</b>				<b>65,000.00</b>	<b>46,047.90</b>	<b>-</b>	<b>-</b>	<b>46,047.90</b>
National Writing Project (NWP)								
84.928A	64057	NWP TECHNOLOGY INITIATIVE	none	13,000.00	(819.67)	-	786.73	(32.94)
84.928A	64106	NWP SITE SUPPORT 10/11	Amd 32 to 92-CA04	34,908.18	(40,277.88)	(38,939.73)	1,338.15	-
84.928A	64107	NWP SISKIYOU SATELLITE 10/11	Amd 32 to 92-CA04	4,358.82	(24,110.20)	(20,641.18)	3,469.02	-
84.928A	64115	NWP CHICO OFFICE BUDGET 10/11	AMD 11 to Agreement 05	218,706.80	(28,457.83)	-	18,018.53	(10,439.30)
84.928A	64193	NWP SITE SUPPORT 11/12	Amd 33 to Contract 92-C	80,939.73	-	80,939.73	24,875.91	(56,063.82)
84.928A	64194	NWP SISKIYOU SATELLITE 11/12	Amd 33 to Contract 92-C	40,641.18	-	40,641.18	30,657.60	(9,983.58)
84.928A	64199	NWP FOX BUYOUT 11/12	none	31,578.00	-	31,578.00	31,047.25	(530.75)
<b>Sub-Total Other Pass Through Awards, National Writing Project (NWP)</b>				<b>424,132.71</b>	<b>(93,665.58)</b>	<b>93,578.00</b>	<b>110,193.19</b>	<b>(77,050.39)</b>
<b>Total Other Direct Awards</b>				<b>2,680,416.27</b>	<b>22,214.78</b>	<b>640,733.97</b>	<b>689,446.26</b>	<b>70,927.07</b>
<b>Total TRIO Cluster Direct Awards</b>				<b>7,140,107.76</b>	<b>265,987.63</b>	<b>1,901,812.70</b>	<b>1,850,610.33</b>	<b>214,785.26</b>
<b>Total Teacher Quality Partnership Grants Cluster Direct Awards</b>				<b>2,584,669.50</b>	<b>127,683.10</b>	<b>962,270.20</b>	<b>980,536.91</b>	<b>145,949.81</b>
<b>Total Other Pass Through Awards</b>				<b>4,662,305.86</b>	<b>75,153.98</b>	<b>845,323.54</b>	<b>1,680,968.00</b>	<b>910,798.44</b>
<b>Total US Department of Education</b>				<b>17,067,499.39</b>	<b>491,039.49</b>	<b>4,350,140.41</b>	<b>5,201,561.50</b>	<b>1,342,460.58</b>
Department of Health and Human Services								
Direct Awards - Other								
93.121	60556	CULTRL VAR ORAL HLTH MESSG/NIH	1R15DE021873-01A1	430,760.00	-	-	7,415.16	7,415.16
<b>Sub-Total Other Direct Awards</b>				<b>430,760.00</b>	<b>-</b>	<b>-</b>	<b>7,415.16</b>	<b>7,415.16</b>
Direct Awards - Research and Development								
93.847	60497	NIH MICRO RNA PANCREATIC B CEL	1R15DK088218-01	202,975.00	36,893.50	60,671.14	60,207.36	36,429.72
<b>Sub-Total Research &amp; Development Direct Awards</b>				<b>\$ 202,975.00</b>	<b>\$ 36,893.50</b>	<b>\$ 60,671.14</b>	<b>\$ 60,207.36</b>	<b>\$ 36,429.72</b>

