



MATSON  
& ISOM

THE CSU, CHICO  
RESEARCH FOUNDATION

**Chico, California**

FINANCIAL STATEMENTS AND  
SUPPLEMENTARY INFORMATION WITH  
INDEPENDENT AUDITORS' REPORTS

**June 30, 2013 and 2012**

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## INDEPENDENT AUDITORS' REPORT

To the Board of Directors  
The CSU, Chico Research Foundation  
Chico, California

### **Report on the Financial Statements**

We have audited the accompanying financial statements of the business-type activities of The CSU, Chico Research Foundation (the Research Foundation), as of and for the years ended June 30, 2013 and 2012, and the related notes to the financial statements, which collectively comprise the Research Foundation's basic financial statements as listed in the table of contents.

### ***Management's Responsibility for the Financial Statements***

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### ***Auditors' Responsibility***

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Research Foundation's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Research Foundation's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### ***Opinion***

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the business-type activities of the Research Foundation, as of June 30, 2013 and 2012, and the respective changes in financial position and, where applicable, cash flows thereof for the year then ended in conformity with accounting principles generally accepted in the United States of America.

### ***Emphasis of a Matter***

As explained in note 1, the financial statements include investments valued at \$1,343,713 (5.05% of net position) and \$1,163,377 (4.53% of net position) as of June 30, 2013 and 2012, respectively, and whose fair values have been estimated by the Research Foundation in the absence of readily determinable fair values. The Research Foundation's estimates are based on information provided by the fund managers.

## INDEPENDENT AUDITORS' REPORT

Continued

### *Other Matters*

#### *Required Supplementary Information*

Management has omitted management's discussion and analysis (MD&A) that accounting principles generally accepted in the United States of America require to be presented to supplement the basic financial statements. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. Our opinion on the basic financial statements is not affected by this missing information.

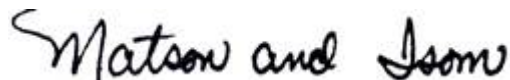
#### *Other Information*

Our audits were conducted for the purpose of forming an opinion on the financial statements that collectively comprise the Research Foundation's basic financial statements. The accompanying schedule of expenditures of federal awards and the financial information listed as supplementary information in the table of contents are presented for purposes of additional analysis as required by the U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*; the California State University Chancellor's Office; or by management; and are not a required part of the basic financial statements.

The supplementary information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. Such information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the supplementary information is fairly stated in all material respects in relation to the financial statements as a whole.

### **Other Reporting Required by Government Auditing Standards**

In accordance with *Government Auditing Standards*, we have also issued our report dated September 20, 2013, on our consideration of the Research Foundation's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Research Foundation's internal control over financial reporting and compliance.



September 20, 2013  
Chico, California

**FINANCIAL SECTION**

# STATEMENTS OF NET POSITION

*The CSU, Chico  
Research Foundation*

June 30	2013	2012
<b>ASSETS</b>		
<b>CURRENT ASSETS</b>		
Cash and cash equivalents	\$ 687,215	\$ 1,396,204
Short-term investments	9,257,453	7,526,656
Accounts receivable - net	8,380,780	7,683,710
Prepaid expenses and other assets	487,722	483,587
<b>Total Current Assets</b>	<b>18,813,170</b>	<b>17,090,157</b>
<b>NONCURRENT ASSETS</b>		
Notes receivable	95,457	71,130
Other long-term investment	16,500	16,500
Capital assets - net	15,276,206	15,829,264
Other assets	731,302	685,575
<b>Total Noncurrent Assets</b>	<b>16,119,465</b>	<b>16,602,469</b>
<b>Total Assets</b>	<b>34,932,635</b>	<b>33,692,626</b>
<b>LIABILITIES</b>		
<b>CURRENT LIABILITIES</b>		
Accounts payable	1,331,892	1,138,090
Accrued salaries and benefits payable	917,847	882,952
Accrued compensated absences	54,125	52,234
Unearned revenue	1,625	1,600
Long-term debt obligations - current portion	680,573	635,300
Other current liabilities	37,128	35,540
<b>Total Current Liabilities</b>	<b>3,023,190</b>	<b>2,745,716</b>
<b>NONCURRENT LIABILITIES</b>		
Accrued compensated absences - net of current portion	23,393	20,951
Long-term debt obligations - current portion	4,159,789	4,188,971
Depository accounts	7,150	9,925
Other postemployment benefits obligation	557,412	552,934
Other long-term liabilities	557,511	503,867
<b>Total Noncurrent Liabilities</b>	<b>5,305,255</b>	<b>5,276,648</b>
<b>Total Liabilities</b>	<b>8,328,445</b>	<b>8,022,364</b>
<b>NET POSITION</b>		
Net investment in capital assets	10,769,654	11,340,024
Restricted for:		
Expendable:		
Research	1,130,130	1,093,883
Loans	50,101	50,101
Other	868,341	734,836
Unrestricted	13,785,964	12,451,418
<b>Total Net Position</b>	<b>\$ 26,604,190</b>	<b>\$ 25,670,262</b>

*The accompanying notes are an integral part of these financial statements.*

**STATEMENTS OF REVENUES, EXPENSES,  
AND CHANGES IN NET POSITION**

*The CSU, Chico  
Research Foundation*

Years Ended June 30	<b>2013</b>	<b>2012</b>
<b>REVENUES</b>		
OPERATING REVENUES		
Grants and contracts - noncapital:		
Federal	\$ 21,878,434	\$ 20,762,465
State	3,334,863	4,046,276
Local	1,341,541	1,852,643
Nongovernmental	2,386,100	2,656,068
Sales and services of educational activities	2,689,393	2,146,812
Sales and services of auxiliary enterprises	4,510,379	4,466,642
Other operating revenues	4,457,515	4,802,115
<b>Total Operating Revenues</b>	<b>40,598,225</b>	<b>40,733,021</b>
<b>EXPENSES</b>		
OPERATING EXPENSES		
Instruction	4,030,525	3,442,656
Research	2,618,693	2,288,175
Public service	22,546,504	22,327,664
Academic support	4,174,694	5,384,354
Student services	1,549,257	1,575,111
Institutional support	2,347,007	2,569,161
Operation and maintenance of plant	279,526	312,860
Student grants and scholarships	53,641	140,658
Auxiliary enterprises expenditures	2,132,611	1,796,882
Depreciation and amortization	1,175,404	1,051,820
<b>Total Operating Expenses</b>	<b>40,907,862</b>	<b>40,889,341</b>
<b>Net Operating Loss</b>	<b>(309,637)</b>	<b>(156,320)</b>
NONOPERATING REVENUES (EXPENSES)		
Investment income - net	271,695	74,038
Interest expense	(188,427)	(197,111)
Other nonoperating revenue	901,497	1,323,626
<b>Net Nonoperating Revenues</b>	<b>984,765</b>	<b>1,200,553</b>
<b>Income Before Other Additions</b>	<b>675,128</b>	<b>1,044,233</b>
Grants and gifts - capital	258,800	296,229
<b>Increase in Net Position</b>	<b>933,928</b>	<b>1,340,462</b>
<b>Net Position - Beginning of Year - as Previously Reported</b>	<b>25,670,262</b>	<b>23,535,172</b>
<b>Recognize Receipt of Capital Gift</b>	<b>-</b>	<b>794,628</b>
<b>Net Position - Beginning of Year - as Restated</b>	<b>25,670,262</b>	<b>24,329,800</b>
<b>Net Position - End of Year</b>	<b>\$ 26,604,190</b>	<b>\$ 25,670,262</b>

*The accompanying notes are an integral part of these financial statements.*



# STATEMENTS OF CASH FLOWS

*The CSU, Chico*  
*Research Foundation*  
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Years Ended June 30	2013	2012
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Federal grants and contracts	\$ 20,191,040	\$ 20,955,123
State grants and contracts	3,613,706	3,859,158
Local grants and contracts	1,485,140	1,996,439
Nongovernmental grants and contracts	2,552,018	2,225,994
Payments to suppliers	(20,318,116)	(22,639,558)
Payments to employees	(19,085,869)	(17,982,485)
Payments to students	(95,100)	(269,650)
Sales and services of educational activities	2,560,175	2,248,948
Sales and services of auxiliary enterprises	4,519,611	4,399,943
Other receipts	4,986,940	5,000,341
<b>Net Cash Provided (Used) by Operating Activities</b>	<b>409,545</b>	<b>(205,747)</b>
<b>CASH FLOWS FROM NONCAPITAL AND RELATED FINANCING ACTIVITIES</b>		
Other	683,637	1,259,560
<b>CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES</b>		
Capital grants and gifts	258,800	296,229
Proceeds from sales of capital assets	314,415	636,968
Acquisitions of capital assets	(718,901)	(1,181,236)
Proceeds from capital debt	201,364	-
Principal paid on capital debt and lease	(185,273)	(140,000)
Interest paid on capital debt	(189,147)	(197,438)
<b>Net Cash Used by Capital and Related Financial Activities</b>	<b>(318,742)</b>	<b>(585,477)</b>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>		
Investment income	70,180	91,745
Purchase of investments	(23,582,434)	(21,649,942)
Withdrawals from investments	22,028,825	21,960,730
<b>Net Cash Provided (Used) by Investing Activities</b>	<b>(1,483,429)</b>	<b>402,533</b>
<b>Increase (Decrease) in Cash and Cash Equivalents</b>	<b>(708,989)</b>	<b>870,869</b>
<b>Cash and Cash Equivalents - Beginning of Year</b>	<b>1,396,204</b>	<b>525,335</b>
<b>Cash and Cash Equivalents - End of Year</b>	<b>\$ 687,215</b>	<b>\$ 1,396,204</b>

*The accompanying notes are an integral part of these financial statements.*

**STATEMENTS OF CASH FLOWS**

*The CSU, Chico*  
*Research Foundation*  
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Years Ended June 30	2013	2012
<b>RECONCILIATION OF NET OPERATING LOSS TO NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES</b>		
Operating loss	\$ (309,637)	\$ (156,320)
Adjustments to reconcile operating loss to net cash provided by operating activities:		
Depreciation and amortization expense	1,175,404	1,051,820
Changes in assets and liabilities:		
Accounts receivable - net	(697,070)	(525,378)
Prepaid expenses and other assets	(49,862)	311,148
Accounts payable	193,802	(1,022,640)
Accrued salaries and benefits payable	34,895	8,442
Accrued compensated absences	4,333	7,721
Unearned revenue	25	(125)
Depository accounts	(2,775)	(1,625)
Other postemployment benefits obligation	4,478	34,204
Other liabilities	55,952	87,006
<b>Net Cash Provided (Used) by Operating Activities</b>	<b>\$ 409,545</b>	<b>\$ (205,747)</b>

*The accompanying notes are an integral part of these financial statements.*

**1. NATURE OF ACTIVITIES AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Nature of Activities** The CSU, Chico Research Foundation (the Research Foundation) is a not-for-profit auxiliary organization of California State University, Chico (CSU, Chico), that administers various funds and performs other activities related to the CSU, Chico, community. The Research Foundation administers the grants and contracts as well as the nonphilanthropic agency accounts, incentive accounts, and enterprise activities.

**Basis of Accounting** Pursuant to the requirements established by the Chancellor of the California State University, the Research Foundation has adopted the provisions of Statement No. 35 of the Governmental Accounting Standards Board (GASB), *Basic Financial Statements—and Management’s Discussion and Analysis—for Public Colleges and Universities*. With minor exceptions, this statement provides that public colleges and universities are subject to the financial reporting requirements of GASB Statement No. 34, which is applicable to state and local governments. The Research Foundation is an auxiliary organization to CSU, Chico; and, therefore, is determined to be a component unit of CSU, Chico, in accordance with GASB Statement No. 14, *The Financial Reporting Entity*, as amended by GASB Statement No. 39, *Determining Whether Certain Organizations are Component Units*. All component units are required to adopt GASB Statement Nos. 34 and 35. Management has elected not to present management’s discussion and analysis (MD&A) that GASB has determined is necessary to supplement, although not required to be a part of, the basic financial statements. The Research Foundation adopted GASB Statement No. 63, *Financial Reporting of Deferred Outflows of Resources, Deferred Inflows of Resources, and Net Position*, and GASB Statement No. 65, *Items Previously Reported as Assets and Liabilities*. These statements establish standards for reporting deferred outflows of resources, deferred inflows of resources, and net position for all state and local governments. The Research Foundation has not identified any assets or liabilities which should be classified as deferred inflows or outflows of resources.

The financial statements are prepared using the economic measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when incurred.

**Cash and Cash Equivalents** Cash and cash equivalents are defined as short-term, highly liquid investments that are readily convertible to known amounts of cash and present insignificant risk of change in value because of a change in interest rates. They consist of cash on hand and in commercial checking and savings accounts.

The Research Foundation has secured a contract for deposit of monies with Tri Counties Bank whereby all deposits will be subject to the security provided to local public agencies. The deposits are collateralized with securities held by Union Bank of California.

**Investments** Short-term investments consist of money market accounts and deposits in Local Agency Investment Fund (LAIF). Investments also include equity securities, mutual funds, and Common Fund.

Common Fund consists of U.S. government securities, corporate obligations, and other securities that are recorded at their estimated fair value based on information provided by the fund manager. Common Fund is considered an alternative investment since the fair value is not readily determinable. For the years ended June 30, 2013 and 2012, the investment in Common Fund amounted to \$1,343,713 (5.05% of net position) and \$1,163,377 (4.53% of net position), respectively.

**NOTES TO THE FINANCIAL STATEMENTS**

June 30, 2013 and 2012

*The CSU, Chico  
Research Foundation*

In accordance with GASB Statement No. 31, *Accounting and Financial Reporting for Certain Investments and for External Investment Pools*, highly liquid market investments with maturities of one year or less at the time of purchase are stated at amortized cost. All other investments are stated at fair value.

The Research Foundation participates in LAIF managed by the State of California. As of June 30, 2013 and 2012, the LAIF pool includes structured notes and asset-backed securities which total 1.96% and 3.47%, respectively, of the total portfolio. These structured notes and asset-backed securities are subject to market risk as interest rates change. The fair value of the Research Foundation's investment in LAIF is the same as the carrying value of the pool shares. As of June 30, 2013 and 2012, the fair value of LAIF is 100.03% and 100.12%, respectively, of the carrying value and is deemed to not represent a material difference. There are no LAIF funds invested in derivatives as of June 30, 2013 and 2012. Oversight of LAIF is provided by the Local Investment Advisory Board (LIAB), which consists of five members as designated by statute. The chairman of the LIAB is the State treasurer or his designated representative. The Research Foundation is considered to be a voluntary participant in the LAIF investment pool.

The Research Foundation's investment policy established safety of principal as the primary investment objective to realize a reasonable interest yield.

**Accounts Receivable** Accounts receivable include amounts due from the federal government, state and local governments, or private sources in connection with reimbursement of allowable expenditures made pursuant to the Research Foundation's grants and contracts. Additionally, accounts receivable consists of CSU, Chico, faculty emergency loan funds and other miscellaneous accounts receivable. The Research Foundation utilizes the allowance method whereas uncollectible accounts are determined based on past payment history. There was no allowance recorded for the years ended June 30, 2013 and 2012.

**Prepaid Expenses and Other Assets** Prepaid expenses and other assets include inventories and deferred crop planting expenses. Deferred crop planting expenses represent the cost of seed, fertilizer, labor, and other crop preparation expenses which will be charged to operations when the related crop revenue is recognized.

**Inventories** Feed inventory is stated at cost, and horticulture inventory is stated at average cost. Both are on a first-in, first-out basis. Feeder livestock is stated at the lower of cost or market value.

Inventories, included in prepaid expenses and other assets, consisted of the following:

	<b>2013</b>	<b>2012</b>
Feeder livestock	\$ 34,015	\$ 33,290
Meat lab	23,253	18,525
Feed	72,115	76,136
Other	57,609	39,832
<b>Total</b>	<b>\$ 186,992</b>	<b>\$ 167,783</b>

**Other Long-Term Investment** Other long-term investment consists of real estate held for sale, which is recorded at the fair market value determined on the date it was donated.

## NOTES TO THE FINANCIAL STATEMENTS

June 30, 2013 and 2012

*The CSU, Chico  
Research Foundation*

**Capital Assets** Capital assets are stated at cost, or if acquired by gift, are recorded at estimated market value at the date of acquisition. Livestock is recorded at a unit value which approximates average cost for the particular species, gender, size, and age of the animal. Capital assets are not capitalized by the Research Foundation if the title remains with or reverts to the grantor. Planned major maintenance is accounted for using the direct expense method. Expenditures for new construction, major renewals and replacements, and equipment over \$5,000 are capitalized.

Depreciation is provided for in amounts sufficient to relate the cost of depreciable assets to operations over their estimated service lives using the straight-line method. Depreciation for capital assets purchased with grant and contract funds has been charged using an estimated useful life of five years. Livestock is not depreciated because management considers the unit values to approximate amounts to be realized on the ultimate disposition of livestock.

**Depository Accounts** Depository accounts represent the amount of tenant security deposits on property the Research Foundation leases through a property management company.

**Net Position** The Research Foundation's net position is classified as follows:

*Net Investment in Capital Assets:* This represents the Research Foundation's total investment in capital assets, net of outstanding debt obligations related to those capital assets. To the extent debt has been incurred for capital assets but not yet expended, such amounts are not included as a component of invested in capital assets - net of related debt.

*Restricted Net Position—Expendable:* This includes resources in which the Research Foundation is legally or contractually obligated to spend in accordance with restrictions imposed by external third parties. Restricted net position amounts include a donor restricted research endowment, faculty emergency loan fund, and funds held for CSU, Chico, for campus licensing agreements.

When an expense is incurred for purposes of which both restricted and unrestricted net positions are available, restricted resources are applied first.

**Operating and Nonoperating Revenues (Expenses)** All revenues that relate to the ongoing operations of the Research Foundation are included in operating revenues. Gains and losses not directly related to the ongoing operations and interest incurred on capital related debt are reported as nonoperating.

Charges for services include revenues based on exchange transactions, namely sales of educational and student activities and sales of agricultural products.

Grants and contracts are received from federal and state governmental agencies and various other organizations. The Research Foundation receives an administration fee to cover indirect overhead costs and recognizes this fee as income over the life of the grant or contract as a percentage of total expenditures or salaries and wages as specified in the grant or contract. Grants and contracts to be expended are not reflected in the financial statements of the Research Foundation. The balance of these accounts totaled \$19,904,818 and \$20,068,444 at June 30, 2013 and 2012, respectively.

**Grants and Gifts - Capital** Includes real property or equipment received from donors, federal, state, and other funding agencies.

**NOTES TO THE FINANCIAL STATEMENTS**

June 30, 2013 and 2012

*The CSU, Chico  
Research Foundation*

**Operating Expenses** Administrative overhead charges are included in operating expenses. When these charges are made to various programs, they are included in the direct expenses of those programs. Allocations of overhead expenses from one function to another, and those within one function, are eliminated in the statement of activities so that allocated expenses are reported only by the function to which they were allocated.