**SCHEDULE OF EXPENDITURES  
OF FEDERAL AWARDS**

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Catalog Number	Project Number	Project Title	Award/Contract Number	Program or Award Amount	Accrued (Deferred) Revenue 7/1/2011	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2012
<b>Pass Through Awards</b>								
CA Dept of Aging								
93.041	64086	ELDER ABUSE 10/11	AP-1011-03	\$ 7,042.44	\$ 491.57	\$ 492.00	\$ 0.43	\$ -
93.041	64171	ELDER ABUSE 11/12	AP-1112-03	6,588.00	-	6,200.00	6,512.29	312.29
93.042	64007	AAA 3B & 7A OMB 09/10	AP-0910-03	51,902.56	-	-	-	-
93.042	64087	3B OMBUDSMAN 3B/7A 10/11	AP-1011-03	61,058.00	2,836.89	5,054.00	1,118.34	(1,098.77)
93.042	64172	3B OMBUDSMAN 3B/7A 11/12	AP-1112-03	34,458.00	-	29,180.00	48,067.49	3,931.49
93.043	64093	3D SUBK 10/11	AP-1011-03	6,600.00	(170.00)	(170.00)	-	-
93.043	64166	AAA AP RECEIVABLES 11/12	AP-1112-03	-	-	6,642.00	-	(6,642.00)
93.043	64178	3D SUBK 11/12	AP-1112-03	6,987.00	-	6,865.00	6,987.00	122.00
93.043	64179	DESEASE PREVENTION 11/12	AP-1112-03	20,906.00	-	11,593.00	12,130.24	537.24
93.052	64095	FCSP 3E	AP-1011-03	162,810.00	10,338.08	14,721.00	4,382.92	-
93.052	64097	PSA2 10/11	6001-1011 Am2	101,549.39	(4,883.39)	(4,392.39)	491.00	-
93.052	64166	AAA AP RECEIVABLES 11/12	AP-1112-03	-	-	2,007.00	-	(2,007.00)
93.052	64167	AP ADMIN 11/12	AP-1112-03	21,562.00	-	19,922.00	21,562.00	1,640.00
93.052	64180	FCSP CAREGIVERS 11/12	AP-1112-03	186,170.00	-	133,303.00	189,736.25	56,433.25
93.052	64182	PSA 2 FCSP 11/12	6001-1112	105,342.00	-	97,063.43	106,162.75	9,099.32
93.518	64075	MIPPA AAA Y2 10/11	MI-0910-03	4,817.00	2,140.16	2,140.00	(0.16)	-
93.518	64077	MIPPA ADRC Y2 10/11	MI-0910-03	53,635.00	16,323.00	16,323.00	-	-
93.518	64144	2MIPPA ADMIN 10/11	2M-1011-03	962.00	481.00	481.00	-	-
93.518	64144	2MIPPA ADMIN 10/11	2M-1011-03	2,862.00	1,277.12	1,277.00	459.02	610.14
93.518	64145	2MIPPPA AAA 10/12	2M-1011-03	11,070.00	-	2,300.00	5,949.26	3,649.26
93.518	64147	2MIPPA ADRC 10/12	2M-1011-03	92,563.00	8,407.93	84,425.00	83,018.62	7,001.55
93.779	64073	HICAP ADMIN 10/11	HI-1011-03	19,543.00	5,615.04	5,058.00	(557.04)	-
93.779	64074	HICAP 10/11	HI-1011-03	253,373.85	64,326.07	64,909.00	27.75	(555.18)
93.779	64076	MIPPA HICAP Y2 10/11	MI-0910-03	5,442.00	1,383.82	1,385.00	1.18	-
93.779	64144	2MIPPA ADMIN 10/11	2M-1011-03	1,502.00	751.00	751.00	151.00	-
93.779	64146	2MIPPA HICAP 10/11	2M-1011-03	17,272.00	1,581.04	15,964.00	14,983.76	600.80
93.779	64184	HICAP ADMIN 11/12	HI-1112-03	9,094.00	-	5,500.00	5,163.71	(336.29)
State	64184	HICAP ADMIN/11/12	HI-1112-03	6,672.00	-	6,179.00	6,179.00	-
State	64184	HICAP ADMIN/1112	HI-1112-03	3,089.00	-	3,089.00	3,089.00	-
93.779	64185	HICAP 11/12	HI-1112-03	100,298.00	-	54,014.00	89,967.78	35,953.78
State	64185	HICAP 11/12	HI-1112-03	109,740.00	-	109,740.00	109,740.00	-
State	64185	HICAP 11/12	HI-1112-03	54,863.00	-	54,863.00	54,863.00	-
<b>Sub-Total Other Federal \$ Pass Through Awards, Ca Dept of Aging</b>				<b>1,345,409.24</b>	<b>110,899.33</b>	<b>583,007.04</b>	<b>596,315.59</b>	<b>109,251.88</b>
<b>Sub-Total Other State \$ Pass Through Awards, Ca Dept of Aging</b>				<b>174,364.00</b>	<b>-</b>	<b>173,871.00</b>	<b>173,871.00</b>	<b>-</b>
CA Dept of Aging								
93.044	64003	AAA - 3B I & A 09/10	AP-0910-03	269,508.11	0.12	-	(0.12)	-
93.044	64018	AAA LINKAGES 09/10	AP-0910-03	53,958.96	1,002.96	-	-	1,002.96
93.044	64081	AAA AP RECEIVABLES 10/11	AP-1011-03	-	-	(21.00)	-	21.00
93.044	64083	3B INFO & ASST CDA 10/11	AP-1011-03	251,112.00	114,491.63	116,808.00	2,316.37	-
93.044	64084	IIIB PUBLIC INFO 1011	AP-1011-03	750.00	-	-	-	-
93.044	64085	3B HOME/PERS CARE 10/11	AP-1011-03	51,778.00	(5,744.88)	(5,745.00)	(0.12)	-
93.044	64089	3B VISITING 10/11	AP-1011-03	17,564.00	229.32	303.00	73.68	-
93.044	64090	3B SUBK 10/11	AP-1011-03	221,052.00	(5,298.91)	(5,299.00)	(0.09)	-
93.044	64096	AAA PASSAGES EXEC DIR	AP-1011-03	-	1,680.00	-	(1,680.00)	-
93.044	64166	AAA AP RECEIVABLES 11/12	AP-1112-03	-	-	(2,191.00)	-	2,191.00
93.044	64167	AP ADMIN 11/12	AP-1112-03	52,149.00	-	39,301.24	52,149.00	13,122.00
93.044	64168	3B INFO AND ASST CDA 11/12	AP-1112-03	276,700.00	-	205,842.00	265,747.61	59,905.61
93.044	64169	III B PUBLIC INFO 11/12	AP-1112-03	750.00	-	-	750.00	750.00
93.044	64170	3B HOMEMAKER/PERSNL CARE 11/12	AP-1112-03	66,024.00	-	38,824.00	53,750.61	14,926.61
93.044	64172	3B OMBUDSMAN 3B/7A 11/12	AP-1112-03	26,197.00	-	11,241.00	11,241.00	14,956.00
93.044	64174	3B VISITING 11/12	AP-1112-03	22,000.00	-	20,533.00	22,257.55	1,724.55
93.044	64175	3B SUBK 11/12	AP-1112-03	192,153.00	-	154,112.00	190,005.46	35,893.46
93.044	64181	PASSAGES EXECUTIVE DIRCTR 1112	AP-1112-03	-	-	-	0.01	0.01
93.044	64222	TELEPHONE REASSURANCE 11/12	AP-1112-03	10,000.00	-	9,108.00	10,010.34	902.34
93.044	64223	EMERGENCY AID 11/12	AP-1112-03	6,650.00	-	6,453.00	5,700.45	(752.55)
93.044	64224	ADULT DAY HEALTH CARE 11/12	AP-1112-03	5,175.00	-	-	4,970.97	4,970.97
93.045	64082	AP ADMIN 10/11	AP-1011-03	164,235.00	3,174.33	4,690.66	518.37	(997.96)
93.045	64091	3C1 SUBK 10/11	AP-1011-03	430,859.00	50,360.00	50,300.00	(60.00)	-
93.045	64092	3C2 SUBK 10/11	AP-1011-03	496,191.00	25,685.00	25,685.00	-	-
93.045	64094	DISEASE PREVENTION 10/11	AP-1011-03	23,150.00	2,991.74	2,992.00	0.26	-
93.045	64166	AAA AP RECEIVABLES 11/12	AP-1112-03	-	-	36,589.00	-	(36,589.00)
93.045	64166	AAA AP RECEIVABLES 11/12	AP-1112-03	-	-	18,686.00	-	(18,686.00)
93.045	64167	AP ADMIN 11/12	AP-1112-03	61,308.00	-	56,644.00	61,308.00	4,664.00
93.045	64167	AP ADMIN 11/12	AP-1112-03	30,397.00	-	28,085.00	30,354.19	1,994.95
93.045	64176	3C1 SUBK - CDA 11/12	AP-1112-03	258,923.00	-	256,184.00	258,923.01	2,739.01
93.045	64177	3C2 SUBK 11/12	AP-1112-03	283,523.00	-	257,402.00	266,925.71	9,523.71
93.048	64143	SENIOR MEDICARE PATROL	none	6,800.00	(1,241.23)	3,000.00	4,241.23	-
93.048	64209	ADRC OPTIONS COUNSELING/CHHS	11-H9008	39,954.80	-	21,150.39	32,510.72	11,360.33
93.048	64225	SENIOR MEDICARE PATROL 11/12	none	3,802.00	-	3,802.00	2,005.83	(1,796.17)
93.053	64176	3C1 SUBK - CDA 11/12	AP-1112-03	61,819.00	-	46,118.00	61,819.00	15,701.00
93.053	64177	3C2 SUBK 11/12	AP-1112-03	73,478.00	-	54,816.00	73,478.00	18,662.00
State	64167	AP ADMIN 11/12	AP-1112-03	77.00	-	77.00	77.00	-
State	64167	AP ADMIN 11/12	AP-1112-03	291.00	-	291.00	291.00	-
State	64176	3C1 SUBK-CDA 11/12	AP-1112-03	57,354.00	-	57,354.00	57,354.00	-
State	64177	3C2 SUBK 11/12	AP-1112-03	102,756.00	-	102,756.00	102,756.00	-
<b>Sub-Total Federal \$ Aging Cluster Pass Through Awards, Ca Dept of Aging</b>				<b>3,457,960.87</b>	<b>187,330.08</b>	<b>1,455,413.29</b>	<b>1,409,317.04</b>	<b>156,189.83</b>
<b>Sub-Total State \$ Aging Cluster Pass Through Awards, Ca Dept of Aging</b>				<b>\$ 160,478.00</b>	<b>\$ -</b>	<b>\$ 160,478.00</b>	<b>\$ 160,478.00</b>	<b>\$ -</b>