**Use of Estimates** The preparation of financial statements in conformity with generally accepted accounting principles includes the use of estimates that affect the financial statements. Accordingly, actual results could differ from those estimates.

**Income Taxes** The Research Foundation is exempt from income taxes under Section 501(c)(3) of the Internal Revenue Code and Section 23701(d) of the California Revenue and Taxation Code. However, income from certain activities not directly related to the tax-exempt purpose is subject to taxation as unrelated business income. Expenses associated with the unrelated business income exceed the income or are insignificant. Accordingly, no provision for income taxes has been recorded. The Research Foundation functionally supports CSU, Chico, and has been classified in accordance with Section 509(a)(3), Type III.

**Subsequent Events** Management has evaluated subsequent events through September 20, 2013, the date which the report was available to be issued.

**2. CASH AND CASH EQUIVALENTS**

Custodial credit risk is the risk that, in the event of a bank failure, the Research Foundation's deposits may not be returned. The Research Foundation does not have a policy for custodial credit risk. As of June 30, 2013 and 2012, the entire bank balance of \$554,797 and \$745,292, respectively, was uninsured and collateralized with securities held by the pledging financial institution's trust department.

**3. INVESTMENTS**

Investments for the year ended June 30, 2013, were as follows:

June 30, 2013	<b>Current Unrestricted</b>	<b>Noncurrent Unrestricted</b>	<b>Total</b>
Local Agency Investment Fund	\$ 7,446,891	\$ -	\$ 7,446,891
Money market	33,605	-	33,605
Real estate	-	16,500	16,500
Equity securities	99,312	-	99,312
Mutual funds	333,932	-	333,932
Common fund	1,343,713	-	1,343,713
Note receivable	-	95,457	95,457
<b>Total Investments</b>	<b>\$ 9,257,453</b>	<b>\$ 111,957</b>	<b>\$ 9,369,410</b>

Investments for the year ended June 30, 2012, were as follows:

June 30, 2012	<b>Current Unrestricted</b>	<b>Noncurrent Unrestricted</b>	<b>Total</b>
Local Agency Investment Fund	\$ 5,947,451	\$ -	\$ 5,947,451
Money market	6,662	-	6,662
Real estate	-	16,500	16,500
Equity securities	122,031	-	122,031
Mutual funds	287,135	-	287,135
Common fund	1,163,377	-	1,163,377
Note receivable	-	71,130	71,130
<b>Total Investments</b>	<b>\$ 7,526,656</b>	<b>\$ 87,630</b>	<b>\$ 7,614,286</b>

### **Credit Risk**

The Research Foundation's investment policy does not limit its investment choices. The Research Foundation's investments in LAIF, money market, equity securities, mutual funds, and the Common Fund are unrated.

### **Concentration of Credit Risk**

Financial instruments which potentially subject the Research Foundation to concentrations of credit risk consist primarily of cash investments. More than 10.00% of the Research Foundation's investments are in LAIF and the Common Fund. At June 30, 2013, these investments were 79.48% and 14.34%, respectively, of the Research Foundation's total investments. At June 30, 2012, these investments were 78.11% and 15.28%, respectively, of the Research Foundation's total investments.

### **Interest Rate Risk**

Interest rate risk is the risk whereby changes in market interest rates could adversely affect the fair value of an investment. The Research Foundation does not have a formal investment policy that limits investment maturities as a means of managing its exposure to fair value losses arising from increasing interest rates. The average maturity for investments held within LAIF is less than one year.

## **4. ACCOUNTS RECEIVABLE**

Accounts receivable consisted of the following:

	<b>2013</b>	<b>2012</b>
Federal, state, and other grants and contracts	\$ 7,103,746	\$ 6,004,712
CSU, Chico, faculty emergency loan funds	50,101	50,101
Other	1,226,933	1,628,897
<b>Total</b>	<b>\$ 8,380,780</b>	<b>\$ 7,683,710</b>

**NOTES TO THE FINANCIAL STATEMENTS**

June 30, 2013 and 2012

*The CSU, Chico  
Research Foundation*

**5. CAPITAL ASSETS**

Capital assets activity for the year ended June 30, 2013, was as follows:

June 30, 2013	<b>Beginning Balance</b>	<b>Additions</b>	<b>Retirements</b>	<b>Ending Balance</b>
<b>NONDEPRECIABLE CAPITAL ASSETS</b>				
Land and land improvements	\$ 6,097,443	\$ -	\$ (70,000)	\$ 6,027,443
Construction in progress	335,346	7,602	-	342,948
Breeding livestock	98,120	-	(7,190)	90,930
<b>Total Nondepreciable Capital Assets</b>	<b>6,530,909</b>	<b>7,602</b>	<b>(77,190)</b>	<b>6,461,321</b>
<b>DEPRECIABLE CAPITAL ASSETS</b>				
Building and improvements	10,893,752	-	(99,628)	10,794,124
Equipment and furnishings	8,286,048	711,299	(42,136)	8,955,211
<b>Total Depreciable Capital Assets</b>	<b>19,179,800</b>	<b>711,299</b>	<b>(141,764)</b>	<b>19,749,335</b>
<b>Subtotal</b>	<b>25,710,709</b>	<b>718,901</b>	<b>(218,954)</b>	<b>26,210,656</b>
<b>LESS: ACCUMULATED DEPRECIATION</b>				
Building and improvements	(4,309,457)	(383,542)	80,263	(4,612,736)
Equipment and furnishings	(5,571,988)	(791,862)	42,136	(6,321,714)
<b>Total Accumulated Depreciation</b>	<b>(9,881,445)</b>	<b>(1,175,404)</b>	<b>122,399</b>	<b>(10,934,450)</b>
<b>Total Net Capital Assets</b>	<b>\$ 15,829,264</b>	<b>\$ (456,503)</b>	<b>\$ (96,555)</b>	<b>\$ 15,276,206</b>



**NOTES TO THE FINANCIAL STATEMENTS**

June 30, 2013 and 2012

*The CSU, Chico  
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Capital assets activity for the year ended June 30, 2012, was as follows:

June 30, 2012	Beginning Balance (as Previously Reported)	Prior-Period Adjustment	Beginning Balance (as Restated)	Additions	Retirements	Transfers	Ending Balance
<b>NONDEPRECIABLE CAPITAL ASSETS</b>							
Land and land improvements	\$ 6,427,443	\$ -	\$ 6,427,443	\$ -	\$ (330,000)	\$ -	\$ 6,097,443
Construction in progress	464,016	-	464,016	21,524	-	(150,194)	335,346
Breeding livestock	86,890	-	86,890	11,230	-	-	98,120
<b>Total Nondepreciable Capital Assets</b>	<b>6,978,349</b>	<b>-</b>	<b>6,978,349</b>	<b>32,754</b>	<b>(330,000)</b>	<b>(150,194)</b>	<b>6,530,909</b>
<b>DEPRECIABLE CAPITAL ASSETS</b>							
Building and improvements	11,068,317	-	11,068,317	17,638	(342,397)	150,194	10,893,752
Equipment and furnishings	6,518,463	794,628	7,313,091	1,130,844	(157,887)	-	8,286,048
<b>Total Depreciable Capital Assets</b>	<b>17,586,780</b>	<b>794,628</b>	<b>18,381,408</b>	<b>1,148,482</b>	<b>(500,284)</b>	<b>150,194</b>	<b>19,179,800</b>
<b>Subtotal</b>	<b>24,565,129</b>	<b>794,628</b>	<b>25,359,757</b>	<b>1,181,236</b>	<b>(830,284)</b>	<b>-</b>	<b>25,710,709</b>
<b>LESS: ACCUMULATED DEPRECIATION</b>							
Building and improvements	(4,088,096)	-	(4,088,096)	(408,564)	187,203	-	(4,309,457)
Equipment and furnishings	(4,998,911)	-	(4,998,911)	(643,256)	70,179	-	(5,571,988)
<b>Total Accumulated Depreciation</b>	<b>(9,087,007)</b>	<b>-</b>	<b>(9,087,007)</b>	<b>(1,051,820)</b>	<b>257,382</b>	<b>-</b>	<b>(9,881,445)</b>
<b>Total Net Capital Assets</b>	<b>\$ 15,478,122</b>	<b>\$ 794,628</b>	<b>\$ 16,272,750</b>	<b>\$ 129,416</b>	<b>\$ (572,902)</b>	<b>\$ -</b>	<b>\$ 15,829,264</b>

The detail of depreciation and amortization expense was as follows:

	2013	2012
<b>Depreciation and Amortization Expense Related to Capital Assets</b>	<b>\$ 1,175,404</b>	<b>\$ 1,051,820</b>

**6. OTHER ASSETS**

Other assets consisted of the following:

	<u>2013</u>	<u>2012</u>
Deposits held by property managers	\$ 225,413	\$ 179,686
Deposits held by unemployment insurance trust	195,133	195,133
Bond reserve accounts held by trustee	310,756	310,756
<b>Total Other Assets</b>	<b>\$ 731,302</b>	<b>\$ 685,575</b>

**7. LONG-TERM DEBT OBLIGATIONS**

Long-term debt obligations consisted of the following:

	<u>2013</u>	<u>2012</u>
<b>LONG-TERM DEBT OBLIGATIONS</b>		
Noninterest bearing agreements with the State of California, payable in cash or in-kind upon mutual agreement or 30 days' notice by either party. The agreements are secured by cattle, sheep, swine, and horticulture.	\$ 73,971	\$ 73,971
Noninterest bearing note payable to the City of Chico to be repaid in 20 annual payments of \$25,000 through June 2020. The note is secured by cash reserves and other assets.	175,000	200,000
Note payable to John Deere Financial to be repaid in 4 annual installments of \$44,332, including interest at 3.95%. The note is secured by a tractor.	161,091	-
Note payable to River Network to be repaid in the future or forgiven. The note was to be repaid to the extent the Research Foundation was able to raise funds for acquisition of the land for the Big Chico Creek Ecological Preserve. New management of River Network and the Research Foundation will discuss ways to raise additional funds to repay the note. To the extent additional funds are not raised, River Network and the Research Foundation plan to sign an agreement to forgive the unpaid balance on the note. Interest accrued at 5.00% for the year ended June 30, 2003. Per a revised agreement dated July 1, 2003, no additional interest is to accrue. The note is unsecured.	490,300	490,300
<b>Balance Forward</b>	<b>\$ 900,362</b>	<b>\$ 764,271</b>

	<b>2013</b>	<b>2012</b>
<b>Balance Brought Forward</b>	\$ 900,362	\$ 764,271
Public auxiliary organization revenue bonds payable of \$5,115,000 acquired through A.G. Edwards and Sons, Inc. The bonds were acquired to fund the cost of a building located at 25 Main Street, Chico, California, and to refinance the outstanding amount of the Research Foundation's auxiliary organization revenue bonds Series 2000, which were originally acquired to fund the cost of the CSU, Chico, soccer stadium and the building located at 35 Main Street, Chico, California. The bonds will be repaid to the trustee in 30 annual installments, including principal, interest, and sinking fund payments, which was to begin in June 2003 with interest rates ranging from 2.00% to 4.75%. The bonds are secured by revenues of the Research Foundation.	3,940,000	4,060,000
<b>Total Long-Term Debt Obligations</b>	<b>\$ 4,840,362</b>	<b>\$ 4,824,271</b>

Debt service requirements are as follows:

Years Ending	Revenue Bonds		All Other Long-Term Debt Obligations		Total Principal	Total Interest
	Principal	Interest	Principal	Interest		
2014	\$ 125,000	\$ 182,525	\$ 555,573	\$ 4,056	\$ 680,573	\$ 186,581
2015	130,000	177,681	65,273	4,056	195,273	181,737
2016	135,000	171,831	65,273	4,056	200,273	175,887
2017	145,000	165,756	65,272	4,057	210,272	169,813
2018	150,000	159,775	98,971	-	248,971	159,775
2019-2023	485,000	723,350	50,000	-	535,000	723,350
2024-2028	760,000	549,575	-	-	760,000	549,575
2029-2033	2,010,000	429,638	-	-	2,010,000	429,638
<b>Total</b>	<b>\$ 3,940,000</b>	<b>\$ 2,560,131</b>	<b>\$ 900,362</b>	<b>\$ 16,225</b>	<b>\$ 4,840,362</b>	<b>\$ 2,576,356</b>

**NOTES TO THE FINANCIAL STATEMENTS**

June 30, 2013 and 2012

*The CSU, Chico  
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Long-term liability obligation activity for the year ended June 30, 2013, was as follows:

<u>Description</u>	<u>Balance June 30, 2012</u>	<u>Additions</u>	<u>Reductions</u>	<u>Balance June 30, 2013</u>	<u>Current Portion</u>	<u>Long-Term Portion</u>
ACCRUED COMPENSATED ABSENCES	\$ 73,185	\$ 69,325	\$ (64,992)	\$ 77,518	\$ 54,125	\$ 23,393
LONG-TERM DEBT OBLIGATIONS						
Revenue bonds payable	4,060,000	-	(120,000)	3,940,000	125,000	3,815,000
Notes payable	764,271	201,364	(65,273)	900,362	555,573	344,789
<b>Total Long-Term Debt Obligations</b>	<b>4,824,271</b>	<b>201,364</b>	<b>(185,273)</b>	<b>4,840,362</b>	<b>680,573</b>	<b>4,159,789</b>
<b>Total Long-Term Liabilities</b>	<b>\$ 4,897,456</b>	<b>\$ 270,689</b>	<b>\$ (250,265)</b>	<b>\$ 4,917,880</b>	<b>\$ 734,698</b>	<b>\$ 4,183,182</b>

Long-term liability obligation activity for the year ended June 30, 2012, was as follows:

<u>Description</u>	<u>Balance June 30, 2011</u>	<u>Additions</u>	<u>Reductions</u>	<u>Balance June 30, 2012</u>	<u>Current Portion</u>	<u>Long-Term Portion</u>
ACCRUED COMPENSATED ABSENCES	\$ 65,464	\$ 69,609	\$ (61,888)	\$ 73,185	\$ 52,234	\$ 20,951
LONG-TERM DEBT OBLIGATIONS						
Revenue bonds payable	4,175,000	-	(115,000)	4,060,000	120,000	3,940,000
Notes payable	789,271	-	(25,000)	764,271	515,300	248,971
<b>Total Long-Term Debt Obligations</b>	<b>4,964,271</b>	<b>-</b>	<b>(140,000)</b>	<b>4,824,271</b>	<b>635,300</b>	<b>4,188,971</b>
<b>Total Long-Term Liabilities</b>	<b>\$ 5,029,735</b>	<b>\$ 69,609</b>	<b>\$ (201,888)</b>	<b>\$ 4,897,456</b>	<b>\$ 687,534</b>	<b>\$ 4,209,922</b>

**8. OTHER LONG-TERM LIABILITIES**

Other long-term liabilities consisted of the following:

	<b>2013</b>	<b>2012</b>
Reserve for grant cost disallowance	\$ 499,995	\$ 489,756
Other	57,516	14,111
<b>Total Other Long-Term Liabilities</b>	<b>\$ 557,511</b>	<b>\$ 503,867</b>

**9. ACCRUED COMPENSATED ABSENCES**

Employees of the Research Foundation are entitled to paid vacation and paid sick leave based on length of service and other factors. The Research Foundation's policy is to recognize the costs of those compensated absences when actually paid to employees as sick leave does not vest to the employee. The liability for compensated absences relating to paid vacation has been accrued for all employees, except those funded by grants and contracts. The compensated absences' liability will be paid by both the General Fund and the Auxiliary Activities Fund.

**10. HEALTH CARE BENEFITS**

The Research Foundation entered into a contract with the California State University Risk Management Authority (CSURMA) to provide a fully insured healthcare and vision plan for eligible employees. The Research Foundation also contracted with Premier Access to provide a fully insured dental plan for eligible employees. Postretirement health benefits are not required as a part of the CSURMA contract, however, the Research Foundation has elected to provide that benefit.

Total health, dental, and vision insurance expense, except for employees funded by grants and contracts, amounted to \$407,383 and \$510,831 for the years ended June 30, 2013 and 2012, respectively.

**11. RETIREMENT PLAN**

The Research Foundation sponsors a 403(b) savings plan, which covers fully benefited employees in a funded position. The employer contributions to the plan are at the discretion of the Board of Directors. The employer contribution is based upon an employee's years of service. At the beginning of the second year of service, the Research Foundation contributes 2% of the employee's pay to the plan. The percentage increases by 2% for each year of service up to a maximum contribution of 8%. Employer contributions vest immediately to the employee. Expenses recognized for the plan amounted to \$131,633 and \$122,267 for the years ended June 30, 2013 and 2012, respectively. The expense amounts for the savings plan does not include amounts paid for employees funded by grants and contracts.

## 12. POSTEMPLOYMENT BENEFITS OTHER THAN PENSION BENEFITS

### Plan Description

The Research Foundation sponsors an endowment-funded single-employer postretirement health care plan that covers both salaried and nonsalaried benefited employees. The plan provides medical benefits to qualified retirees to the extent sustainable by the provided endowment and can be terminated at any time. The postretirement health care plan is contributory with retiree contributions adjusted annually. Employees who retired prior to January 1, 2006, are qualified after 15 years of credited service and attainment of age 55. Employees retiring after January 1, 2006, are qualified after 15 years of credited service and the attainment of age 60. At June 30, 2013, 5 participants met these eligibility requirements and an estimated 34 participants will be eligible in future years.

The Research Foundation elected to fully accrue the net other postemployment benefit (OPEB) plan obligation. The annual OPEB cost and net obligation are standardized measurements of the present value of postemployment benefits estimated to be payable in the future as a result of an employee's service to date. The plan provides a monthly fixed benefit of \$200 or \$400 for a participant or a participant and spouse, respectively.

### Funding Policy

The Research Foundation will fund the plan monthly, on a pay-as-you-go basis, for members who meet the eligibility criteria. The contribution requirements of plan members and the Research Foundation are established and may be amended by the Research Foundation's Board or Directors. The Research Foundation has the right to modify, alter, or amend the plan in whole or in part.