**SCHEDULE OF EXPENDITURES  
OF FEDERAL AWARDS**

June 30, 2012

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Catalog Number	Project Number	Project Title	Award/Contract Number	Program or Award Amount	Accrued (Deferred) Revenue 7/1/2011	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2012
93.0XX	63971	Slippery Rock Univ., of PA I CAN DO IT YOU CAN DO IT CHIC	ICDI #7	\$ 45,000.00	\$ (7,590.85)	\$ 15,000.00	\$ 22,590.85	\$ -
		<b>Sub-Total Other Pass Through Awards, Slippery Rock Univ., of PA</b>		45,000.00	(7,590.85)	15,000.00	22,590.85	-
<b>CA Dept of Public Health</b>								
93.283	64216	COMMUNITY OBESITY PREV Y1 1112	11-10700	30,000.00	-	3,162.00	28,762.04	25,600.04
		<b>Sub-Total Other Pass Through Awards, CA Dept of Public Health</b>		30,000.00	-	3,162.00	28,762.04	25,600.04
<b>CA Dept of Social Services</b>								
93.558	64117	CALWORKS Y1 10/11	10-3007	353,142.92	91,833.28	91,833.28	-	-
93.558	64162	CAL WORKS Y2 11/12	10-3007	700,000.00	-	319,021.69	429,070.63	110,048.94
93.558	64263	CALWORKS 12/13	110-3007	140,000.00	-	-	-	-
		<b>Sub-Total TANF Cluster Pass Through Awards, CA Dept of Social Services</b>		1,193,142.92	91,833.28	410,854.97	429,070.63	110,048.94
<b>CA Dept of Health and Human Services</b>								
93.658	64151	FIELD INITIATIVE TRAINING 1011	7593	10,000.00	9,385.97	-	570.07	9,956.04
		<b>Sub-Total Other Pass Through Awards, CA Dept of Health &amp; Human Serv</b>		10,000.00	9,385.97	-	570.07	9,956.04
<b>UC Berkeley</b>								
93.658	64103	BSW 10/11	7295	202,179.74	164,962.54	164,962.54	-	-
93.658	64104	MSW 10/11	7295	705,929.54	386,553.26	386,553.16	-	0.10
93.658	64189	BSW 11/12	7795	263,726.00	-	76,195.85	212,234.52	136,038.67
93.658	64190	MSW 11/12	7795	1,103,714.00	-	330,573.70	729,828.67	399,254.97
93.658	64192	PATHWAYS 11/12	7795	278,955.00	-	28,030.63	90,817.50	62,786.87
93.658	64218	FIELD INITIATIVE TRAINING 11/12	00007874	25,000.00	-	-	22,533.32	22,533.32
		<b>Sub-Total Other Pass Through Awards, UC Berkeley</b>		2,579,504.28	551,515.80	986,315.88	1,055,414.01	620,613.93
<b>CA Dept of Social Services</b>								
93.667	64065	EARLY CHILDHOOD DEVL P TRG Y1	09-3016	-	217.40	-	(217.40)	-
		<b>Sub-Total Other Pass Through Awards, Ca Dept of Social Services</b>		-	217.40	-	(217.40)	-
<b>CA Dept of Aging</b>								
93.778	63993	MSSP ADMIN Y2 09/10	MS-0809-10	200,608.03	(13.89)	(13.89)	-	-
93.778	63994	MSSP CARE MANAGEMENT Y2 09/10	MS-0809-10	379,192.22	(5,970.12)	(5,970.12)	-	-
93.778	63995	MSSP WAIVED SVCS Y2 09/10	MS-0809-10	146,010.79	187.77	-	(187.77)	-
93.778	64078	MSSP ADMIN CDA 10/11	MS-1011-10	213,135.23	(23,366.47)	4,862.95	1,050.61	(27,178.81)
93.778	64079	MSSP CARE MGMT CDA 10/11	MS-1011-10	398,164.77	120,345.72	105,879.06	(20.00)	14,446.66
93.778	64080	MSSP WAIVED SERVICES CDA 10/11	MS-1011-10	160,000.00	81,261.07	66,626.44	(1,254.06)	13,380.57
93.778	64186	MSSP ADMIN 11/12	MS-1112-10	269,704.00	-	226,463.37	216,390.62	(10,072.75)
93.778	64187	MSSP CARE MGMT CDA 11/12	MS-1112-11	236,898.00	-	225,355.27	324,321.60	98,966.33
93.778	64188	MSSP WAIVED SVCS CDA 11/12	MS-1112-12	135,847.00	-	80,281.91	122,265.25	41,983.34
		<b>Sub-Total Medicaid Cluster Pass Through Awards, CA Dept of Aging</b>		2,139,560.04	172,444.08	703,484.99	662,566.25	131,525.34
<b>Pacific Institute for Research &amp; Evaluation</b>								
93.891	63440	ALCOHOL&DRUG PREVENTN RSRCH PGM	None	154,200.00	-	-	-	-
		<b>Sub-Total R &amp; D Pass Through Awards, Pacific Institute for Research &amp; Evaluation</b>		154,200.00	-	-	-	-
		<b>Total Other Direct Awards</b>		430,760.00	-	-	7,415.16	7,415.16
		<b>Total Research &amp; Development Direct Awards</b>		202,975.00	36,893.50	60,671.14	60,207.36	36,429.72
		<b>Total Research &amp; Development Pass Through Awards</b>		154,200.00	-	-	-	-
		<b>Total Federal Aging Cluster Pass Through Awards, Ca Dept of Aging</b>		3,457,960.87	187,330.08	1,455,413.29	1,409,317.04	156,189.83
		<b>Total State \$ Aging Cluster Pass Through Awards, Ca Dept of Aging</b>		160,478.00	-	160,478.00	160,478.00	-
		<b>Total Medicaid Cluster Pass Through Awards, CA Dept of Aging</b>		2,139,560.04	172,444.08	703,484.99	662,566.25	131,525.34
		<b>Total TANF Cluster Pass Through Awards, CA Dept of Social Services</b>		1,193,142.92	91,833.28	410,854.97	429,070.63	110,048.94
		<b>Total Federal Other \$ Pass Through Awards, Ca Dept of Aging</b>		1,345,409.24	110,899.33	583,007.04	596,315.59	109,251.88
		<b>Total Other State \$ Pass Through Awards, Ca Dept of Aging</b>		174,364.00	-	173,871.00	173,871.00	-
		<b>Total Federal Other Pass Through Awards</b>		2,664,504.28	553,528.32	1,004,477.88	1,107,119.57	656,170.01
		<b>Total Department of Health and Human Services</b>		11,923,354.35	1,152,928.59	4,552,258.31	4,606,360.60	1,207,030.88
<b>Corporation for National and Community Services</b>								
<b>Direct Awards</b>								
94.011	60493	FOSTER GRANDPARENT 10/11	08SFPCA005	305,917.00	103,164.17	102,376.00	(752.28)	35.89
94.011	60525	FOSTER GRANDPARENT 11/12	11SFPCA005	305,907.00	-	191,234.00	294,228.15	102,994.15
94.016	60494	SENIOR COMPANION 10/11	08SCPCA006- AM5	99,388.79	38,065.60	38,546.00	107.73	(372.67)
94.016	60526	SENIOR COMPANION 11/12	11SCPCA004	79,036.00	-	59,098.00	79,429.13	20,331.13
		<b>Sub-Total Foster Grandparent/Senior Companion Cluster Direct Awards</b>		790,248.79	141,229.77	391,254.00	373,012.73	122,988.50
<b>Pass Through Awards</b>								
<b>CSU, Trustees</b>								
94.005	64141	10/11 LAYING THE FOUNDATION	X0026110-02550	4,000.00	3,425.70	4,000.00	574.30	-
94.005	64161	MUSEUM STUDENT SERV/CO/CNCS	X0026110-CHAUX	25,000.00	20.00	7,233.56	20,482.80	13,269.24
		<b>Sub-Total Other Pass Through Awards, CSU, Trustees</b>		29,000.00	3,445.70	11,233.56	21,057.10	13,269.24
<b>Duke University</b>								
94.005	64142	EASL/DUKE/CNCS	11-CNCS-1048	46,500.00	33,779.01	20,350.84	8,574.64	22,002.81
		<b>Sub-Total R &amp; D Pass Through Awards, Duke University</b>		46,500.00	33,779.01	20,350.84	8,574.64	22,002.81
		<b>Total Foster Grandparent/Senior Companion Cluster Direct Awards</b>		790,248.79	141,229.77	391,254.00	373,012.73	122,988.50
		<b>Total R&amp;D Pass Through Awards</b>		46,500.00	33,779.01	20,350.84	8,574.64	22,002.81
		<b>Total Other Pass Through Awards</b>		29,000.00	3,445.70	11,233.56	21,057.10	13,269.24
		<b>Total Corporation for National and Community Services</b>		\$ 865,748.79	\$ 178,454.48	\$ 422,838.40	\$ 402,644.47	\$ 158,260.55