### Annual Other Postemployment Benefit (OPEB) Cost and Net Obligation

The Research Foundation's OPEB cost, the percentage of OPEB cost contributed to the plan, and the net OPEB obligation are as follows:

	2013	2012	2011
Annual Required Contribution (ARC)	\$ 21,456	\$ 20,434	\$ 19,461
Interest on net OPEB Obligation	19,353	19,977	20,394
Adjustment to ARC	-	52,046	(52,046)
<b>Annual OPEB Cost</b>	<b>40,809</b>	<b>92,457</b>	<b>(12,191)</b>
Contributions	36,331	58,253	51,778
<b>Change in Net OPEB Obligation</b>	<b>4,478</b>	<b>34,204</b>	<b>(63,969)</b>
<b>Net OPEB Obligation - Beginning of Year</b>	<b>552,934</b>	<b>518,730</b>	<b>582,699</b>
<b>Net OPEB Obligation - End of Year</b>	<b>\$ 557,412</b>	<b>\$ 552,934</b>	<b>\$ 518,730</b>

Year Ended	Annual OPEB Cost	Actual Employer Contributions	Percentage Contributed	Net Ending OPEB Obligation
June 30, 2013	\$ 40,809	\$ 36,331	89.03%	\$ 557,412
June 30, 2012	\$ 92,457	\$ 58,253	63.01%	\$ 552,934
June 30, 2011	\$ (12,191)	\$ 51,778	424.72%	\$ 518,730

**Funded Status and Funding Progress**

The funded status of the plan was as follows:

	<b>2013</b>	<b>2012</b>	<b>2011</b>
Actuarial Accrued Liability (AAL)	\$ 557,412	\$ 552,934	\$ 518,730
Actuarial value of plan assets	-	-	-
<b>Unfunded Actuarial Accrued Liabilities (UAAL)</b>	<b>\$ 557,412</b>	<b>\$ 552,934</b>	<b>\$ 518,730</b>
Funded ratio (actuarial value of plan assets/AAL)	0.00%	0.00%	0.00%
Covered payroll (active members)	\$ 5,719,216	\$ 5,994,263	\$ 5,504,862
<b>UAAL as a Percentage of Covered Payroll</b>	<b>9.75%</b>	<b>9.22%</b>	<b>9.42%</b>

Actuarial valuations of an ongoing plan involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events into the future. Examples include assumptions about future employment, mortality, and the health care cost trend. Amounts determined regarding the funded status of the plan and the annual required contributions of the employer are subject to continual revision as actual results are compared with past expectations and new estimates are made about the future. Deviations in any of several factors, such as future interest rates, medical cost inflation, Medicare coverage, and changes in marital status, could result in actual costs being less or greater than estimated.

**Actuarial Methods and Assumptions**

The actuarial valuation was performed as of July 1, 2010, and was completed using the entry age normal actuarial cost method. An actuarial cost method is a procedure for allocating the actuarial present value of benefits and expenses and for developing an actuarially equivalent allocation of such value to time periods, usually in the form of a normal cost and an actuarial accrued liability (AAL). The entry age normal cost method allocates the present value of future benefits on a level basis over the earnings or service of each employee between the hire date and assumed retirement age. The portion of the present value of future benefits allocated to a valuation year is called the normal cost. The portion allocated to all prior years is called the AAL.

The actuarial valuation was completed by an independent consultant. Significant actuarial assumptions used in the valuations include a discount rate of 3.50% to calculate the present value of the future benefit payment; a health care cost trend rate range of 7.60% to 5.50% compounded annually; fixed monthly benefit amounts of \$200 for one and \$400 for two participants, respectively; annual rate of increase in payroll of 3.25%; and mortality rates used in the most recent California Public Employees' Retirement System pension valuations.

**13. SELF-INSURANCE**

The Research Foundation is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. The Research Foundation participates with other auxiliaries and universities in the CSURMA, a public entity risk pool currently operating as a common risk management and insurance program. The Research Foundation pays an annual premium to CSURMA for its general liability, property, and workers' compensation insurance coverage. The balance payable to CSURMA for workers' compensation insurance was \$8,597 at June 30, 2013.

The Research Foundation also participates in the CSURMA Auxiliary Organizations' Risk Management Authority (AORMA) Unemployment Insurance Program (UIP). The deposit requirement within AORMA UIP is \$195,133 and is included in other assets as a long-term deposit. The Research Foundation will maintain a vested interest in the amount held by AORMA UIP.

CSURMA is economically dependent on its members for funding and has secured a commitment from members to provide sufficient cash flow to meet its operating needs and to fund losses over a period of time. The CSURMA has reinsurance policies through a joint powers authority as well as commercial companies. The reinsurance effective for the year ended June 30, 2012, was applicable to general liability claims, workers' compensation claims, and AORMA liability claims.

#### **14. NET OPERATING LOSS**

The Research Foundation has net operating loss (NOL) carry forwards as a result of unrelated business income. At June 30, 2013 and 2012, the NOL carry forwards were approximately \$230,000 and \$260,000, respectively, and will expire beginning in 2020. A deferred tax asset valuation allowance has been established to the extent of the full NOL as it is unlikely that a benefit will be realized.

#### **15. RELATED-PARTY TRANSACTIONS**

##### **Operating Lease and Facility Use Agreements**

The Research Foundation operates as an auxiliary organization to CSU, Chico, under an operating agreement negotiated every five years. The current operating and lease agreements expire on June 30, 2017. The Research Foundation uses certain CSU, Chico, and other facilities under lease agreements requiring annual or monthly rental payments, or space trades.

##### **Business, Financial, and Information Technology Services**

The Associated Students of CSU, Chico (Associated Students), by contract provide business, financial, and information technology services to the Research Foundation.

The Research Foundation provides administrative and financial services to the University Foundation.

##### **Receivable From the Associated Students**

As required by the operating lease agreement between CSU, Chico, and the Associated Students, all payments related to this agreement are directed to the Research Foundation.

##### **Receivable/Payable With CSU, Chico**

Receivables and payables with CSU, Chico, are a result of grant and contract transactions and other miscellaneous supplies and services.



**Personnel**

The Research Foundation provides personnel services to the University Foundation under contract. The University Foundation reimburses the Research Foundation for the cost of these services. The personnel hired are employees of the Research Foundation and participate in the Research Foundation's benefit plans. The University Foundation indemnifies the Research Foundation for the acts of its employees under the University Foundation's supervision.

The following is a schedule of transactions with related parties:

	<b>2013</b>	<b>2012</b>
<b>TRANSACTIONS WITH CSU, CHICO</b>		
Payments to University for salaries of University personnel working on contracts, grants, and other programs	\$ 2,835,990	\$ 2,432,912
Payments to University for other than salaries of University personnel	\$ 2,117,423	\$ 2,098,034
Payments received from University for services, space, and programs	\$ 1,068,761	\$ 1,665,764
Gifts-in-kind to the University from Auxiliary Organizations	\$ -	\$ 4,551
Gifts (cash or assets) to the University from recognized Auxiliary Organization	\$ 1,286	\$ 193,343
Accounts (payable to) University	\$ (226,996)	\$ (67,424)
Other amounts (payable to) University	\$ (73,971)	\$ (73,971)
Accounts receivable from University	\$ 508,961	\$ 645,367
Other amounts receivable from University	\$ 50,101	\$ 50,101
<b>TRANSACTIONS WITH ASSOCIATED STUDENTS</b>		
Payments for business, financial, and information technology services	\$ 691,005	\$ 685,156
Accounts receivable from Associated Students for operating lease and facility use agreements with CSU, Chico	\$ 533,180	\$ 562,285
Accounts receivable from Associated Students	\$ 46,593	\$ 33,837
<b>TRANSACTIONS WITH UNIVERSITY FOUNDATION</b>		
Revenue for administrative and financial services	\$ 469,536	\$ 430,294
Revenue for personnel services	\$ 129,083	\$ 108,487

**16. PRIOR-PERIOD ADJUSTMENT**

At June 30, 2011, the Research Foundation incorrectly omitted the recording of donated equipment. The 2012 beginning net position balance was restated by \$794,628 due to the recognition of the additional capital assets totaling \$794,628 at June 30, 2011.

**SUPPLEMENTARY INFORMATION SECTION**

**SCHEDULES OF NET POSITION**

*The CSU, Chico  
Research Foundation*

June 30	General	Plant	Board	Auxiliary	Sponsored	Campus	Totals	
	Fund	and Bond Fund	Designated Fund	Activities Fund	Programs Fund	Programs Fund	2013	2012
<b>ASSETS</b>								
Cash and cash equivalents	\$ 122,718	\$ -	\$ 172,399	\$ 174,968	\$ 16,019	\$ 201,111	\$ 687,215	\$ 1,396,204
Short-term investments	687,761	-	2,941,673	864,384	1,375,059	3,388,576	9,257,453	7,526,656
Net accounts and other receivables	177,325	-	55,403	147,535	151,083	896,771	1,428,117	1,780,125
Sponsored program receivable	-	-	-	-	6,952,663	-	6,952,663	5,903,585
Inventories	-	-	-	186,992	-	-	186,992	167,783
Prepaid and deferred crop planting expenses	461,745	349,019	10,190	197,668	-	13,410	1,032,032	1,001,379
Property held for sale	16,500	-	-	-	-	-	16,500	16,500
Notes receivable	-	-	-	-	-	95,457	95,457	71,130
Land, buildings, and equipment - net of accumulated depreciation	-	13,639,649	65,984	1,570,573	-	-	15,276,206	15,829,264
<b>Total Assets</b>	<b>1,466,049</b>	<b>13,988,668</b>	<b>3,245,649</b>	<b>3,142,120</b>	<b>8,494,824</b>	<b>4,595,325</b>	<b>34,932,635</b>	<b>33,692,626</b>
<b>LIABILITIES</b>								
Accounts payable	125,843	-	47,566	64,570	986,451	107,462	1,331,892	1,138,090
Accrued expenses	248,269	15,210	13,245	17,494	691,162	104,629	1,090,009	1,005,788
Unearned revenue	-	-	-	1,625	-	-	1,625	1,600
Deposits held for others	7,150	-	-	-	-	-	7,150	9,925
Interfund (receivables) payables	(1,418,014)	301,641	974,615	185,403	4,818,740	(4,862,385)	-	-
Notes payable	-	665,300	-	235,062	-	-	900,362	764,271
Bonds payable	-	3,940,000	-	-	-	-	3,940,000	4,060,000
Post retirement health reserve	557,412	-	-	-	-	-	557,412	552,934
Reserve for grant cost disallowance	499,995	-	-	-	-	-	499,995	489,756
<b>Total Liabilities</b>	<b>20,655</b>	<b>4,922,151</b>	<b>1,035,426</b>	<b>504,154</b>	<b>6,496,353</b>	<b>(4,650,294)</b>	<b>8,328,445</b>	<b>8,022,364</b>
<b>Total Net Position</b>	<b>\$ 1,445,394</b>	<b>\$ 9,066,517</b>	<b>\$ 2,210,223</b>	<b>\$ 2,637,966</b>	<b>\$ 1,998,471</b>	<b>\$ 9,245,619</b>	<b>\$ 26,604,190</b>	<b>\$ 25,670,262</b>

See notes to the supplementary information.

**SCHEDULES OF REVENUES, EXPENSES,  
AND CHANGES IN NET POSITION**

*The CSU, Chico  
Research Foundation*

Years Ended June 30	General	Plant	Board	Auxiliary	Sponsored	Campus	Totals	
	Fund	and Bond Fund	Designated Fund	Activities Fund	Programs Fund	Programs Fund	2013	2012
<b>REVENUES AND OTHER SUPPORT</b>								
Agriculture and enterprise sales	\$ -	\$ -	\$ -	\$ 1,808,516	\$ -	\$ -	\$ 1,808,516	\$ 1,822,630
Sponsored program fees	2,988,836	-	-	-	-	-	2,988,836	2,937,640
Sponsored program receipts	-	-	-	-	28,940,938	-	28,940,938	29,317,452
Agriculture Research Initiative	-	-	-	-	635,269	-	635,269	562,813
University program receipts	-	-	-	-	-	5,310,918	5,310,918	4,706,580
Interest and investment income	111,564	-	56	-	153,748	6,327	271,695	74,038
Other income	883,948	338,168	12,214	132,244	112,444	68,123	1,547,141	2,164,610
<b>Total Revenue and Other Support</b>	<b>3,984,348</b>	<b>338,168</b>	<b>12,270</b>	<b>1,940,760</b>	<b>29,842,399</b>	<b>5,385,368</b>	<b>41,503,313</b>	<b>41,585,763</b>
<b>EXPENSES</b>								
Auxiliary activities' cost of sales	-	-	-	328,368	-	-	328,368	199,680
Auxiliary activities' expense	-	-	-	1,956,207	-	-	1,956,207	1,761,905
Sponsored programs' disbursements	-	-	-	-	28,488,415	-	28,488,415	28,125,734
University programs' expenses	-	-	-	-	-	4,727,765	4,727,765	4,461,278
Scholarships and research grants	-	-	-	-	759,927	-	759,927	643,679
Foundation administration	2,704,453	-	-	-	-	-	2,704,453	2,882,733
Faculty and grant development	-	-	1,136,689	-	-	-	1,136,689	1,430,766
Interest expense	-	188,246	-	-	-	-	188,246	192,781
Other expense	-	715,881	-	181	-	-	716,062	572,597
<b>Total Expenses</b>	<b>2,704,453</b>	<b>904,127</b>	<b>1,136,689</b>	<b>2,284,756</b>	<b>29,248,342</b>	<b>4,727,765</b>	<b>41,006,132</b>	<b>40,271,153</b>
<b>Change in Net Position Before Transfers</b>	<b>1,279,895</b>	<b>(565,959)</b>	<b>(1,124,419)</b>	<b>(343,996)</b>	<b>594,057</b>	<b>657,603</b>	<b>497,181</b>	<b>1,314,610</b>
<b>TRANSFERS</b>								
Board of directors' allocations	(483,422)	-	483,422	-	-	-	-	-
Administration fee transfers	11,529	-	-	-	(11,529)	-	-	-
Other fund transfers	72,758	60,000	368,592	4,104	(411,302)	(94,152)	-	-
Transfer from other auxiliaries	-	-	(9,274)	460,704	-	(9,815)	441,615	598,489
Plant fund transfers:								
Purchased assets - net	22,947	(22,947)	-	-	-	-	-	-
Depreciation	283,095	(283,095)	-	-	-	-	-	-
CSU, Chico	-	-	19,348	-	(1,474)	(22,742)	(4,868)	(572,637)
<b>Net Transfers</b>	<b>(93,093)</b>	<b>(246,042)</b>	<b>862,088</b>	<b>464,808</b>	<b>(424,305)</b>	<b>(126,709)</b>	<b>436,747</b>	<b>25,852</b>
<b>Increase (Decrease) in Net Position</b>	<b>1,186,802</b>	<b>(812,001)</b>	<b>(262,331)</b>	<b>120,812</b>	<b>169,752</b>	<b>530,894</b>	<b>933,928</b>	<b>1,340,462</b>
<b>Net Position - Beginning of Year - as Previously Reported</b>	<b>258,592</b>	<b>9,878,518</b>	<b>2,472,554</b>	<b>2,517,154</b>	<b>1,828,719</b>	<b>8,714,725</b>	<b>25,670,262</b>	<b>23,535,172</b>
<b>Recognize Receipt of Capital Gift</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>794,628</b>
<b>Net Position - Beginning of Year - as Restated</b>	<b>258,592</b>	<b>9,878,518</b>	<b>2,472,554</b>	<b>2,517,154</b>	<b>1,828,719</b>	<b>8,714,725</b>	<b>25,670,262</b>	<b>24,329,800</b>
<b>Net Position - End of Year</b>	<b>\$ 1,445,394</b>	<b>\$ 9,066,517</b>	<b>\$ 2,210,223</b>	<b>\$ 2,637,966</b>	<b>\$ 1,998,471</b>	<b>\$ 9,245,619</b>	<b>\$ 26,604,190</b>	<b>\$ 25,670,262</b>

See notes to the supplementary information.

**SCHEDULES OF ACTIVITY  
OF THE GENERAL FUND**

*The CSU, Chico  
Research Foundation*

Years Ended June 30	2013	2012
<b>REVENUES</b>		
Sponsored programs' administration fees	\$ 2,988,836	\$ 2,937,640
Interest and investment income	111,564	45,359
Other income	883,948	756,441
Auxiliary activities' fund reimbursements	455,077	444,858
<b>Total Revenues</b>	<b>4,439,425</b>	<b>4,184,298</b>
<b>EXPENSES</b>		
Salaries and related costs	1,825,659	1,802,852
Professional services	221,626	257,573
Insurance	35,194	108,525
Office and operating supplies	53,297	43,989
Depreciation	286,625	312,142
Administrative services	387,883	415,305
Grant cost disallowance	10,239	84,282
Other operating expenses	339,007	302,923
<b>Total Expenses</b>	<b>3,159,530</b>	<b>3,327,591</b>
<b>Excess Revenues Over Expenses From Operations</b>	<b>1,279,895</b>	<b>856,707</b>
Administrative fees	11,529	10,588
<b>Excess Revenues Over Expenses</b>	<b>\$ 1,291,424</b>	<b>\$ 867,295</b>

*See notes to the supplementary information.*

**SCHEDULES OF ACTIVITY OF THE  
AUXILIARY ACTIVITIES FUND**

*The CSU, Chico  
Research Foundation*

Years Ended June 30	University		Totals	
	Farm	Enterprise	2013	2012
<b>SALES</b>				
Livestock and dairy	\$ 611,832	\$ -	\$ 611,832	\$ 587,889
Crop and orchard	817,947	-	817,947	749,179
Horticulture	-	-	-	21,113
Enterprise receipts	-	378,737	378,737	464,449
<b>Total Sales</b>	<b>1,429,779</b>	<b>378,737</b>	<b>1,808,516</b>	<b>1,822,630</b>
<b>COST OF SALES</b>				
Livestock and dairy	328,368	-	328,368	199,680
<b>Gross Profit</b>	<b>1,101,411</b>	<b>378,737</b>	<b>1,480,148</b>	<b>1,622,950</b>
<b>OPERATING EXPENSES</b>				
Salaries and employee benefits	169,210	349,859	519,069	543,238
Accounting and professional costs	162,022	143,902	305,924	332,200
Utilities and telephone	366	22,494	22,860	20,673
Travel and meetings	11,663	23,061	34,724	31,127
Operating supplies and customer telephone	323,800	10,233	334,033	253,273
Depreciation	152,867	21,955	174,822	166,534
Insurance	-	1,570	1,570	1,570
Student payments	22,500	-	22,500	22,500
Veterinary costs	26,681	-	26,681	26,485
Machine hire	1,182	-	1,182	14,128
Repair and maintenance	173,371	8,745	182,116	127,332
Rent and leasing	25,565	1,553	27,118	8,788
Registration fees	1,641	-	1,641	4,443
Postage and printing	-	5,915	5,915	5,812
Other operating expenses	16,659	279,393	296,052	203,802
<b>Total Operating Expenses</b>	<b>1,087,527</b>	<b>868,680</b>	<b>1,956,207</b>	<b>1,761,905</b>
<b>Income (Loss) From Operations</b>	<b>13,884</b>	<b>(489,943)</b>	<b>(476,059)</b>	<b>(138,955)</b>
<b>OTHER INCOME (EXPENSE)</b>				
Other income	130,729	-	130,729	110,017
Interest income	1,515	-	1,515	1,589
Interest expense	(181)	-	(181)	(1,546)
Other expense	-	-	-	(1,831)
<b>Total Other Income</b>	<b>132,063</b>	<b>-</b>	<b>132,063</b>	<b>108,229</b>
<b>TRANSFERS IN (OUT)</b>				
In	6,149	1,995	8,144	18,882
Out	(4,040)	-	(4,040)	(205,696)
From other auxiliaries	120,704	340,000	460,704	391,583
<b>Net Transfers</b>	<b>122,813</b>	<b>341,995</b>	<b>464,808</b>	<b>204,769</b>
<b>Net Income (Loss), Including Administration Fees Paid to the General Fund</b>	<b>\$ 268,760</b>	<b>\$ (147,948)</b>	<b>\$ 120,812</b>	<b>\$ 174,043</b>

See notes to the supplementary information.