**SCHEDULE OF EXPENDITURES  
OF FEDERAL AWARDS**

June 30, 2012

*The CSU, Chico  
Research Foundation*

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Catalog Number	Project Number	Project Title	Award/Contract Number	Program or Award Amount	Accrued (Deferred) Revenue 7/1/2011	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2012
<b>Department of Homeland Security</b>								
<b>Pass Through Awards</b>								
CSU, Trustees								
97.073	64238	CSU HOMELAND SEC GRANT FY09	X0020109-CHAUX	\$ 13,932.08	\$ -	\$ -	\$ 13,932.08	\$ 13,932.08
<b>Sub-Total Other Pass Through Awards, CSU, Trustees</b>				<b>13,932.08</b>	<b>-</b>	<b>-</b>	<b>13,932.08</b>	<b>13,932.08</b>
<b>Total Department of Homeland Security</b>				<b>13,932.08</b>	<b>-</b>	<b>-</b>	<b>13,932.08</b>	<b>13,932.08</b>
<b>Total Research &amp; Development Direct Awards</b>				<b>3,171,425.92</b>	<b>180,623.17</b>	<b>588,286.76</b>	<b>740,415.33</b>	<b>332,751.74</b>
<b>Total Other Direct Awards</b>				<b>14,134,547.01</b>	<b>1,380,801.91</b>	<b>4,626,623.51</b>	<b>4,056,006.99</b>	<b>810,185.39</b>
<b>Total Highway Planning and Construction Cluster Direct Awards</b>				<b>118,637.00</b>	<b>-</b>	<b>7,744.04</b>	<b>7,744.04</b>	<b>-</b>
<b>Total TRIO Cluster Direct Awards</b>				<b>7,140,107.76</b>	<b>265,987.63</b>	<b>1,901,812.70</b>	<b>1,850,610.33</b>	<b>214,785.26</b>
<b>Total Teacher Quality Partnership Grants Cluster Direct Awards</b>				<b>2,584,669.50</b>	<b>127,683.10</b>	<b>962,270.20</b>	<b>980,536.91</b>	<b>145,949.81</b>
<b>Total Foster Grandparent/Sr Companion Cluster Direct Awards</b>				<b>790,248.79</b>	<b>141,229.77</b>	<b>391,254.00</b>	<b>373,012.73</b>	<b>122,988.50</b>
<b>Total Research &amp; Development Pass Through</b>				<b>1,755,204.88</b>	<b>153,474.61</b>	<b>524,637.12</b>	<b>601,688.81</b>	<b>230,526.30</b>
<b>Total CDBG Entitlement Grants Cluster Pass Through</b>				<b>4,555.44</b>	<b>(147.56)</b>	<b>(147.56)</b>	<b>-</b>	<b>-</b>
<b>Total Child Nutrition Cluster Pass Through</b>				<b>64,779.42</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total SNAP Cluster Pass Through</b>				<b>8,454,117.07</b>	<b>1,771,827.93</b>	<b>3,221,707.63</b>	<b>2,748,583.75</b>	<b>1,298,704.05</b>
<b>Total WIA Cluster Pass Through Awards</b>				<b>1,653,376.71</b>	<b>(164,934.31)</b>	<b>183,695.58</b>	<b>425,090.40</b>	<b>76,460.51</b>
<b>Total R&amp;D/WIA Cluster Pass Through Awards</b>				<b>67,964.94</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Aging Cluster Pass Through Awards</b>				<b>3,457,960.87</b>	<b>187,330.08</b>	<b>1,455,413.29</b>	<b>1,409,317.04</b>	<b>156,189.83</b>
<b>Total Other Pass Through Awards, CA Dept of Aging</b>				<b>1,345,409.24</b>	<b>110,899.33</b>	<b>583,007.04</b>	<b>596,315.59</b>	<b>109,251.88</b>
<b>Total Other State \$ Aging Cluster Pass Through Awards, CA Dept of Aging</b>				<b>160,478.00</b>	<b>-</b>	<b>160,478.00</b>	<b>160,478.00</b>	<b>-</b>
<b>Total Other State \$ Pass Through Awards, Ca Dept of Aging</b>				<b>174,364.00</b>	<b>-</b>	<b>173,871.00</b>	<b>173,871.00</b>	<b>-</b>
<b>Total Medicaid Cluster Pass Through Awards</b>				<b>2,139,560.04</b>	<b>172,444.08</b>	<b>703,484.99</b>	<b>662,566.25</b>	<b>131,525.34</b>
<b>Total TANF Cluster Pass Through Awards</b>				<b>1,193,142.92</b>	<b>91,833.28</b>	<b>410,854.97</b>	<b>429,070.63</b>	<b>110,048.94</b>
<b>Total Other Pass Through Awards</b>				<b>12,504,258.69</b>	<b>727,180.65</b>	<b>3,456,048.78</b>	<b>4,693,999.22</b>	<b>1,965,131.09</b>
				<u>\$ 60,914,808.20</u>	<u>\$ 5,146,233.67</u>	<u>\$ 19,351,042.05</u>	<u>\$ 19,909,307.02</u>	<u>\$ 5,704,498.64</u>
<b>Total Federal and Federal Pass Through ARRA Awards</b>				<u>\$ 1,302,424.61</u>	<u>\$ (7,655.43)</u>	<u>\$ 377,102.01</u>	<u>\$ 437,524.44</u>	<u>\$ 52,767.00</u>
<b>Total Federal and Federal Pass Through Awards</b>				\$ 60,579,966.20	\$ 5,146,233.67	\$ 19,016,693.05	\$ 19,574,958.02	\$ 5,704,498.64
<b>Total State and Other Awards Through Ca Dept of Aging</b>				334,842.00	-	334,349.00	334,349.00	-
<b>Grand Total Federal, Federal Pass Through and CDA Other Awards</b>				<u>\$ 60,914,808.20</u>	<u>\$ 5,146,233.67</u>	<u>\$ 19,351,042.05</u>	<u>\$ 19,909,307.02</u>	<u>\$ 5,704,498.64</u>

**SCHEDULE OF SUPPLEMENTARY INFORMATION  
(CSU FORMAT)**

*The CSU, Chico  
Research Foundation  
Page 1 of 2*

June 30, 2012	<b>Current Unrestricted</b>	<b>Noncurrent Unrestricted</b>	<b>Total</b>
<b>INVESTMENTS HELD BY THE UNIVERSITY UNDER CONTRACTUAL AGREEMENT</b>			
Portion of investments held by the University under contractual agreements	\$ -	\$ -	\$ -

June 30, 2012	<b>Amount</b>
<b>NET ASSETS INVESTED IN CAPITAL ASSETS - NET OF RELATED DEBT</b>	
Capital assets - net of accumulated depreciation	\$ 15,829,264
Long-term debt obligations - current portion	(635,300)
Long-term debt obligations - net of current portion	(4,188,971)
Other:	
OID prepaid interest	40,205
Bond reserves	310,756
Interest payable	(15,930)
<b>Net Assets Invested in Capital Assets - Net of Related Debt</b>	<b>\$ 11,340,024</b>

*See notes to the supplementary information.*

**SCHEDULE OF SUPPLEMENTARY INFORMATION  
(CSU FORMAT)**

*The CSU, Chico  
Research Foundation  
Page 2 of 2*

June 30, 2012

**Amount**

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**OTHER POSTEMPLOYMENT BENEFITS OBLIGATION (OPEB)**

Annual Required Contribution (ARC)  
Contributions

\$ 20,434  
13,770

**Increase in Net OPEB Obligation (NOO)**

34,204

**NOO - Beginning of Year**

518,730

**NOO - End of Year**

\$ 552,934

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*See notes to the supplementary information.*

## 1. FUND ACCOUNTING AND COMPARATIVE FINANCIAL INFORMATION

### Fund Accounting

The accounts of the Research Foundation are maintained in accordance with the principles of fund accounting. Under fund accounting, resources for various purposes are classified for accounting and reporting purposes into funds established according to their nature and purpose. The funds are organized as follows:

*General Fund:* This fund is used to account for all financial resources except those required to be accounted for in another fund. This fund recognizes as income various administrative fees from other funds.

*Plant and Bond Fund:* This fund is used to account for acquisition of physical properties and funds expended and invested in physical properties for the General Fund, Board Designated Fund, Sponsored Programs Fund, and Campus Programs Fund.

In addition, this fund is used to account for the bond construction and the principal, interest, and reserve accounts. Bond proceeds are deposited into the bond construction account. The proceeds are used to finance the construction and acquisition of buildings and equipment as required by the Bond's resolution. The principal, interest, and reserve account is used to account for the payment obligations of the Research Foundation Auxiliary Organization Bond Series 2003.

*Board Designated Fund:* This fund is used to account for all amounts specifically allocated by the Board of Directors to certain programs. These funds are used primarily for the development of grant and contract proposals. Funding is also allocated to support and to finance other CSU, Chico related projects. The amount expended from Board designations amounted to \$93,161 and \$246,825 in 2012 and 2011, respectively. The amount expended from incentive accounts amounted to \$1,337,605 and \$928,175 in 2012 and 2011, respectively.

*Auxiliary Activities Fund:* This fund is used to account for the operations of the University Farm, KCHO radio station, and other enterprise activities. These operations reimburse the General Fund for accounting and data processing administration expenses based on the usage of these services.

*Sponsored Programs Fund:* This fund reflects the activity of various programs designed for instruction, research, and community services. These programs are funded by federal and state governmental agencies and various other organizations. The General Fund receives administrative fees to cover the indirect overhead costs of these programs.

*Campus Programs Fund:* This fund accounts for certain special activities of Research Foundation-affiliated programs. These funds are carried as unrestricted net assets. The Research Foundation receives an administrative fee equal to 8% of Campus Program Fund expenditures.

### Comparative Financial Information

The schedules of financial position and schedules of functional activities and changes in net assets include prior-year summarized comparative information in total, but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with generally accepted accounting principles. Accordingly, such information should be read in conjunction with the Research Foundation's financial statements for the year ended June 30, 2011, from which the summarized information was derived.

## 2. SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

The accompanying schedule of expenditures of federal awards includes the federal grant activity of the Research Foundation and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

## 3. INTERFUND RECEIVABLES AND PAYABLES

The Research Foundation records direct interfund receivables and payables as they occur. As a result of the use of a general checking account for all funds except the Agriculture Fund and some sponsored program funds, indirect interfund receivable and payable entries are recorded upon receipt, disbursement, or transfer of amounts among funds. Interfund receivables and payables include long-term notes payable as follows:

	2012	2011
The environmental research lab in the Campus Programs Fund has a note payable to the General Fund to be repaid as funds are available and include interest at 5.00%.	\$ -	\$ 106,728
The Farm in the Auxiliary Activities Fund has a note payable to the General Fund for a front-end loader to be repaid in annual installments in the amount of \$14,000 plus interest, which accrues at the LAIF rate plus 1.00% (effectively 1.37% at June 30, 2012).	53,591	66,833
The Farm in the Auxiliary Activities Fund has a note payable to the General Fund for a truck to be repaid in annual installments in the amount of \$7,700 plus interest, which accrues at the LAIF rate plus 1.00% (effectively 1.48% at June 30, 2011).	-	14,596
The Farm in the Auxiliary Activities Fund has a note payable to the General Fund for a tractor to be repaid in annual installments in the amount of \$20,000 plus interest, which accrues at the LAIF rate plus 1.00% (effectively 1.48% at June 30, 2011).	-	41,656
<b>Total Interfund Receivables and Payables</b>	<b>\$ 53,591</b>	<b>\$ 229,813</b>

**4. NET ASSETS RESERVES**

The Board, under guidelines established by the Chancellor’s Office, developed policies that reserve the net assets of the Research Foundation. Future increases will also be reserved by these policies.

Reservations are as follows for the year ended June 30, 2012:

	<b>General Fund</b>	<b>Plant and Bond Fund</b>	<b>Activities Fund</b>
Investment in plant, equipment, and breeding herd	\$ -	\$ 9,878,518	\$ 1,244,290
Inventory reserve	-	-	162,162
Reserve for working capital	258,592	-	329,025
Capital replacement reserve	-	-	150,000
<b>Total Net Assets Reserves</b>	<b>258,592</b>	<b>9,878,518</b>	<b>1,885,477</b>
Available for general purposes	-	-	631,677
<b>Total Net Assets</b>	<b>\$ 258,592</b>	<b>\$ 9,878,518</b>	<b>\$ 2,517,154</b>

The General Fund net asset balance as of June 30, 2012, of \$258,592 is fully reserved for working capital.