**SCHEDULES OF SOURCE OF FUNDING AND  
EXPENDITURES FOR GRANTS AND CONTRACTS  
(SPONSORED PROGRAMS FUND)**

*The CSU, Chico  
Research Foundation*

Years Ended June 30	<b>2013</b>	<b>2012</b>
<b>SOURCE OF DIRECT FUNDING</b>		
Federal government	\$ 19,780,952	\$ 18,752,595
State of California	2,993,289	3,701,082
Local governments	1,114,150	1,598,134
Nongovernmental funds	1,990,097	2,331,059
Agricultural research initiative	625,269	562,813
Noncash contributions	154,777	92,200
<b>Total Direct Sources and Transfers</b>	<b>26,658,534</b>	<b>27,037,883</b>
<b>SOURCE OF INDIRECT COST REIMBURSEMENTS</b>		
Federal government	2,097,482	2,009,870
State of California	341,574	345,194
Local governments	227,391	254,509
Nongovernmental funds	322,389	328,067
<b>Total Indirect Cost Reimbursements</b>	<b>2,988,836</b>	<b>2,937,640</b>
<b>Total</b>	<b>\$ 29,647,370</b>	<b>\$ 29,975,523</b>
<b>DIRECT EXPENDITURES</b>		
Personnel services:		
Salaries and wages	\$ 9,809,343	\$ 9,434,643
Fringe benefits	3,512,349	3,164,991
Operating expenses	11,470,929	12,608,881
Equipment	693,474	850,681
Student support	1,172,439	978,687
<b>Total Direct Expenditures</b>	<b>26,658,534</b>	<b>27,037,883</b>
<b>INDIRECT EXPENDITURES</b>		
Personnel services:		
Salaries, wages, and fringe benefits	969,880	939,720
Administrative expenses	831,694	850,119
Development	67,322	65,259
Program allocation	1,089,754	983,095
Other	30,186	99,447
<b>Total Indirect Expenditures</b>	<b>2,988,836</b>	<b>2,937,640</b>
<b>Total</b>	<b>\$ 29,647,370</b>	<b>\$ 29,975,523</b>

*See notes to the supplementary information.*

**SCHEDULE OF EXPENDITURES  
OF FEDERAL AWARDS**

June 30, 2013

*The CSU, Chico  
Research Foundation*

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Catalog Number	Project Number	Project Title	Firm Code	Award/Contract Number	Program or Award Amounts	Accrued (Deferred) Revenue 7/1/2012	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2013
<b>Department of Agriculture</b>									
<b>Direct Awards - Other</b>									
10.310	60518	LOCAL CULTURAL FOODS-RESEARCH	03	2011-69001-30080	\$ 31,496.63	\$ 2,419.60	\$ 4,209.08	\$ 1,789.49	\$ 0.01
10.310	60519	LOCAL CULTURAL FOODS-EXTENSION	03	2011-69001-30080	58,008.91	22,007.10	24,955.45	2,948.35	-
10.310	60520	LOCAL CULTURAL FOODS-EDUCATION	03	2011-69001-30080	60,464.46	21,881.91	37,680.22	15,961.67	163.36
10.652	60492	FIRE SEVERITY/FUEL PROP RESEARC	03	10-CR-1121637-099	9,999.67	1,421.97	1,421.97	-	-
10.6XX	60482	PLUMAS NAT'L FOREST NVUM 09/10	03	10-PA-11051150-008	74,695.32	-	-	-	-
10.6XX	60489	PALMS TO PINES	03	10-PA-11072100-021	115,404.30	30,304.71	33,681.87	12,889.51	9,512.35
10.6XX	60537	KLAMATH NTL FOREST DATA	03	11-CS-11050500-045	17,866.00	6,387.25	10,000.00	3,612.75	-
10.6XX	60561	TRIP CAR-LESS CA PHASE I	03	12-CS-11052009-034	70,950.00	10,088.16	37,423.94	49,080.43	21,744.65
10.6XX	60599	PLUMAS NAT'L FOREST DATA PROCS	03	13-CS-11051100-009	8,000.00	-	-	338.30	338.30
10.783	60546	RBEG ECONOMIC GARDENING 11/12	03	none	40,000.00	1,837.77	28,743.13	31,111.84	4,206.48
10.783	60548	GREEN JOBS IN INNOVATION/MFTG	03	none	47,958.76	7,727.99	10,468.38	2,740.39	-
<b>Sub-Total Other Direct Awards</b>					<b>534,844.05</b>	<b>104,076.46</b>	<b>188,584.04</b>	<b>120,472.73</b>	<b>35,965.15</b>
<b>Direct Awards - Research and Development</b>									
10.6XX	60413	PHACELIA COOKEI SHASTA TRINITY	02	07-CR-11051400-062	9,941.71	598.66	5,717.75	5,119.09	-
<b>Sub-Total R &amp; D Direct Awards</b>					<b>9,941.71</b>	<b>598.66</b>	<b>5,717.75</b>	<b>5,119.09</b>	<b>-</b>
<b>Pass Through Awards</b>									
CA Department of Food & Agriculture									
10.170	64234	COMINTY NUTRI HEALTH NETWRK Y1	03	SCB11048	356,904.00	66,123.44	194,805.00	210,809.83	82,128.27
<b>Sub-Total Other Pass Through Awards, CA Department of Food &amp; Agriculture</b>					<b>356,904.00</b>	<b>66,123.44</b>	<b>194,805.00</b>	<b>210,809.83</b>	<b>82,128.27</b>
CSU Fresno Foundation									
10.200	64133	MILK NUTRIENTS STUDY 10/11	02	SC350054-10-01	98,842.00	4,145.13	34,951.76	35,136.70	4,330.07
<b>Sub-Total R&amp;D Cluster, Pass Through Awards, CSU, Fresno Foundation</b>					<b>98,842.00</b>	<b>4,145.13</b>	<b>34,951.76</b>	<b>35,136.70</b>	<b>4,330.07</b>
University of Vermont									
10.307	64153	EORGANIC ONLINE CLASSES Y1	02	24685 50971	211,089.00	7,455.71	76,684.60	77,050.26	7,821.37
<b>Sub-Total R&amp;D Cluster, Pass Through Awards, University of Vermont</b>					<b>211,089.00</b>	<b>7,455.71</b>	<b>76,684.60</b>	<b>77,050.26</b>	<b>7,821.37</b>
CA Dept. of Education									
10.559	64240	SUMMER FOODS PROGRAM 2012	05	none	31,084.02	-	31,084.02	31,084.02	-
10.559	64099	SUMMER FOOD PROGRAM 2010	03	none	31,843.42	-	-	-	-
<b>Sub-Total Child Nutr. Cluster, Pass Through Awards, CA Dept. of Ed Nutr. Services</b>					<b>62,927.44</b>	<b>-</b>	<b>31,084.02</b>	<b>31,084.02</b>	<b>-</b>
CA Dept. of Health Services									
10.561	64045	SCNAC Y3 08/10	03	07-65309	2,197,059.51	-	-	-	-
10.561	64126	SCNAC Y1 10/11	03	10-10044	2,324,859.76	(65.96)	-	-	(65.96)
10.561	64287	SCNAC Y3 12/13	03	10-10044	2,571,527.00	-	-	1,938,473.07	1,938,473.07
<b>Sub-Total SNAP Cluster, Pass Through Awards, CA Dept. of Health Services</b>					<b>7,093,446.27</b>	<b>(65.96)</b>	<b>-</b>	<b>1,938,473.07</b>	<b>1,938,407.11</b>
CA Department of Public Health									
10.561	64062	FOOD STAMP OUTREACH	03	09-11265	121,105.89	-	-	-	-
10.561	64129	FOOD STAMP OUTREACH 10/11	03	10-10093	327,997.61	-	-	-	-
10.561	64205	FOOD STAMP OUTREACH Y2	03	10-10093	521,299.00	178,618.31	352,640.35	184,652.43	10,630.39
10.561	64208	SCNAC Y2 11/12	03	10-10044	2,449,072.00	1,061,845.33	1,792,511.41	741,121.91	10,455.83
10.561	64220	LFNE GET COOKING Y1 11/12	03	11-10155	85,000.00	40,861.83	79,839.96	38,853.06	(125.07)
10.561	64286	CALFRESH OUTREACH 12/13	03	12-10124	831,245.00	-	124,380.56	396,162.14	271,781.58
10.561	64288	LFNE GET COOKING Y2 12/13	03	11-10155	85,000.00	-	-	73,461.63	73,461.63
<b>Sub-Total SNAP Cluster, Pass Through Awards, CA Dept. of Public Health</b>					<b>4,420,719.50</b>	<b>1,281,325.47</b>	<b>2,349,372.28</b>	<b>1,434,251.17</b>	<b>366,204.36</b>
CA Health Collaborative Fdn									
10.561	64221	PHYSICAL ACTIV SPEC 11/12	03	none	54,991.61	7,407.56	29,585.43	22,177.87	-
10.561	64297	PHYSICAL ACTIV SPEC 12/13	03	none	59,078.00	-	16,557.65	42,235.76	25,678.11
<b>Sub-Total SNAP Cluster, Pass Through Awards, CA Health Collaborative Fdn</b>					<b>114,069.61</b>	<b>7,407.56</b>	<b>46,143.08</b>	<b>64,413.63</b>	<b>25,678.11</b>
Colusa County Dept. of Health & Human Services									
10.561	64265	COLUSA CO CALFRESH NUTRI ED	03	C12-098	77,394.00	4,136.37	37,708.00	33,520.62	(51.01)
10.561	64301	COLUSA CALFRESH NUTRI ED Y2	03	C12-238	177,895.00	-	49,433.00	82,825.30	33,392.30
<b>Sub-Total SNAP Cluster, Pass Through Awards, Colusa County Dept. of Health &amp; Human Serv.</b>					<b>255,279.00</b>	<b>4,136.37</b>	<b>87,141.00</b>	<b>116,345.92</b>	<b>33,341.29</b>
Tehama County Dept. of Social Services									
10.561	64271	TEHAMA COUNTY NUTRI ED	03	084PA 12	11,024.00	1,624.55	11,024.00	9,399.45	-
10.561	64302	TEHAMA CO NUTRI ED Y2	03	208PA 12	18,489.00	-	10,933.00	18,603.53	7,670.53
<b>Sub-Total SNAP Cluster, Pass Through Awards, Tehama County Dept. of Social Services</b>					<b>29,513.00</b>	<b>1,624.55</b>	<b>21,957.00</b>	<b>28,002.98</b>	<b>7,670.53</b>
Glenn County Human Resources Agency									
10.561	64276	GLENN CO CALFRESH NUTRI ED	03	none	225,211.00	4,276.06	80,094.00	90,327.86	14,509.92
<b>Sub-Total SNAP Cluster, Pass Through Awards, Glenn County Human Resources Agency</b>					<b>225,211.00</b>	<b>4,276.06</b>	<b>80,094.00</b>	<b>90,327.86</b>	<b>14,509.92</b>
Lassen County Health and Social Services Department									
10.561	64315	LASSEN CO NUTRITION ED	03	SP 13-167	52,389.00	-	-	16,891.37	16,891.37
<b>Sub-Total SNAP Cluster, Pass Through Awards, Lassen Co. Health and Social Svs. Dept.</b>					<b>52,389.00</b>	<b>-</b>	<b>-</b>	<b>16,891.37</b>	<b>16,891.37</b>
CA Assoc Resource Conservation & Development Council									
10.773	64183	RBQG RURAL PROSPERITY	03	none	40,000.00	2,900.53	10,604.13	14,555.01	6,851.41
<b>Sub-Total Other Pass Through Awards, CA Assoc Resource Cons &amp; Dvlp. Council</b>					<b>40,000.00</b>	<b>2,900.53</b>	<b>10,604.13</b>	<b>14,555.01</b>	<b>6,851.41</b>
Public Health Institute									
10.XXX	64295	NOURISH EVALUATION	03	1018199	49,999.52	-	49,987.83	49,999.58	11.75
10.XXX	64311	NOURISH EVALUATION 12/13	03	1018565	49,982.00	-	7,829.94	15,450.34	7,620.40
<b>Sub-Total Other Pass Through Awards, Public Health Institute</b>					<b>99,981.52</b>	<b>-</b>	<b>57,817.77</b>	<b>65,449.92</b>	<b>7,632.15</b>



**SCHEDULE OF EXPENDITURES  
OF FEDERAL AWARDS**

June 30, 2013

*The CSU, Chico  
Research Foundation*

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Catalog Number	Project Number	Project Title	Firm Code	Award/ Contract Number	Program or Award Amounts	Accrued (Deferred) Revenue 7/1/2012	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2013
<b>Total Other Direct Awards</b>					534,844.05	104,076.46	188,584.04	120,472.73	35,965.15
<b>Total Research &amp; Development Direct Awards</b>					9,941.71	598.66	5,717.75	5,119.09	-
<b>Total Research &amp; Development Pass Through Awards</b>					309,931.00	11,600.84	111,636.36	112,186.96	12,151.44
<b>Total Child Nutrition Cluster Pass Through Awards</b>					62,927.44	-	31,084.02	31,084.02	-
<b>Total SNAP Cluster Pass Through Awards</b>					12,138,239.38	1,298,704.05	2,584,707.36	3,688,706.00	2,402,702.69
<b>Total Other Pass Through Awards</b>					496,885.52	69,023.97	263,226.90	290,814.76	96,611.83
<b>Total Department of Agriculture</b>					13,552,768.10	1,484,003.98	3,184,956.43	4,248,383.56	2,547,431.11
<b>Department of Commerce</b>									
<b>Direct Awards</b>									
11.303	60539	EDA ADMINISTRATION Y3 11/12	03	07-66-06411-02	135,000.00	85,859.36	85,638.59	(220.77)	-
11.303	60547	ECON SUPRT TO NATIVE AMERICAN	03	07-06-06782	200,000.00	4,985.26	4,975.24	113,412.24	113,422.26
11.303	60583	EDA UNIVERSITY CENTER 2012	03	07-66-06881	110,000.00	-	-	111,737.43	111,737.43
11.303	60585	EDA FRESNO UNIV CNTR 2012/13	03	07-66-06881	110,000.00	-	-	73,685.96	73,685.96
<b>Sub-Total Other Direct Awards</b>					555,000.00	90,844.62	90,613.83	298,814.86	298,845.65
<b>Pass Through Awards</b>									
CA Public Utilities Comm									
11.558	64109	BROADBAND MAP/OUTREACH CPUC	03	09IA5851	900,000.00	81,376.97	193,875.00	94,946.37	(17,551.66)
11.558	64110	BROADBAND MAP/OUTREACH CPUC	03	09IA5851	1,512,240.00	24,650.66	709,450.00	354,188.75	(330,610.59)
<b>Sub-Total Other Pass Through Awards, Ca Public Utilities Comm</b>					2,412,240.00	106,027.63	903,325.00	449,135.12	(348,162.25)
<b>Total Other Direct Awards</b>					555,000.00	90,844.62	90,613.83	298,814.86	298,845.65
<b>Total Other Pass Through Awards</b>					2,412,240.00	106,027.63	903,325.00	449,135.12	(348,162.25)
<b>Total Department of Commerce</b>					2,967,240.00	196,872.25	993,938.83	747,749.98	(49,316.60)
<b>Department of Defense</b>									
<b>Direct Awards - Research and Development</b>									
12.300	60487	MULTI PARTY/RATE HISPEED 09/10	02	N00014-10-10435	221,221.00	0.01	-	(0.01)	-
12.300	60522	SIMULATION SYSTEM PART 2	02	N00014-11-1-0489	194,232.00	43,090.28	83,491.97	40,401.69	-
12.300	60534	ADV SIM TECHNIQUES FPGA	02	N00014-11-1-0902	100,534.00	4,973.36	22,828.20	25,877.29	8,022.45
12.300	60555	TECH TRANSFER TO NSWC	02	N00014-12-1-Q376	199,863.00	4,753.12	130,038.92	156,821.91	31,536.11
<b>Sub-Total R &amp; D Direct Awards</b>					715,850.00	52,816.77	236,359.09	223,100.88	39,558.56
<b>Pass Through Awards</b>									
University of South Carolina									
12.300	64070	SIM MTHDS NETWKD PWR ELEC Y1	02	10-1793	360,970.00	25,330.97	98,331.18	87,898.29	14,898.08
<b>Sub-Total R &amp; D Pass Through Awards, University of South Carolina</b>					360,970.00	25,330.97	98,331.18	87,898.29	14,898.08
H.T. Harvey & Associates									
12.CNT#	64262	BEALE AFB POND TURTLE 2012	03	3262-02	9,390.42	1,807.64	9,390.42	7,582.78	-
<b>Sub-Total Other Pass Through Awards, H.T. Harvey &amp; Associates</b>					9,390.42	1,807.64	9,390.42	7,582.78	-
<b>Total Research &amp; Development Direct Awards</b>					715,850.00	52,816.77	236,359.09	223,100.88	39,558.56
<b>Total Research &amp; Development Pass Through Awards</b>					360,970.00	25,330.97	98,331.18	87,898.29	14,898.08
<b>Total Other Pass Through Awards</b>					9,390.42	1,807.64	9,390.42	7,582.78	-
<b>Total Department of Defense</b>					1,086,210.42	79,955.38	344,080.69	318,581.95	54,456.64
<b>Department of Interior</b>									
<b>Direct Awards - Other</b>									
15.224	60500	BLM-NEIC DATA SHARING	03	L10AC20264	4,500.00	-	-	10.81	10.81
15.225	60559	BLM CESU INTERNSHIP - HOFFMAN	01	L12AC20008, Amendment 1	12,500.00	5,993.10	9,284.72	3,291.62	-
15.225	60560	BLM CESU INTERNSHIP - WILSON	01	L12AC20008, Amendment 2	33,500.00	5,850.61	22,291.03	20,897.58	4,457.16
15.225	60565	BLM CESU INTERNSHIP - AZEVEDO	01	L12AC20008 AM3	5,000.00	4,389.17	4,427.23	38.06	-
15.225	60566	BLM CESU NLCS WORKSHOP	01	L12AC20008 AM4	17,996.00	13,642.98	17,478.48	3,835.50	-
15.225	60571	BLM CESU YOUTH SUMMITS	01	L12AC20008 AM5	15,455.00	-	15,315.99	15,315.99	-
15.225	60588	BLM CESU OLD SPANISH TRAIL	01	L12AC20008 AM6	16,219.00	-	1,537.42	8,127.33	6,589.91
15.512	60506	IRRIIG TRG FACILITY 10/15	03	R10AP20034	500,000.00	19,007.72	71,572.22	70,487.13	17,922.63
15.608	60569	SAN JOAQUIN SALMON RESTORATION	03	F12AP00453	35,000.00	-	10,492.05	22,486.08	11,994.03
15.631	60480	HABITAT RESTORATION BCEP 09-14	03	814209J525	18,000.00	3,536.14	3,536.14	26.34	26.34
15.648	60422	IRON CANYON FISH LADDER	03	813327G007	222,068.00	10,596.74	-	29,311.70	39,908.44
15.945	60542	CHIS NP MARINE HABITAT MAP	03	J8C07110015	299,950.00	28,495.67	113,846.91	168,468.52	83,117.28
15.945	60563	ALCA TRAZ FLD SCHL 2 NPS-CESU	03	J8C07110005 Modification 1	21,924.00	21,000.00	21,000.00	-	-
15.945	60582	NPS CESU TRANSPORTATION	03	P12AC15065	20,000.00	-	5,685.84	10,634.88	4,949.04
15.945	60602	ALCA TRAZ FLD SCHL 3 NPS-CESU	03	P11AC91159 Modification 3	24,859.00	-	-	21,000.00	21,000.00
15.945	60524	ALCA TRAZ CIM FLD SCHL NPS-CESU	01	J8C07110005	20,520.00	-	-	-	-
15.945	60530	RED BARN CIM FLD SCHL NPS-CESU	01	J8C07110006	70,983.73	-	-	-	-
15.DAV	60510	CESU JUBA TOOLKIT/NPS	03	J8C07100020	20,000.00	-	-	1,121.42	1,121.42
<b>Sub-Total Other Direct Awards</b>					1,358,474.73	112,512.13	296,468.03	375,052.96	191,097.06
<b>Direct Awards - Research and Development</b>									
15.945	60584	FWS CESU BANS INVENTORY Q/C	02	F12AC01542	35,042.74	-	15,357.27	24,453.06	9,095.79
<b>Sub-Total Research &amp; Development Direct Awards</b>					35,042.74	-	15,357.27	24,453.06	9,095.79
<b>Pass Through Awards</b>									
Streamminder									
15.631	64157	ADDIT FUND BIDWELL/STRMINDR/FWS	03	SP-11-216	11,301.30	-	-	-	-
<b>Sub-Total Other Pass Through Awards, Streamminders</b>					11,301.30	-	-	-	-
CA Department of Park and Recreation									
15.904	64215	OHP ANNUAL GRANT 2011-2012	03	C8953532	9,600.00	10,031.85	9,600.00	(431.85)	-
15.904	64300	OHRP ANNUAL GRANT 12/13	03	C8954511	9,000.00	-	-	9,000.00	9,000.00
<b>Sub-Total Other Pass Through Awards, CA Dept. of Park and Rec</b>					18,600.00	10,031.85	9,600.00	8,568.15	9,000.00
<b>Total Other Direct Awards</b>					1,358,474.73	112,512.13	296,468.03	375,052.96	191,097.06
<b>Total Research &amp; Development Direct Awards</b>					35,042.74	-	15,357.27	24,453.06	9,095.79
<b>Total Other Pass Through Awards</b>					29,901.30	10,031.85	9,600.00	8,568.15	9,000.00
<b>Total Department of Interior</b>					1,423,418.77	122,543.98	321,425.30	408,074.17	209,192.85