## **OTHER REPORTS**



MATSON  
& ISOM

**INDEPENDENT AUDITORS' REPORT ON INTERNAL  
CONTROL OVER FINANCIAL REPORTING AND ON  
COMPLIANCE AND OTHER MATTERS BASED ON AN  
AUDIT OF FINANCIAL STATEMENTS PERFORMED IN  
ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

To the Board of Directors  
The CSU, Chico Research Foundation  
Chico, California

We have audited the basic financial statements of the business-type activities of The CSU, Chico Research Foundation (the Research Foundation) as of and for the years ended June 30, 2012 and 2011, and have issued our report thereon dated September 17, 2012. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

**Internal Control Over Financial Reporting**

Management of the Research Foundation is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audits, we considered the Research Foundation's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Research Foundation's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Research Foundation's internal control over financial reporting.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the Research Foundation's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of the internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Research Foundation's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.



**INDEPENDENT AUDITORS' REPORT ON INTERNAL  
CONTROL OVER FINANCIAL REPORTING AND ON  
COMPLIANCE AND OTHER MATTERS BASED ON AN  
AUDIT OF FINANCIAL STATEMENTS PERFORMED IN  
ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

Continued

This report is intended solely for the information and use of the Board of Directors, management, the audit committee, others within the Research Foundation, federal awarding agencies, and pass-through entities, and is not intended to be and should not be used by anyone other than these specified parties.

*Matson and Isom*

September 17, 2012  
Chico, California



MATSON  
& ISOM

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE  
WITH REQUIREMENTS THAT COULD HAVE A DIRECT  
AND MATERIAL EFFECT ON EACH MAJOR PROGRAM  
AND ON INTERNAL CONTROL OVER COMPLIANCE  
IN ACCORDANCE WITH OMB CIRCULAR A-133**

To the Board of Directors  
The CSU, Chico Research Foundation  
Chico, California

**Compliance**

We have audited the compliance of The CSU, Chico Research Foundation (the Research Foundation), a nonprofit organization and a component unit of the California State University, Chico, California, with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the Research Foundation's major federal programs for the year ended June 30, 2012. The Research Foundation's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the Research Foundation's management. Our responsibility is to express an opinion on the Research Foundation's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above, which could have a direct and material effect on a major federal program, occurred. An audit includes examining, on a test basis, evidence about the Research Foundation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the Research Foundation's compliance with those requirements.

In our opinion, the Research Foundation complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012.

**Internal Control Over Compliance**

Management of the Research Foundation is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the Research Foundation's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine the auditing procedures for the purpose of expressing our opinion on compliance, and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Research Foundation's internal control over compliance.

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE  
WITH REQUIREMENTS THAT COULD HAVE A DIRECT  
AND MATERIAL EFFECT ON EACH MAJOR PROGRAM  
AND ON INTERNAL CONTROL OVER COMPLIANCE  
IN ACCORDANCE WITH OMB CIRCULAR A-133**

Continued

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses as defined above.

This report is intended solely for the information and use of the Board of Directors, management, audit committee, others within the Research Foundation, federal awarding agencies, and pass-through entities, and is not intended to be and should not be used by anyone other than these specified parties.

*Matson and Isom*

September 17, 2012  
Chico, California

**FINDINGS AND QUESTIONED COSTS SECTION**

**SCHEDULE OF FINDINGS AND  
QUESTIONED COSTS**

June 30, 2012

*The CSU, Chico  
Research Foundation*

**A. SUMMARY OF AUDITORS' RESULTS**

1. The auditors' report expresses an unqualified opinion on the financial statements of The CSU, Chico Research Foundation (the Research Foundation).
2. No deficiencies relating to internal control over financial reporting are reported in the Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Required by *Government Auditing Standards*, or in part B of this schedule. No deficiencies relating to internal control over compliance is reported or included in part C of this schedule.
3. No instances of noncompliance material to the financial statements of the Research Foundation were disclosed during the audit.
4. No deficiencies relating to the audit of the major federal award programs are reported in the Independent Auditors' Report on Compliance With Requirements That Could Have a Direct and Material Effect on Each Major Program and on Internal Control Over Compliance in Accordance With OMB Circular A-133.
5. The auditors' report on compliance for the major federal award programs for the Research Foundation expresses an unqualified opinion.
6. There are no findings relative to the major federal award programs for the Research Foundation reported in part C of this schedule.
7. The programs tested as major programs include:

<b><u>Program Description</u></b>	<b><u>CFDA No.</u></b>
State Broadband Data and Development Grant	11.558
Small Business Development Centers	59.037
Federal and State Technology Partnership Program	59.058
Capitalization Grants for Clean Water State Revolving Funds	66.458
<b>TRIO Cluster</b>	
Student Support Services	84.042
Talent Search	84.044
Upward Bound	84.047
<b>Medicaid Cluster</b>	
Medical Assistance Program (Medicaid; Title XIX)	93.778

8. The threshold for distinguishing Types A and B programs was \$587,249.
9. The Research Foundation was determined to be a low-risk auditee.

**SCHEDULE OF FINDINGS AND  
QUESTIONED COSTS**

June 30, 2012

*The CSU, Chico  
Research Foundation*

**B. FINDINGS  
FINANCIAL STATEMENTS AUDIT**

None.

**SCHEDULE OF FINDINGS AND  
QUESTIONED COSTS**

June 30, 2012

*The CSU, Chico  
Research Foundation*

**C. FINDINGS  
FEDERAL AWARDS AUDIT**

None.

None.



**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**  
June 30, 2012

*The CSU, Chico  
Research Foundation*

None.