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Catalog Number	Project Number	Project Title	Firm Code	Award/ Contract Number	Program or Award Amounts	Accrued (Deferred) Revenue 7/1/2012	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2013
<b>Department of Justice</b>									
<b>Direct Awards</b>									
16.525	60453	VIOLENCE AGAINST WOMEN 08-11	05	2008-WA-AX-0013	432,971.46	-	-	-	-
<b>Sub-Total Other Direct Awards</b>					432,971.46	-	-	-	-
<b>Total Department of Justice</b>					432,971.46	-	-	-	-
<b>U.S. Dept. of Labor</b>									
<b>Pass Through Awards</b>									
Alliance for Workforce Development									
17.258	64149	SUSTAINABLE MANUFACTURING Y1	03	11-B-308-06	200,000.00	-	-	-	-
<b>Sub-Total WIA Cluster, Pass Through Awards, Alliance for Workforce Dvlp.</b>					200,000.00	-	-	-	-
CA Employment Development Dept.									
17.259	64150	CHICO RURL TEACHR PATHWY	03	K182088	500,000.00	79,218.92	333,143.42	253,924.50	-
<b>Sub-Total WIA Cluster, Pass Through Awards, CA Employment Dvlp. Dept.</b>					500,000.00	79,218.92	333,143.42	253,924.50	-
North Central Counties Consortium									
17.259	64214	SUMMER WORK EXPER 1112/NCCC	05	11-23	9,640.00	-	-	-	-
17.259	64284	SUMMER WORK EXPER 12/13	05	12-23	9,378.00	-	-	9,378.64	9,378.64
<b>Sub-Total WIA Cluster, Pass Through, North Central Counties Consortium</b>					19,016.00	-	-	9,378.64	9,378.64
Private Industry Council									
17.260	63988	NURSE REENTRY ARRA 09/10	01	none	326,778.66	(0.35)	-	0.35	-
17.260	64064	PIC LVN TO BSN	01	none	91,774.04	(2,758.07)	-	2,758.07	-
17.260	63979	GEOSPATIAL WORKFORCE TRAINING	03	none	318,783.06	0.01	-	(0.01)	-
17.260	64025	ZERO ENERGY HOME PJCT Y1 09/10	03	none	118,693.31	-	-	-	-
<b>Sub-Total WIA Cluster, Pass Through Awards, Private Industry Council</b>					856,029.07	(2,758.41)	-	2,758.41	-
Private Industry Council									
17.260	64050	REAP WEATHER MEASUREMENT	02	none	67,964.94	-	-	-	-
<b>Sub-Total R&amp;D and WIA Cluster, Pass Through Awards, Private Industry Council</b>					67,964.94	-	-	-	-
<b>Total WIA Cluster Pass Through Awards</b>					1,575,045.07	76,460.51	333,143.42	266,061.55	9,378.64
<b>Total R&amp;D/WIA Cluster Pass Through Awards</b>					67,964.94	-	-	-	-
<b>Total Department of Labor</b>					1,643,010.01	76,460.51	333,143.42	266,061.55	9,378.64
<b>Department of State Bureau of Educational and Cultural Affairs</b>									
<b>Direct Awards - Other</b>									
19.401	60521	US INST FOR 2NDRY EDUC/S TATE	03	S-ECAAE-11-CA-049(DT)	308,117.15	6,239.61	7,797.28	1,567.67	-
19.401	60557	US INST 2NDRY EDUC 2012/DOS	03	S-ECAAE-12-CA-045(DT)	359,933.00	226,583.70	324,213.45	99,908.11	2,178.36
19.401	60596	US INST 2NDRY EDUC 2013/DOS	03	S-ECAAGD-13-CA-044(JM)	290,887.00	-	-	152,053.75	152,053.75
<b>Sub-Total Other Direct Awards</b>					958,937.15	232,823.31	332,010.73	253,419.53	154,232.11
<b>Pass Through Awards</b>									
Int'l Research & Exchanges Board									
19.408	64219	TEA PROGRAM SPRING 2012	03	FY11-TEA-CSU-Chico-01	188,516.58	86,173.51	86,173.51	-	-
19.408	64275	TEA PROGRAM FALL 2012	03	FY12-TEA-CSU-Chico-01	196,772.48	-	196,772.48	196,772.48	-
19.408	64304	TEA PROGRAM SPRING 2013	03	FY12-TEA-CSU-Chico-02	178,230.00	-	126,313.57	183,188.88	56,875.31
19.408	64323	TEA PROGRAM FALL 2013	03	FY13-TEA-CSU-01	172,949.00	-	-	-	-
<b>Sub-Total Other Pass Through Awards, Int'l Research &amp; Exchanges Board</b>					736,468.06	86,173.51	409,259.56	379,961.36	56,875.31
<b>Total Other Direct Awards</b>					958,937.15	232,823.31	332,010.73	253,419.53	154,232.11
<b>Total Other Pass Through Awards</b>					736,468.06	86,173.51	409,259.56	379,961.36	56,875.31
<b>Total Department of State Bureau of Educational and Cultural Affairs</b>					1,695,405.21	318,996.82	741,270.29	633,380.89	211,107.42
<b>Department of Transportation</b>									
<b>Direct Awards - Other</b>									
20.205	60447	YUBA DONNER SCENIC BYWAY 08/09	03	08-CR-11072100-104	116,874.22	-	12,214.74	12,214.74	-
<b>Sub-Total Highway Planning and Const. Cluster, Direct Awards</b>					116,874.22	-	12,214.74	12,214.74	-
<b>Pass Through Awards</b>									
University of Alaska									
20.701	64134	ALASKA PVMT PRESERVATION GUIDE	03	PO FP12209 & UAF 110028	81,774.75	2,484.47	11,298.59	8,814.12	-
<b>Sub-Total Other Pass Through Awards, University of Alaska</b>					81,774.75	2,484.47	11,298.59	8,814.12	-
<b>Total Highway Planning and Construction Cluster Direct Awards</b>					116,874.22	-	12,214.74	12,214.74	-
<b>Total Other Pass Through Awards</b>					81,774.75	2,484.47	11,298.59	8,814.12	-
<b>Total Department of Transportation</b>					198,648.97	2,484.47	23,513.33	21,028.86	-
<b>National Aeronautics and Space Administration</b>									
<b>Pass Through Awards</b>									
NASA - Jet Propulsion Labs									
43.CNT#	64213	CAPSTONE DESIGN STUDENT PROJ	01	1445015	3,868.58	903.41	1,403.41	500.00	-
<b>Sub-Total Other Pass Through Awards, NASA - Jet Propulsion Labs</b>					3,868.58	903.41	1,403.41	500.00	-
<b>Total National Aeronautics and Space Administration</b>					3,868.58	903.41	1,403.41	500.00	-
<b>National Endowment for the Arts</b>									
<b>Direct Awards - Research and Development</b>									
45.160	60496	CA INDIAN SLAVERY	02	none	50,400.00	760.34	-	(760.34)	-
<b>Sub-Total Research and Development Direct Awards</b>					50,400.00	760.34	-	(760.34)	-
<b>Pass Through Awards</b>									
WESTAF (Western St Arts Fed)									
45.025	64285	BALLET FOLKLORICO DE MEXICO	03	TW20120030	2,000.00	-	2,000.00	2,000.00	-
<b>Sub-Total Other Pass Through Awards, WESTAF</b>					2,000.00	-	2,000.00	2,000.00	-

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Catalog Number	Project Number	Project Title	Firm Code	Award/Contract Number	Program or Award Amounts	Accrued (Deferred) Revenue 7/1/2012	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2013
45.129	64308	Cal Humanities WATER STORIES OF NORTHSTATE	03	COS12-357	9,999.00	-	8,999.10	8,478.95	(520.15)
		<b>Sub-Total Other Pass Through Awards, Cal Humanities</b>			9,999.00	-	8,999.10	8,478.95	(520.15)
		<b>Total Research and Development Direct Awards</b>			50,400.00	760.34	-	(760.34)	-
		<b>Total Other Pass Through Awards</b>			11,999.00	-	10,999.10	10,478.95	(520.15)
		<b>Total National Endowment for the Arts</b>			62,399.00	760.34	10,999.10	9,718.61	(520.15)
<b>National Science Foundation</b>									
<b>Direct Awards - Other</b>									
47.041	60581	NSF I-CORPS 12/H3	03	IIP-1261836	50,000.00	-	41,328.57	49,074.72	7,746.15
47.075	60509	NSF ADV RSRCH VSUAL ANTHROPLG	03	BCS-1040483	296,646.00	7,492.60	23,064.49	38,769.48	23,197.59
47.076	60417	CEET SCHOLARSHIPS PJCT 07-12	08	DUE-0726313	598,642.00	-	21,966.20	21,966.20	-
47.076	60412	NOYCE SCHOLARSHIPS Y1 07/08	03	DUE-0733758	917,065.00	100,618.65	128,750.31	28,131.66	-
47.076	60540	NSF TEMS II PROGRAM 11/16	01	DUE-1163431	749,600.00	2,547.23	151,136.68	148,248.26	(241.19)
		<b>Sub-Total Other Direct Awards</b>			2,601,953.00	110,658.48	366,246.25	286,290.32	30,702.55
<b>Direct Awards - Research and Development</b>									
47.050	60515	RAPID REAL SECURITY/NSF	02	AGS-1104342	25,292.00	-	5,698.58	5,698.58	-
47.050	60574	NSF 2 COMPT WIND EVAL LIDAR	02	AGS-1228464	728,747.00	-	113,892.62	246,160.69	132,268.07
47.050	60597	NSF HIGH-RES STROMA TOLITE MODL	02	EAR-1251422	59,103.00	-	-	8,259.19	8,259.19
47.074	60414	RUI VIRAL DIVRITY HOT LAKE 08	02	MCB-0702069	291,453.00	3,541.33	37,577.05	49,760.52	15,724.80
47.075	60444	SEIDENTISM IN CA DELTA 08_11	02	BCS0819975	93,575.50	-	631.26	631.26	-
47.076	60459	JOINT LAB CURRICULUM BUTTE COL	02	DUE-0837068	150,628.30	2,105.07	20,999.16	18,894.09	-
47.076	60461	STDT GENRATD SCINTFC INQ 09/11	02	DUE-0837058	138,580.51	(7,212.50)	(7,212.50)	-	-
47.076	60488	LIFE SCIENCE ED FOR ELEM TCHRS	02	DUE-0942391	152,085.00	13,009.51	60,906.43	50,912.81	3,015.89
47.076	60511	NSF GARNET PHASE II	02	DUE-1022765	36,210.00	1,111.87	6,268.96	6,313.92	1,156.83
47.076	60552	NSF PRE-K EARLY ALGEBRA 11/12	02	DRL-1212766	207,128.00	101,418.51	161,208.36	61,646.22	1,856.37
47.076	60573	NSF TRANFMIV EXP UNDERGRAD	02	DUE-1140785	97,508.00	-	700.03	8,693.00	7,982.97
47.076	60593	NSF WRITING & LITERACY CLASS	02	DUE-1140860	199,956.00	-	8,767.47	19,592.41	10,824.94
47.082	60475	HORIZONTAL VECTOR WIND MSRMNT	02	ATM-0924407	554,585.00	53,340.96	114,425.90	86,009.43	24,924.49
47.479	60558	NSF REURET MATHEMATICS	02	DMS-1156612	216,117.00	46,335.83	90,416.90	84,418.35	40,337.28
		<b>Sub-Total Research &amp; Development Direct Awards</b>			2,950,968.31	213,650.58	614,280.22	646,980.47	246,350.83
<b>Pass Through Awards</b>									
University of Texas, Arlington									
47.041	64136	NSF RC FRAME COLLAPSE STUDY	02	26-1003-8263	166,799.00	5,064.78	18,816.48	21,246.58	7,494.88
		<b>Sub-Total R &amp; D Pass Through Awards, Univ. of Texas, Arlington</b>			166,799.00	5,064.78	18,816.48	21,246.58	7,494.88
Univ. of CA, Office of the President									
47.074	64154	IMPVG BIOLOGICAL RSRCH CLLCTNS	04	Sub 00007365	30,847.00	2,120.65	2,363.51	7,192.59	6,949.73
		<b>Sub-Total Other Pass Through Awards, Univ of CA Office of the President</b>			30,847.00	2,120.65	2,363.51	7,192.59	6,949.73
Univ. of CA, Office of the President									
47.076	64264	MARN 1112 & 1213	02	F6776-05	71,341.00	5,773.88	48,183.91	42,410.03	-
		<b>Sub-Total Other Pass Through Awards, Univ of CA Office of the President</b>			71,341.00	5,773.88	48,183.91	42,410.03	-
San Diego State University									
47.076	64204	ETHICS EDU FOR SCI MASTERS '11	01	55975A P2123 7803 211	11,966.14	-	-	-	-
		<b>Sub-Total Other Pass Through Awards, San Diego State University</b>			11,966.14	-	-	-	-
MESA Statewide / UCOP									
47.076	64128	MESA NSF SCHOLARSHIPS 10/11	08	11-MESA-324218-3	13,000.00	-	-	-	-
47.076	64227	MESA NSF SCHLRSHP GONZALES	08	11-MESA-63118-3-92	12,875.00	-	-	-	-
47.076	64316	MESA/NSF SCHOLARSHIP GONZALEZ	08	12-MESA-63118-3-XXX	21,625.00	-	21,625.00	21,625.00	-
		<b>Sub-Total Other Pass Through Awards, MESA Statewide / UCOP</b>			47,500.00	-	21,625.00	21,625.00	-
Shasta College									
47.076	64135	NSF ADV TECH PRGM SHASTA 10/12	03	SP-09-239	33,582.43	13,984.39	17,776.28	3,791.89	-
		<b>Sub-Total Other Pass Through Awards, Shasta College</b>			33,582.43	13,984.39	17,776.28	3,791.89	-
University Enterprises - Sacramento State									
47.076	64159	LSAMP PHAS IV Y4 11/12 UE/NSF	01	HRD-0802628-515261	48,000.00	12,534.25	12,534.25	-	-
47.076	64165	LSA MP STUDNT Y4 11/12 UE/NSF	01	HRD-0802628-519851	9,663.00	7,764.00	7,764.00	-	-
47.076	64277	LSAMP PH IV Y5 12-13	01	515265	45,000.00	-	33,077.65	44,854.87	11,777.22
		<b>Sub-Total Other Pass Through Awards, University Enterprises - Sac State</b>			102,663.00	20,298.25	53,375.90	44,854.87	11,777.22
Iowa State University									
47.082	64051	EFRI BIOFUELS 09/H3	02	441-04-04A	289,252.00	52,767.35	85,884.33	41,387.51	8,270.53
		<b>Sub-Total Research &amp; Development Pass Through Awards, Iowa State University</b>			289,252.00	52,767.35	85,884.33	41,387.51	8,270.53
		<b>Total Other Direct Awards</b>			2,601,953.00	110,658.48	366,246.25	286,290.32	30,702.55
		<b>Total Research &amp; Development Direct Awards</b>			2,950,968.31	213,650.58	614,280.22	646,980.47	246,350.83
		<b>Total Research &amp; Development Pass Through</b>			527,392.00	63,606.01	152,884.72	105,044.12	15,765.41
		<b>Total Other Pass Through Awards</b>			226,558.57	36,403.29	95,140.69	77,464.35	18,726.95
		<b>Total National Science Foundation</b>			6,306,871.88	424,318.36	1,228,551.88	1,115,779.26	311,545.74
<b>U.S. Small Business Administration</b>									
<b>Direct Awards - Other</b>									
59.037	60514	SBA 2011	03	1-603001-Z-0063-09	1,451,941.12	(7,171.48)	-	7,175.50	4.02
59.058	60516	SBA FAST	03	SBAHQ-10-G-0012	100,000.00	17,859.32	17,859.99	(0.33)	-
59.037	60517	SBA JOBS 11/12	03	1-603001-Z-0108	616,585.99	9,561.91	285,902.68	459,645.61	183,304.84
59.037	60550	SBA 2012	03	SBAHQ-12-B-0070	1,373,989.00	89,174.08	1,305,870.49	1,216,696.41	-
59.037	60594	SBA 2013	03	SBAHQ-13-B-0060	1,284,118.00	-	86,489.59	205,883.34	119,393.75
		<b>Sub-Total Other Direct Awards</b>			4,826,634.11	109,423.83	1,696,121.75	1,889,400.53	302,702.61

**SCHEDULE OF EXPENDITURES  
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Catalog Number	Project Number	Project Title	Firm Code	Award/Contract Number	Program or Award Amounts	Accrued (Deferred) Revenue 7/1/2012	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2013
<b>Pass Through Awards</b>									
59.037		Center for Economic Development							
	64211	SBDC STATEWIDE PARTNERSHP 2011	03	1-603001-Z-0063-09	6,331.07	7,174.89	-	(7,174.89)	-
		<b>Sub-Total Other Pass Through Awards, Center for Economic Development</b>			6,331.07	7,174.89	-	(7,174.89)	-
59.037		Humboldt State University No. CA. SBDC Network							
	64211	SBDC STATEWIDE PARTNERSHP 2011	03	1-603001-Z-0063-09	12,764.37	-	-	-	-
		<b>Sub-Total Other Pass Through Awards, Humboldt State University No. CA. SBDC Network</b>			12,764.37	-	-	-	-
59.037		Southwestern Community College							
	64211	SBDC STATEWIDE PARTNERSHP 2011	03	1-603001-Z-0063-09	4,752.57	-	-	-	-
		<b>Sub-Total Other Pass Through Awards, Southwestern Community College</b>			4,752.57	-	-	-	-
59.037		UC Merced Sponsored Projects							
	64211	SBDC STATEWIDE PARTNERSHP 2011	03	1-603001-Z-0063-09	6,632.02	-	-	-	-
		<b>Sub-Total Other Pass Through Awards, UC Merced Sponsored Projects</b>			6,632.02	-	-	-	-
59.037		Long Beach City College							
	64211	SBDC STATEWIDE PARTNERSHP 2011	03	1-603001-Z-0063-09	16,472.17	-	-	-	-
		<b>Sub-Total Other Pass Through Awards, Long Beach City College</b>			16,472.17	-	-	-	-
59.037		Orange County / Inland Empire Region SBDC							
	64211	SBDC STATEWIDE PARTNERSHP 2011	03	1-603001-Z-0063-09	9,828.79	-	-	-	-
		<b>Sub-Total Other Pass Through Awards, Orange County / Inland Empire Region SBDC</b>			9,828.79	-	-	-	-
59.037		Center for Economic Development							
	64232	SBDC STATEWIDE PARTNERSHIP '12	03	2-603001-Z-0063	17,432.92	5,678.77	-	6,452.46	12,131.23
		<b>Sub-Total Other Pass Through Awards, Center for Economic Development</b>			17,432.92	5,678.77	-	6,452.46	12,131.23
59.037		Humboldt State University No. CA. SBDC Network							
	64232	SBDC STATEWIDE PARTNERSHP 2011	03	2-603001-Z-0063	35,147.26	11,449.21	25,714.83	13,009.08	(1,256.54)
		<b>Sub-Total Other Pass Through Awards, Humboldt State University No. CA. SBDC Network</b>			35,147.26	11,449.21	25,714.83	13,009.08	(1,256.54)
59.037		Southwestern Community College							
	64232	SBDC STATEWIDE PARTNERSHP 2011	03	2-603001-Z-0063	13,086.41	4,262.90	12,565.45	4,843.68	(3,458.87)
		<b>Sub-Total Other Pass Through Awards, Southwestern Community College</b>			13,086.41	4,262.90	12,565.45	4,843.68	(3,458.87)
59.037		UC Merced Sponsored Projects							
	64232	SBDC STATEWIDE PARTNERSHP 2011	03	2-603001-Z-0063	18,261.56	5,948.70	17,534.59	6,759.17	(4,826.72)
		<b>Sub-Total Other Pass Through Awards, UC Merced Sponsored Projects</b>			18,261.56	5,948.70	17,534.59	6,759.17	(4,826.72)
59.037		Long Beach City College							
	64232	SBDC STATEWIDE PARTNERSHP 2011	03	2-603001-Z-0063	45,356.84	14,774.98	-	16,787.97	31,562.95
		<b>Sub-Total Other Pass Through Awards, Long Beach City College</b>			45,356.84	14,774.98	-	16,787.97	31,562.95
59.037		Orange County / Inland Empire Region SBDC							
	64232	SBDC STATEWIDE PARTNERSHP 2011	03	2-603001-Z-0063	27,064.01	8,816.10	19,800.88	10,017.22	(967.56)
		<b>Sub-Total Other Pass Through Awards, Orange County / Inland Empire Region SBDC</b>			27,064.01	8,816.10	19,800.88	10,017.22	(967.56)
59.037		Center for Economic Development							
	64312	2013 SBDC STATEWIDE PARTNERSHP	03	SBHQ-13-B-0060	19,573.72	-	-	-	-
		<b>Sub-Total Other Pass Through Awards, Center for Economic Development</b>			19,573.72	-	-	-	-
59.037		Humboldt State University No. CA. SBDC Network							
	64312	2013 SBDC STATEWIDE PARTNERSHP	03	SBHQ-13-B-0060	39,463.42	-	7,787.14	7,787.14	-
		<b>Sub-Total Other Pass Through Awards, Humboldt State University No. CA. SBDC Network</b>			39,463.42	-	7,787.14	7,787.14	-
59.037		Southwestern Community College							
	64312	2013 SBDC STATEWIDE PARTNERSHP	03	SBHQ-13-B-0060	14,693.45	-	2,899.39	2,899.39	-
		<b>Sub-Total Other Pass Through Awards, Southwestern Community College</b>			14,693.45	-	2,899.39	2,899.39	-
59.037		UC Merced Sponsored Projects							
	64312	2013 SBDC STATEWIDE PARTNERSHP	03	SBHQ-13-B-0060	20,504.12	-	4,045.99	4,045.99	-
		<b>Sub-Total Other Pass Through Awards, UC Merced Sponsored Projects</b>			20,504.12	-	4,045.99	4,045.99	-
59.037		Long Beach City College							
	64312	2013 SBDC STATEWIDE PARTNERSHP	03	SBHQ-13-B-0060	50,926.76	-	-	10,049.17	10,049.17
		<b>Sub-Total Other Pass Through Awards, Long Beach City College</b>			50,926.76	-	-	10,049.17	10,049.17
59.037		Orange County / Inland Empire Region SBDC							
	64312	2013 SBDC STATEWIDE PARTNERSHP	03	SBHQ-13-B-0060	30,387.53	-	5,996.24	5,996.24	-
		<b>Sub-Total Other Pass Through Awards, Orange County / Inland Empire Region SBDC</b>			30,387.53	-	5,996.24	5,996.24	-
		<b>Total Other Direct Awards</b>			4,826,634.11	109,423.83	1,696,121.75	1,889,400.53	302,702.61
		<b>Total Other Pass Through Awards</b>			388,678.99	58,105.55	96,344.51	81,472.62	43,233.66
		<b>Total U.S. Small Business Administration</b>			5,215,313.10	167,529.38	1,792,466.26	1,970,873.15	345,936.27
<b>Environmental Protection Agency</b>									
<b>Pass Through Awards</b>									
66.454		State Water Resources Control Board							
	64283	STREAMWATER QLT Y MONTRNG 1213	03	11-181-250	67,161.00	-	33,535.06	67,161.00	33,625.94
		<b>Sub-Total Other Pass Through Awards, State Water Resources Control Board</b>			67,161.00	-	33,535.06	67,161.00	33,625.94
66.458		City of Chico							
	64030	CITY OF CHICO BIDWELL AVE ARRA	03	131905 AMD #2	309,314.29	-	-	-	-
		<b>Sub-Total Other Pass Through Awards, City of Chico</b>			309,314.29	-	-	-	-
		<b>Total Other Pass Through Awards</b>			376,475.29	-	33,535.06	67,161.00	33,625.94
		<b>Total Environmental Protection Agency</b>			376,475.29	-	33,535.06	67,161.00	33,625.94

**SCHEDULE OF EXPENDITURES  
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Catalog Number	Project Number	Project Title	Firm Code	Award/Contract Number	Program or Award Amounts	Accrued (Deferred) Revenue 7/1/2012	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2013
<b>Department of Energy</b>									
<b>Pass Through Awards</b>									
University of Minnesota									
81.122	64139	ARRA ENERGY SYSTEM ED CONSRTY1	03	A000211581	3,999.00	-	1,333.00	1,333.00	-
<b>Sub-Total Other Pass Through Awards, University of Minnesota</b>					3,999.00	-	1,333.00	1,333.00	-
Lawrence Livermore National Laboratory									
81.CNT	64198	SCOUT ROBOTIC VEHICLE 2011	02	B597357	142,026.09	13,282.42	42,155.42	28,873.00	-
81.CNT	64203	NIF ROBOTIC MODULE DEVELOPMNT	02	B597629	519,028.00	94,703.25	335,560.01	275,697.87	34,841.11
81.CNT	64296	BACKPACK SURVEILLANCE SCOUT	02	B601837	163,421.00	-	82,745.26	102,680.74	19,915.48
<b>Sub-Total R &amp; D Pass Through Awards, Lawrence Livermore Ntl Lab</b>					824,475.09	107,985.67	460,460.69	407,231.61	54,756.59
<b>Total Research &amp; Development Pass Through Awards</b>					824,475.09	107,985.67	460,460.69	407,231.61	54,756.59
<b>Total Other Pass Through Awards</b>					3,999.00	-	1,333.00	1,333.00	-
<b>Total Department of Energy</b>					828,474.09	107,985.67	461,793.69	408,564.61	54,756.59
<b>US Department of Education</b>									
<b>Direct Awards - Other</b>									
84.116J	60499	TRANS ATLANTIC DUAL MASTER Y1	01	P116J100044	31,510.20	-	-	-	-
84.116J	60549	TRANS ATLANTIC DUAL MASTER Y2 11/12	01	P116J100044-11	46,972.51	-	16,269.60	16,269.60	-
84.116J	60586	TRANS ATLANTIC DUAL MASTER Y3	01	P116J100044-12	246,082.29	-	36,774.54	54,937.87	18,163.33
84.116N	60504	N AMERICA ENG INV TRG Y4 10/11	04	P116N070004-10	63,617.53	(16.11)	-	(16.11)	-
84.215F	60543	GET MOVING SO COUNTY Y1 11/12	03	Q215F110569	296,303.21	24,443.64	102,418.76	77,975.12	-
84.215F	60589	GET MOVING SO COUNTY Y2 12/13	03	Q326F110569-12	151,121.00	-	86,896.62	105,389.68	18,503.06
84.239B	60590	NORCAL PRIE Y1 12/13	01	S239B120038	354,415.00	-	59,142.86	125,830.73	66,687.87
84.325	60544	T.R.A.C.K. PROGRAM Y1 2012	01	H325K110322	180,674.51	2,588.47	118,012.16	115,423.69	-
84.325K	60529	PRIORITY PERSONNEL DEV Y3 11/12	01	H325K090238-11	250,238.86	29,804.31	29,525.67	(278.64)	-
84.325K	60570	PRIORITY PERSONNEL DEV Y4 12/13	01	H325K090238	362,489.36	-	180,548.03	216,736.81	36,188.78
84.325K	60592	T.R.A.C.K. PROGRAM Y2 2013	01	H325K110322-12	319,325.49	-	41,660.31	58,371.76	16,711.45
84.325T	60501	NEXT STEPS Y3 10/11	01	H325T08001-10	69,580.64	-	-	-	-
84.325T	60531	NEXT STEPS Y4 11/12	01	H325T08001-11	94,441.45	4,322.36	41,691.26	37,368.90	-
84.325T	60578	NEXT STEPS Y5 12/13	01	H325T08001-12	120,842.73	-	34,347.11	66,258.72	31,911.61
84.335	60423	CCAMPIS Y2 07/08	05	P335A06217-07	11,861.23	-	-	-	-
84.335	60451	CCAMPIS Y3 08/09	05	P335A06217-08	169,334.50	-	-	-	-
84.335A	60512	CCAMPIS Y1 10/11	05	P335A100115	83,870.36	-	156.60	156.60	-
84.335A	60545	CCAMPIS Y2 11/12	05	P335A100115-11	81,633.12	9,633.20	37,529.34	27,896.14	-
84.335A	60587	CCAMPIS Y3 12/13	05	P335A100115-12	93,968.52	-	639.80	39,494.15	38,854.35
84.365Z	60564	CPD COLLAB PROF DEVELP CA SHCL	03	T365Z120186	384,401.00	151.20	231,096.92	274,801.53	43,855.81
84.365Z	60600	CPD Y2 13/14	03	T365Z120186-13	399,957.00	-	-	87,000.59	87,000.59
<b>Sub-Total Other Direct Awards</b>					3,802,639.51	70,927.07	1,016,683.47	1,303,633.25	367,876.85
84.042A	60449	STUDENT SUPPORT SVCS Y4 08/09	05	P042A050994-08	251,318.58	-	-	-	-
84.042A	60507	STDNT SUPPRT SERVICES Y1 10/11	05	P042A100854	253,157.14	(73.55)	(73.55)	-	-
84.042A	60538	STDNT SUPPRT SREVICES Y2 11/12	05	P042A100854-11	242,819.64	28,477.01	72,918.59	44,441.58	-
84.042A	60580	STND SUPPRT SVCS Y3 12/13	05	P042A100854-12	254,688.22	-	123,459.96	199,718.95	76,258.99
84.044A	60446	ETS II Y3 08/09	05	P044A060322-08	249,162.47	-	-	-	-
84.044A	60532	EDU TALENT SEARCH I Y1 11/12	05	P044A110388	308,876.48	34,945.03	78,550.24	43,605.21	-
84.044A	60533	ETS II Y1 11/12	05	P044A110441	175,199.15	19,501.91	39,513.73	20,004.50	(7.32)
84.044A	60576	ETS II Y2 12/13	05	P044A110441-12	284,800.85	-	168,648.05	229,244.78	60,596.73
84.044A	60577	EDU TALENT SEARCH I Y2 12/13	05	PO 44A110388-12	449,161.52	-	271,985.58	350,694.73	78,709.15
84.047A	60490	UPWARD BOUND Y3 10/11	04	P047A080258-10	571,685.34	-	-	-	-
84.047A	60523	UPWARD BOUND Y4 11/12	04	P047A080258-11	544,439.38	4,628.60	5,401.10	772.50	-
84.047A	60536	UPWARD BOUND ESL Y4 11 12	04	P047A080227-11	335,389.44	41,384.37	145,910.86	104,526.49	-
84.047A	60562	UPWARD BOUND Y5 12/13	04	P047A08258-12	573,314.09	80,259.72	508,552.66	530,115.37	81,822.43
84.047A	60572	UPWARD BOUND ESL Y5 12/13	04	P047A080227-12	350,257.25	-	160,255.44	220,521.96	60,266.52
84.047A	60588	UPWARD BOUND I 13/14 YR1	03	P047A130074	530,712.00	-	-	54,276.94	54,276.94
84.047M	60466	UPWARD BD MATH & SCI Y3 09/10	04	P047M070045-09	270,233.76	-	-	-	-
84.047M	60498	UPWARD BOUND MATH & SCI Y4	04	P047M070045-10	254,671.10	-	-	-	-
84.047M	60535	UPWARD BND MATH SCI Y5 11/12	04	P047M070045-13	243,521.48	25,662.17	116,696.37	91,034.20	-
84.047M	60579	UB MATH & SCIENCE Y1 12/13	03	P047M120263	250,000.00	-	126,647.08	171,636.59	44,989.51
84.047M	60591	UB STEM Y1 12/13	03	P047M120260	250,000.00	-	79,015.40	130,284.21	51,268.81
<b>Sub-Total TRIO Cluster Direct Awards</b>					6,643,407.89	214,785.26	1,897,481.51	2,190,876.01	508,181.76
84.336S	60541	COSTARS TQP Y3 11/12	01	U336S090119-11	1,563,298.78	145,949.81	606,855.01	460,905.20	-
84.336S	60551	CO-STARS TQP Y4 12/13	01	U336S090119-12	1,231,276.00	-	453,284.04	653,437.94	200,153.90
<b>Sub-Total Teacher Quality Partnership Grants Cluster Direct Awards</b>					2,794,574.78	145,949.81	1,060,139.05	1,114,343.14	200,153.90
<b>Pass Through Awards</b>									
CA Dept. of Education									
84.048	64195	CHICO A G FIELD OFFICE Y3 11/12	03	CN090279	5,517.94	-	-	-	-
84.048A	64290	CHICO A G FIELD OFFICE Y2 12/13	03	CN110279	13,900.90	-	9,364.41	12,685.06	3,320.65
<b>Sub-Total Other Pass Through Awards, CA Department of Education</b>					19,418.84	-	9,364.41	12,685.06	3,320.65
CA Department of Food & Agriculture									
84.048	64236	CHICO A G FIELD OFFICE 11/12	03	CN110279	4,579.68	4,579.68	4,579.68	-	-
<b>Sub-Total Other Pass Through Awards, CA Department of Food &amp; Agriculture</b>					4,579.68	4,579.68	4,579.68	-	-
CalPoly Corporation									
84.048A	64231	CAL POLY A G ED PRESRVC 11/12	03	PO F0018924	6,259.00	4,576.46	4,576.46	-	-
84.048A	64305	AG ED PRESERVICE PGM Y2 12/13	03	PO# F0020271	10,330.00	-	3,183.04	10,297.55	7,109.51
<b>Sub-Total Other Pass Through Awards, CalPoly Corporation</b>					16,589.00	4,576.46	7,760.50	10,297.55	7,109.51
Univ. of CA, Irvine									
84.1842	64152	WILDCAT WATCH TRAINING PGM '11	05	2011-2552	10,000.00	211.28	-	(211.28)	-
<b>Sub-Total Other Pass Through Awards, U.C. Irvine</b>					10,000.00	211.28	-	(211.28)	-
Chico Unified School District									
84.366	64118	CA MSP Y3 10/11	03	109042	174,648.00	0.21	-	(0.21)	-
<b>Sub-Total Other Pass Through Awards, Chico Unified School District</b>					174,648.00	0.21	-	(0.21)	-

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Marysville Joint USD									
84366B	64068	MAKING MATH MATTER M3	03	SP 10-055	214,565.00	(19.77)	-	19.77	-
84366B	64200	MAKING MATH MATTER M3 YR2	03	SP-10-055 Am1 & Am2	307,695.00	103,762.67	175,002.14	71,239.48	0.01
84366B	64299	MAKING MATH MATTER M3 YR3	03	SP-10-055 Am3	210,010.00	-	47,248.33	186,558.71	139,310.38
<b>Sub-Total Other Pass Through Awards, Marysville Joint USD</b>					<b>732,270.00</b>	<b>103,742.90</b>	<b>222,250.47</b>	<b>257,817.96</b>	<b>139,310.39</b>
Shasta Co Office of Education									
84366B	64148	SMART SCIENCE Y2 10/11	03	SP 09-047-Y2	46,201.00	(439.65)	-	439.65	-
84366B	64217	SMART SCIENCE Y3 11/12	03	SP 09-047-Y3	40,335.00	(22,294.47)	-	22,294.47	-
<b>Sub-Total Other Pass Through Awards, Shasta Office of Education</b>					<b>86,536.00</b>	<b>(22,734.12)</b>	<b>-</b>	<b>22,734.12</b>	<b>-</b>
CA Postsecondary Education Commission									
84367	64048	SCIENCE & ACADEMIC LIT Y2 09/10	03	ITQ-08-510	215,562.35	-	-	-	-
84367	64113	T-BAR ITQ YR 1/CPEC	03	ITQ-10-T701	268,432.38	(158,617.62)	(158,617.62)	-	-
84367	64119	MEG Y4 10/11	03	ITQ-07-404	353,931.85	50,559.47	63,609.00	13,049.53	-
84367	64124	SCIENCE & ACADEMIC LIT Y3 10/11	03	ITQ-08-510	183,355.42	24,833.85	24,834.00	0.15	-
84367	64125	SCI & ACADEMIC LIT Y3 CME 10/11	03	ITQ-08-510	107,794.58	71,241.62	71,241.00	(0.62)	-
84367	64201	SCIENCE & ACADEMIC LIT Y4 11/12	03	ITQ-08-510	102,167.00	56,299.25	102,167.00	45,867.75	-
84367	64202	SCI & ACADEMIC LIT Y4 CME 11/12	03	ITQ-08-510	38,902.00	21,407.90	38,902.00	17,494.10	-
84367	64206	CALNET RURAL COUNTIES COLLAB	03	ITQ-11-801	233,016.00	159,782.23	211,291.00	73,174.37	21,665.60
84367	64207	MODELING COLLOQUIUM/CPEC	03	10-1105	47,871.00	28,270.73	47,871.00	19,600.27	-
84367	64210	T-BAR ITQ CPEC Y2 11/12	03	ITQ-10-T701	772,556.62	262,614.86	461,140.62	195,077.58	(3,448.18)
84367	64242	CALNET RURAL COLLAB Y2 12/13	03	ITQ-11-801	266,984.00	-	18,035.00	142,338.35	124,303.35
84367	64298	T-BAR ITQ CPEC Y3 12/13	03	ITQ-10-T701	710,719.00	-	243,170.00	487,240.30	244,070.30
<b>Sub-Total Other Pass Through Awards, CA Postsecondary Education Commission</b>					<b>3,301,292.20</b>	<b>516,392.29</b>	<b>1,123,643.00</b>	<b>993,841.78</b>	<b>386,591.07</b>
Tehama Co Dept. of Education									
84367	63769	N STATE MATH PARTNERSHIP 06/07	03	SP 06-430	94,250.00	-	-	-	-
84367	64114	N STATE MATH PRTNSHIP Y3 10/11	03	SP 09-042Y3	49,700.00	-	-	-	-
<b>Sub-Total Other Pass Through Awards, Tehama Co Dept. of Education</b>					<b>143,950.00</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
University California Office of the President									
84367	64098	CSP TRI TCHR RETENTION Y4 10/11	03	0995GM0093 - Am2	33,228.24	-	-	-	-
84367	64137	NCLB 7 CA READING & LIT 10/11	03	NCLB7-CRLP-CHICO	57,706.00	-	-	-	-
84367	64226	NCLB 8 CA MATH PROJECT 11/12	03	NCLB8-CMP-CHICO	36,000.00	13,435.93	13,326.71	(109.22)	-
84367	64228	NCLB 8 N CA WRITING PJCT 11/12	03	NCLB8-CWP-CHICO	42,468.00	39,795.80	39,974.47	178.67	-
84367	64229	NCLB 8 N CA ARTS PJCT 11/12	03	NCLB8-TCAP-CHICO	53,222.00	32,459.40	41,486.88	9,027.49	0.01
84367	64230	NCLB 8 CA READING & LIT 11/12	03	NCLB8-CRLP-CHICO	57,704.99	55,066.77	55,809.17	742.40	-
84367	64233	NCLB8 CA SCIENCE PROJECT 11/12	03	NCLB8-CSP-CHICO	35,495.00	33,959.82	35,495.00	1,535.18	-
84367	64237	NCLB8A CA SCIENCE PJCT 11/12	03	NCLB8A-CSP-CHICO	3,431.00	3,603.80	3,431.00	(172.80)	-
84367	64306	NCLB9 CA MATH PROJECT 12/13	03	NCLB9-CMP-CHICO	28,850.00	-	2,368.70	30,140.91	27,772.21
84367	64307	NCLB9 CA SCIENCE PROJECT 12/13	03	NCLB9-CSP-CHICO	28,853.00	-	-	29,031.04	29,031.04
84367	64309	NCLB 9 N CA READING/LIT 12/13	03	NCLB9-CRLP-CHICO	46,829.00	-	185.76	46,828.67	46,642.91
84367	64313	NCLB N CA WRITING PJCT 12/13	03	NCLB9-CWP-CHICO	34,535.00	-	-	21,339.47	21,339.47
84367	64318	N CA ARTS PJCT 12/13 NCLB 9	03	NCLB9-TCAP-CHICO	62,750.00	-	-	60,269.35	60,269.35
<b>Sub-Total Other Pass Through Awards, UCOP</b>					<b>521,072.23</b>	<b>178,321.52</b>	<b>192,077.69</b>	<b>198,811.16</b>	<b>185,054.99</b>
UCLA									
84367	64266	CMP STIR Y5 12/13	03	1010 G HC105 Amd 7	43,000.00	40,529.34	43,000.00	2,464.73	(5.93)
84367	64101	CMP STIR Y4 10/11	03	1010GHC105 Am5	41,029.88	-	-	-	-
<b>Sub-Total Other Pass Through Awards, UCLA</b>					<b>84,029.88</b>	<b>40,529.34</b>	<b>43,000.00</b>	<b>2,464.73</b>	<b>(5.93)</b>
Arizona Department of Education									
84367A	64063	AZ DOE WORKSHOPS 09/10	03	ED10-0013	63,000.00	(1,445.63)	-	1,084.40	(361.23)
<b>Sub-Total Other Pass Through Awards, Arizona Department of Education</b>					<b>63,000.00</b>	<b>(1,445.63)</b>	<b>-</b>	<b>1,084.40</b>	<b>(361.23)</b>
North Valley CAL-SOAP Cons-Yuba Community College									
84378	64100	CAL SOAP 10/11	04	G-10-012	65,000.00	46,047.90	-	-	46,047.90
<b>Sub-Total Other Pass Through Awards, No Valley Cal-SOAP Consortium</b>					<b>65,000.00</b>	<b>46,047.90</b>	<b>-</b>	<b>-</b>	<b>46,047.90</b>
National Writing Project (NWP)									
84328A	64057	NWP TECHNOLOGY INITIATIVE	03	none	13,000.00	(32.94)	-	-	(32.94)
84328A	64107	NWP SISKIYOU SATELLITE 10/11	03	Am1 32 to 92-CA04	4,358.82	-	-	-	-
84328A	64115	NWP CHICO OFFICE BUDGET 10/11	03	AMD 11 to Agreement 05/13/02	218,706.80	(10,439.30)	-	10,439.30	-
84328A	64193	NWP SITE SUPPORT 11/12	03	Am1 33 to Contract 92-CA04	36,139.16	(56,063.82)	(44,800.57)	11,263.25	-
84328A	64194	NWP SISKIYOU SATELLITE 11/12	03	Am1 33 to Contract 92-CA05	30,607.60	(9,983.58)	(10,033.58)	(60.00)	-
84328A	64199	NWP FOX BUYOUT 11/12	03	none	31,578.00	117,096.25	117,627.00	530.75	-
84328A	64278	N CA WRITING PJCT CF 11/12	03	Am1 33 to Contract 92-CA04	44,800.57	-	44,800.57	44,426.78	(373.79)
84328A	64279	NWP SISKIYOU SATELLITE CF 11/12	03	Am1 33 to Contract 92-CA05	10,033.58	-	10,033.58	8,025.21	(2,008.37)
84328A	64292	NWP BUYOUT 2012-2013	03	Am1 1 to agreement dated 7/29/11	113,566.00	-	113,566.00	93,547.27	(20,018.73)
<b>Sub-Total Other Pass Through Awards, National Writing Project (NWP)</b>					<b>502,790.53</b>	<b>40,576.61</b>	<b>231,193.00</b>	<b>168,182.56</b>	<b>(22,433.83)</b>
National Writing Project (NWP)									
84367D	64282	TEACHER LEADERSHIP DEVL P 12/13	03	92-CA04-SEED2012	20,000.00	-	20,000.00	7,652.30	(12,347.70)
84367D	64293	NWP SEED ELEM EVAL	03	Am1 92-CA04-SEED2012	40,000.00	-	40,000.00	35,755.69	(4,244.31)
84367D	64294	NWP HIGH NEED ALTA MESA	03	Am2 92-CA04-SEED2012	20,000.00	-	20,000.00	12,228.71	(7,771.29)
<b>Sub-Total Other Pass Through Awards, National Writing Project (NWP)</b>					<b>80,000.00</b>	<b>-</b>	<b>80,000.00</b>	<b>55,636.70</b>	<b>(24,363.30)</b>
<b>Total Other Direct Awards</b>					<b>3,802,639.51</b>	<b>70,927.07</b>	<b>1,016,693.47</b>	<b>1,303,633.25</b>	<b>357,876.85</b>
<b>Total TRIO Cluster Direct Awards</b>					<b>6,643,407.89</b>	<b>214,785.26</b>	<b>1,897,481.51</b>	<b>2,190,878.01</b>	<b>508,181.76</b>
<b>Total Teacher Quality Partnership Grants Cluster Direct Awards</b>					<b>2,794,574.78</b>	<b>145,949.81</b>	<b>1,060,139.05</b>	<b>1,114,343.14</b>	<b>200,153.90</b>
<b>Total Other Pass Through Awards</b>					<b>5,805,176.36</b>	<b>910,798.44</b>	<b>1,913,872.75</b>	<b>1,723,344.53</b>	<b>720,270.22</b>
<b>Total US Department of Education</b>					<b>19,045,798.54</b>	<b>1,342,460.58</b>	<b>5,888,176.78</b>	<b>6,332,198.93</b>	<b>1,786,482.73</b>

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Catalog Number	Project Number	Project Title	Firm Code	Award/ Contract Number	Program or Award Amounts	Accrued (Deferred) Revenue 7/1/2012	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2013
<b>Department of Health and Human Services</b>									
<b>Direct Awards - Other</b>									
93.121	60556	CULTRL VAR ORAL HLTH MESSG/NIH	03	1R15DE021873-01A1	430,760.00	7,415.16	54,784.13	68,296.56	20,927.59
<b>Sub-Total Other Direct Awards</b>					<b>430,760.00</b>	<b>7,415.16</b>	<b>54,784.13</b>	<b>68,296.56</b>	<b>20,927.59</b>
<b>Direct Awards - Research and Development</b>									
93.847	60497	NIH MICRO RNA PANCREA TIC B CEL	02	1R15DK088218-01	202,975.00	36,429.72	84,924.91	61,596.09	13,100.90
<b>Sub-Total Research &amp; Development Direct Awards</b>					<b>202,975.00</b>	<b>36,429.72</b>	<b>84,924.91</b>	<b>61,596.09</b>	<b>13,100.90</b>
<b>Pass Through Awards</b>									
CA Dept. of Aging									
93.041	64171	ELDER ABUSE 11/12	03	AP-1112-03	6,512.00	312.29	312.00	(0.29)	-
93.041	64249	ELDER ABUSE 12/13	03	AP-1213-03	6,977.00	-	6,977.00	6,729.40	(247.60)
93.042	64087	3B OMBUDSMAN 3B/7A 10/11	03	AP-1011-03	32,756.00	-	-	-	-
93.042	64172	3B OMBUDSMAN 3B/7A 11/12	03	AP-1112-03	34,458.00	-	-	-	-
93.042	64250	3B OMBUDSMAN 3B/7A 12/13	03	AP-1213-03	31,965.00	-	-	-	-
93.043	64178	3D SUBK 11/12	03	AP-1112-03	6,987.00	122.00	122.00	-	-
93.043	64179	DISEASE PREVENTION 11/12	03	AP-1112-03	12,130.00	537.24	537.00	(0.24)	-
93.043	64256	3D SUBK 12/13	03	AP-1213-03	9,122.00	-	8,857.00	9,122.00	265.00
93.043	64257	DISEASE PREVENTION 12/13	03	AP-1213-03	19,323.00	-	11,643.00	13,327.57	1,684.57
93.048	64209	ADRC OPTIONS COUNSELING/CHHS	03	11-H9008	32,510.73	11,360.33	11,360.34	0.01	-
93.048	64225	SENIOR MEDICARE PATROL 11/12	03	SMP Agreement 11/12	3,802.00	(1,796.17)	-	1,796.17	-
93.048	64303	SENIOR MEDICARE PATROL 12/13	03	SMP Agreement 12/13	4,000.00	-	4,000.00	3,319.39	(680.61)
93.052	64082	AP ADMIN 10/11	03	AP-1011-03	21,562.00	-	-	-	-
93.052	64167	AP ADMINISTRATION 11/12	03	AP-1112-03	21,562.00	-	-	-	-
93.052	64180	FCSP CAREGIVERS 11/12	03	AP-1112-03	186,170.00	56,433.25	54,599.00	(1,834.25)	-
93.052	64182	PSA 2 FCSP 11/12	03	6001-1112	105,342.00	9,089.32	8,430.00	(689.32)	-
93.052	64258	FCSP CAREGIVERS 12/13	03	AP-1213-03	182,197.00	-	142,516.00	161,877.53	19,361.53
93.052	64281	PSA 2 FCSP 12/13	03	6001-1213	120,729.00	-	120,737.66	113,467.70	(7,269.96)
93.052	64244	AP ADMINISTRATION 12/13	03	AP-1213-03	21,831.00	-	-	21,831.00	21,831.00
93.518	64144	2MIPPA ADMIN 10/12	03	2M-1011-03	3,119.00	610.14	610.00	(0.14)	-
93.518	64145	2MIPPA AAA 10/12	03	2M-1011-03	6,643.00	3,649.26	4,343.00	693.74	-
93.518	64147	2MIPPA ADRC 10/12	03	2M-1011-03	91,443.00	7,001.55	7,018.00	16.45	-
93.779	64074	HICAP 10/11	03	HI-1011-03	88,770.85	-	-	-	-
93.779	64146	2MIPPA HICAP 10/12	03	2M-1011-03	16,567.00	600.80	603.00	2.20	-
93.779	64185	HICAP 11/12	03	HI-1112-03	89,258.29	-	-	(437.49)	(437.49)
93.779	64184	HICAP ADMIN 11/12	03	HI-1112-03	5,163.71	-	-	-	-
93.779	64260	HICAP ADMIN 12/13	03	HI-1213-03	9,174.00	-	-	4,174.97	4,174.97
93.779	64261	HICAP 12/13	03	HI-1213-04	107,810.00	-	-	111,739.31	111,739.31
State F	64074	HICAP 10/11	03	HI-1011-03	54,863.15	-	-	-	-
State F	64184	HICAP ADMIN 11/12	03	HI-1112-03	3,089.00	-	-	-	-
State F	64185	HICAP 11/12	03	HI-1112-03	54,863.00	-	-	-	-
State F	64260	HICAP ADMIN 12/13	03	HI-1213-03	3,971.00	-	-	3,971.00	3,971.00
State F	64261	HICAP 12/13	03	HI-1213-04	53,080.00	-	-	53,080.00	53,080.00
State ISD	64251	OMBUDSMAN INITIATIVE 12/13	03	AP-1213-03	15,263.00	-	41,407.00	43,289.14	1,822.14
State Q&A	64251	OMBUDSMAN INITIATIVE 12/13	03	AP-1213-03	27,112.00	-	-	-	-
State R	64074	HICAP 10/11	03	HI-1011-03	109,740.00	(555.18)	-	-	(555.18)
State R	64184	HICAP ADMIN 11/12	03	HI-1112-03	6,179.00	(336.29)	(336.29)	-	-
State R	64185	HICAP 11/12	03	HI-1112-03	109,740.00	35,953.78	35,516.29	-	437.49
State R	64260	HICAP ADMIN 12/13	03	HI-1213-03	7,947.00	-	15,118.00	7,947.00	(7,171.00)
State R	64261	HICAP 12/13	03	HI-1213-04	106,173.00	-	232,942.29	106,173.00	(126,769.29)
<b>Sub-Total Other Federal \$ Pass Through Awards, Ca Dept. of Aging</b>					<b>1,277,884.58</b>	<b>87,930.01</b>	<b>382,665.00</b>	<b>445,155.71</b>	<b>150,420.72</b>
<b>Sub-Total Other State \$ Pass Through Awards, Ca Dept. of Aging</b>					<b>552,020.15</b>	<b>35,062.31</b>	<b>324,647.29</b>	<b>214,460.14</b>	<b>(75,124.84)</b>



**SCHEDULE OF EXPENDITURES  
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Catalog Number	Project Number	Project Title	Firm Code	Award/Contract Number	Program or Award Amounts	Accrued (Deferred) Revenue 7/1/2012	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2013
CA Dept. of Aging									
93.044	64245	3B INFO AND ASST CDA 12/13	03	AP-1213-03	268,228.00	-	254,401.00	251,009.98	(3,391.02)
93.044	64247	3B HOME/MAKER/PERSNL CARE 12/13	03	AP-1213-03	54,555.00	-	50,609.00	51,265.05	656.05
93.044	64248	EMERGENCY AID 12/13	03	AP-1213-03	1,000.00	-	641.00	667.15	26.15
93.044	64252	IIIB PEER COUNSELING	03	AP-1213-03	32,000.00	-	25,190.00	25,281.72	91.72
93.044	64253	3B SUBK 12/13	03	AP-1213-03	208,776.00	-	208,776.00	204,814.09	(3,961.91)
93.044	64018	AAA LINKAGES 09/10	03	AP-0910-03	53,958.96	1,002.96	-	-	1,002.96
93.044	64081	AAA AP RECEIVABLES 10/11	03	AP-1011-03	-	21.00	(453.00)	-	474.00
93.044	64224	ADULT DAY HEALTH CARE 11/12	03	AP-1112-03	4,970.97	4,970.97	4,970.97	-	-
93.044	64168	3B INFO AND ASST CDA 11/12	03	AP-1112-03	263,615.00	59,905.61	57,773.00	(2,132.61)	-
93.044	64169	III B PUBLIC INFO 11/12	03	AP-1112-03	750.00	750.00	750.00	-	-
93.044	64170	3B HOME/MAKER/PERSNL CARE 11/12	03	AP-1112-03	53,616.00	14,926.61	14,927.00	0.39	-
93.044	64174	3B VISITING 11/12	03	AP-1112-03	22,000.00	1,724.55	1,467.00	(257.55)	-
93.044	64175	3B SUBK 11/12	03	AP-1112-03	190,001.00	35,893.46	35,889.00	(4.46)	-
93.044	64222	TELEPHONE REASSURANCE 11/12	03	AP-1112-03	10,000.00	902.34	892.00	(10.34)	-
93.044	64223	EMERGENCY AID 11/12	03	AP-1112-03	6,091.81	(752.55)	(361.19)	391.36	-
93.044	64082	AP ADMIN 10/11	03	AP-1011-03	52,059.00	(997.96)	3.38	7.60	(993.74)
93.045	64082	AP ADMIN 10/11	03	AP-1011-03	61,202.00	-	-	-	-
93.045	64082	AP ADMIN 10/11	03	AP-1011-03	29,042.00	-	-	-	-
State	64082	AP ADMIN 10/11	03	AP-1011-03	292.00	-	-	-	-
State	64082	AP ADMIN 10/11	03	AP-1011-03	78.00	-	-	-	-
93.044	64087	3B OMBUDSMAN 3B/7A 10/11	03	AP-1011-03	28,302.00	(1,098.77)	(1,008.00)	90.77	-
93.044	64166	AAA AP RECEIVABLES 11/12	03	AP-1112-03	-	(61,733.00)	(67,034.72)	-	5,301.72
93.045	64091	3C1 SUBK 10/11	03	AP-1011-03	429,382.00	-	-	-	-
93.045	64166	AAA AP RECEIVABLES 11/12	03	AP-1112-03	-	-	-	-	-
93.044	64167	AP ADMINISTRATION 11/12	03	AP-1112-04	52,149.00	21,420.95	21,496.33	75.38	-
93.045	64167	AP ADMINISTRATION 11/12	03	AP-1112-05	61,308.00	-	-	-	-
93.045	64167	AP ADMINISTRATION 11/12	03	AP-1112-06	30,151.00	-	-	-	-
State	64167	AP ADMINISTRATION 11/12	03	AP-1112-07	291.00	-	-	-	-
State	64167	AP ADMINISTRATION 11/12	03	AP-1112-08	77.00	-	-	-	-
93.044	64172	3B OMBUDSMAN 3B/7A 11/12	03	AP-1112-03	26,166.00	18,887.49	20,203.00	1,315.51	-
93.045	64176	3C1 SUBK - CDA 11/12	03	AP-1112-03	258,923.00	18,440.01	18,440.00	(0.01)	-
State	64176	3C1 SUBK - CDA 11/12	03	AP-1112-03	57,354.00	-	-	-	-
93.053	64176	3C1 SUBK - CDA 11/12	03	AP-1112-03	61,819.00	-	-	-	-
93.045	64177	3C2 SUBK 11/12	03	AP-1112-03	269,126.00	28,185.71	30,386.00	2,200.29	-
State	64177	3C2 SUBK 11/12	03	AP-1112-03	102,756.00	-	-	-	-
93.053	64177	3C2 SUBK 11/12	03	AP-1112-03	73,478.00	-	-	-	-
93.044	64181	PASSAGES EXECUTIVE DIRCTR 11/12	03	AP-1112-03	-	0.01	-	(0.01)	-
93.044	64244	AP ADMINISTRATION 12/13	03	AP-1213-03	52,753.00	-	143,878.48	52,753.00	(91,125.48)
93.045	64244	AP ADMINISTRATION 12/13	03	AP-1213-03	61,493.00	-	-	40,899.04	40,899.04
93.045	64244	AP ADMINISTRATION 12/13	03	AP-1213-03	31,045.00	-	-	31,045.00	31,045.00
State	64244	AP ADMINISTRATION 12/13	03	AP-1213-03	303.00	-	-	303.00	303.00
State	64244	AP ADMINISTRATION 12/13	03	AP-1213-03	81.00	-	-	81.00	81.00
93.044	64250	3B OMBUDSMAN 3B/7A 12/13	03	AP-1213-03	24,835.00	-	52,035.00	52,097.36	62.36
93.045	64254	3C1 SUBK - CDA 12/13	03	AP-1213-03	257,728.00	-	376,667.00	241,676.93	(134,990.07)
State	64254	3C1 SUBK - CDA 12/13	03	AP-1213-03	49,698.00	-	-	49,698.00	49,698.00
93.053	64254	3C1 SUBK - CDA 12/13	03	AP-1213-03	67,090.00	-	-	67,090.00	67,090.00
93.045	64255	3C2 SUBK 12/13	03	AP-1213-03	318,510.00	-	429,810.00	290,562.45	(139,247.55)
State	64255	3C2 SUBK 12/13	03	AP-1213-03	68,826.00	-	-	68,826.00	68,826.00
93.053	64255	3C2 SUBK 12/13	03	AP-1213-03	80,380.00	-	-	80,380.00	80,380.00
<b>Sub-Total Federal \$ Aging Cluster Pass Through Awards, Ca Dept. of Aging</b>					<b>3,423,025.74</b>	<b>142,449.39</b>	<b>1,680,348.25</b>	<b>1,391,218.09</b>	<b>(146,680.77)</b>
<b>Sub-Total State \$ Aging Cluster Pass Through Awards, Ca Dept. of Aging</b>					<b>279,756.00</b>			<b>118,908.00</b>	<b>118,908.00</b>
Slippery Rock Univ., of PA									
93.0XX	63971	I CAN DO IT YOU CAN DO IT CHIC	03	ICDI #7	45,000.00	-	-	-	-
<b>Sub-Total Other Pass Through Awards, Slippery Rock Univ., of PA</b>					<b>45,000.00</b>				
CA Dept. of Public Health									
93.283	64216	COMMUNITY OBESITY PREV Y1 11/12	03	11-10700	28,704.52	25,600.04	25,542.52	(67.52)	-
93.283	64280	COMMUNITY OBESITY PREV Y2 12/13	03	11-10700	20,000.00	-	5,761.38	18,980.22	13,218.84
<b>Sub-Total Other Pass Through Awards, CA Dept. of Public Health</b>					<b>48,704.52</b>	<b>25,600.04</b>	<b>31,303.90</b>	<b>18,922.70</b>	<b>13,218.84</b>
CA Dept. of Social Services									
93.558	64162	CAL WORKS Y2 11/12	03	10-3007	429,194.41	110,048.94	110,172.72	123.78	-
93.558	64263	CALWORKS 12/13	03	110-3007	41,969.40	-	41,969.40	41,969.40	-
93.558	64291	CALWORKS Y1 12/13	03	12-3012	500,000.00	-	107,001.59	269,680.25	162,678.66
93.558	64322	CALWORKS Y2 13/14	03	12-3012	600,000.00	-	-	-	-
<b>Sub-Total TANF Cluster Pass Through Awards, CA Dept. of Social Services</b>					<b>1,571,163.81</b>	<b>110,048.94</b>	<b>259,143.71</b>	<b>311,773.43</b>	<b>162,678.66</b>
UC Berkeley									
93.658	64103	BSW 10/11	01	7295	202,179.74	-	-	-	-
93.658	64104	MSW 10/11	01	7295	705,929.54	0.10	-	(0.10)	-
93.658	64151	FIELD INITIATIVE TRAINING 1011	01	7593	10,000.00	9,956.04	9,958.32	-	(2.28)
93.658	64189	BSW 11/12	01	7795	263,726.00	136,038.67	130,941.49	(5,097.18)	-
93.658	64190	MSW 11/12	01	7795	1,103,714.00	399,254.97	399,070.38	(184.59)	-
93.658	64192	PA THWAYS 11/12	01	7795	278,965.00	62,786.87	63,205.01	418.14	-
93.658	64218	FIELD INITIATIVE TRAINING 11/12	01	00007874	22,533.32	22,533.32	9,508.46	-	13,024.86
93.658	64272	BSW 12/13	01	00007933	200,134.00	-	41,579.42	177,093.55	135,514.13
93.658	64273	MSW 2012/2013	01	00007933	989,232.00	-	247,233.94	844,083.66	596,849.72
93.658	64274	PA THWAYS 2012/13	01	00007933	390,395.00	-	33,364.67	163,426.57	130,081.90
93.658	64289	FIELD INITIATIVE TRNG 12/13	1	00007933	24,979.79	-	8,186.23	24,560.40	16,374.17
<b>Sub-Total Other Pass Through Awards, UC Berkeley</b>					<b>4,181,778.39</b>	<b>630,569.97</b>	<b>943,047.92</b>	<b>1,204,300.45</b>	<b>891,822.50</b>



**SCHEDULE OF EXPENDITURES  
OF FEDERAL AWARDS**

June 30, 2013

*The CSU, Chico  
Research Foundation*  
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Catalog Number	Project Number	Project Title	Firm Code	Award/Contract Number	Program or Award Amounts	Accrued (Deferred) Revenue 7/1/2012	Cash Received	Expenditures	Accrued (Deferred) Revenue 6/30/2013
		CA Dept. of Aging							
93.778	64078	MSGP ADMIN CDA 10/H1	03	MS-1011-10	179,361.64	(27,178.81)	(27,178.81)	-	-
93.778	64079	MSGP CARE MGMT CDA 10/H1	03	MS-1011-10	397,668.22	14,446.66	14,446.66	-	-
93.778	64080	MSGP WAIVED SERVICES CDA 10/H1	03	MS-1011-10	126,640.23	13,380.57	12,732.15	-	648.42
93.778	64186	MSGP ADMIN 11/H2	03	MS-1112-10	221,463.17	(10,072.75)	(5,436.35)	104.84	(4,531.56)
93.778	64187	MSGP CARE MGMT CDA 11/H2	03	MS-1112-11	325,321.83	98,966.33	98,965.28	-	1.05
93.778	64188	MSGP WAIVED SRVCS CDA 11/H2	03	MS-1112-12	123,170.41	41,983.34	37,831.07	905.16	5,057.43
93.778	64267	MSGP ADMIN/SUPPORT SERV 12/H3	03	MS-1213-10	259,568.00	-	197,665.14	203,967.62	6,302.48
93.778	64268	MSGP CARE MGMT CDA 12/H3	03	MS-1213-10	283,810.00	-	220,637.91	323,486.59	102,848.68
93.778	64269	MSGP WAIVED SERVICES CDA 12/H3	03	MS-1213-10	142,222.00	-	78,720.34	116,908.43	38,188.09
93.778	64270	MSGP DISTRIBUTION ACCT 2012/H3	03	MS-1213-10	-	-	15,455.84	-	(15,455.84)
		<b>Sub-Total Medicaid Cluster Pass Through Awards, CA Dept. of Aging</b>			<b>2,058,225.50</b>	<b>131,525.34</b>	<b>643,839.23</b>	<b>645,372.64</b>	<b>133,058.75</b>
		<b>Total Other Direct Awards</b>			<b>430,760.00</b>	<b>7,415.16</b>	<b>54,784.13</b>	<b>68,296.56</b>	<b>20,927.59</b>
		<b>Total Research &amp; Development Direct Awards</b>			<b>202,975.00</b>	<b>36,429.72</b>	<b>84,824.91</b>	<b>61,596.09</b>	<b>13,100.90</b>
		<b>Total Federal Aging Cluster Pass Through Awards, CA Dept. of Aging</b>			<b>3,423,025.74</b>	<b>142,449.39</b>	<b>1,680,348.25</b>	<b>1,391,218.09</b>	<b>(146,680.77)</b>
		<b>Total State \$ Aging Cluster Pass Through Awards, CA Dept. of Aging</b>			<b>279,756.00</b>	<b>-</b>	<b>-</b>	<b>118,908.00</b>	<b>118,908.00</b>
		<b>Total Medicaid Cluster Pass Through Awards, CA Dept. of Aging</b>			<b>2,058,225.50</b>	<b>131,525.34</b>	<b>643,839.23</b>	<b>645,372.64</b>	<b>133,058.75</b>
		<b>Total TANF Cluster Pass Through Awards, CA Dept. of Social Services</b>			<b>1,571,163.81</b>	<b>110,048.94</b>	<b>259,143.71</b>	<b>311,773.43</b>	<b>162,678.66</b>
		<b>Total Federal Other \$ Pass Through Awards, CA Dept. of Aging</b>			<b>1,277,884.58</b>	<b>87,930.01</b>	<b>382,665.00</b>	<b>445,155.71</b>	<b>150,420.72</b>
		<b>Total Other State \$ Pass Through Awards, CA Dept. of Aging</b>			<b>552,020.15</b>	<b>35,062.31</b>	<b>324,647.29</b>	<b>214,460.14</b>	<b>(75,124.84)</b>
		<b>Total Federal Other Pass Through Awards</b>			<b>4,275,482.91</b>	<b>656,170.01</b>	<b>974,351.82</b>	<b>1,223,223.15</b>	<b>905,041.34</b>
		<b>Total Department of Health and Human Services</b>			<b>14,071,293.69</b>	<b>1,207,030.88</b>	<b>4,404,704.34</b>	<b>4,480,003.81</b>	<b>1,282,330.35</b>
		<b>Corporation for National and Community Services</b>							
		<b>Direct Awards</b>							
94.011	60493	FOSTER GRANDPARENT 10/H1	03	08SFPCA005	305,917.00	35.89	-	(35.89)	-
94.011	60525	FOSTER GRANDPARENT 11/H2	03	11SFPCA005	305,907.00	102,994.15	110,905.00	264.98	(7,645.87)
94.011	60567	FOSTER GRANDPARENT 12/H3	03	11SFPCA005, Amendment 1	305,907.00	-	192,326.00	307,692.86	115,366.86
94.016	60494	SENIOR COMPANION 10/H1	03	08SCPA006- AM5	99,016.12	(372.67)	(372.67)	-	-
94.016	60526	SENIOR COMPANION 11/H2	03	11SCPA004	79,036.00	20,331.13	19,938.00	(393.13)	-
94.016	60568	SENIOR COMPANION 12/H3	03	11SCPA004, Amendment 1	79,036.00	-	38,098.00	76,276.98	38,178.98
		<b>Sub-Total Foster Grandparent/Senior Companion Cluster Direct Awards</b>			<b>1,174,819.12</b>	<b>122,988.50</b>	<b>360,894.33</b>	<b>383,805.80</b>	<b>145,899.97</b>
		<b>Pass Through Awards</b>							
		CSU, Trustees							
94.005	64161	MUSEUM STUDENT SERV/CO/CNCS	03	X0026110-CHAUX	20,920.16	13,269.24	13,686.60	417.36	-
		<b>Sub-Total Other Pass Through Awards, CSU, Trustees</b>			<b>20,920.16</b>	<b>13,269.24</b>	<b>13,686.60</b>	<b>417.36</b>	<b>-</b>
		Duke University							
94.005	64142	EASL/DUKE/CNCS	02	11-CNCS-1048	46,500.00	22,002.81	22,042.68	4,146.10	4,106.23
94.005	64310	EASL RESEARCH PROJECT 12/H3	02	13-CNCS-1012	5,000.00	-	-	4,047.67	4,047.67
		<b>Sub-Total R &amp; D Pass Through Awards, Duke University</b>			<b>51,500.00</b>	<b>22,002.81</b>	<b>22,042.68</b>	<b>8,193.77</b>	<b>8,153.90</b>
		<b>Total Foster Grandparent/Senior Companion Cluster Direct Awards</b>			<b>1,174,819.12</b>	<b>122,988.50</b>	<b>360,894.33</b>	<b>383,805.80</b>	<b>145,899.97</b>
		<b>Total R&amp;D Pass Through Awards</b>			<b>51,500.00</b>	<b>22,002.81</b>	<b>22,042.68</b>	<b>8,193.77</b>	<b>8,153.90</b>
		<b>Total Other Pass Through Awards</b>			<b>20,920.16</b>	<b>13,269.24</b>	<b>13,686.60</b>	<b>417.36</b>	<b>-</b>
		<b>Total Corporation for National and Community Services</b>			<b>1,247,239.28</b>	<b>158,260.55</b>	<b>396,623.61</b>	<b>392,416.93</b>	<b>154,053.87</b>
		<b>Department of Homeland Security</b>							
		<b>Pass Through Awards</b>							
		CSU, Trustees							
97.067	64317	CSU HOMELAND SEC GRANT FY10	03	X0068212-CHAUX	23,022.37	-	23,022.37	23,022.37	-
97.073	64238	CSU HOMELAND SEC GRANT FY09	03	X0020109-CHAUX	13,932.08	13,932.08	13,932.08	-	-
		<b>Sub-Total Other Pass Through Awards, CSU, Trustees</b>			<b>36,954.45</b>	<b>13,932.08</b>	<b>36,954.45</b>	<b>23,022.37</b>	<b>-</b>
		<b>Total Department of Homeland Security</b>			<b>36,954.45</b>	<b>13,932.08</b>	<b>36,954.45</b>	<b>23,022.37</b>	<b>-</b>
		<b>Total Research &amp; Development Direct Awards</b>			<b>3,965,177.76</b>	<b>304,256.07</b>	<b>956,639.24</b>	<b>960,489.25</b>	<b>308,106.08</b>
		<b>Total Other Direct Awards</b>			<b>15,502,214.01</b>	<b>838,681.06</b>	<b>4,041,512.23</b>	<b>4,595,180.74</b>	<b>1,392,349.57</b>
		<b>Total Highway Planning and Construction Cluster Direct Awards</b>			<b>116,874.22</b>	<b>-</b>	<b>12,214.74</b>	<b>12,214.74</b>	<b>-</b>
		<b>Total TRIO Cluster Direct Awards</b>			<b>6,643,407.89</b>	<b>214,785.26</b>	<b>1,897,481.51</b>	<b>2,190,878.01</b>	<b>508,181.76</b>
		<b>Total Teacher Quality Partnership Grants Cluster Direct Awards</b>			<b>2,794,574.78</b>	<b>145,949.81</b>	<b>1,060,139.05</b>	<b>1,114,343.14</b>	<b>200,163.90</b>
		<b>Total Foster Grandparent/Sr Companion Cluster Direct Awards</b>			<b>1,174,819.12</b>	<b>122,988.50</b>	<b>360,894.33</b>	<b>383,805.80</b>	<b>145,899.97</b>
		<b>Total Research &amp; Development Pass Through</b>			<b>2,074,268.09</b>	<b>230,526.30</b>	<b>845,355.63</b>	<b>720,554.75</b>	<b>105,725.42</b>
		<b>Total Child Nutrition Cluster Pass Through</b>			<b>62,927.44</b>	<b>-</b>	<b>31,084.02</b>	<b>31,084.02</b>	<b>-</b>
		<b>Total SNAP Cluster Pass Through</b>			<b>12,138,238.38</b>	<b>1,298,704.05</b>	<b>2,584,707.36</b>	<b>3,688,706.00</b>	<b>2,402,702.69</b>
		<b>Total WIA Cluster Pass Through Awards</b>			<b>1,575,045.07</b>	<b>76,460.51</b>	<b>333,143.42</b>	<b>266,061.55</b>	<b>9,378.64</b>
		<b>Total R&amp;D/WIA Cluster Pass Through Awards</b>			<b>67,964.94</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
		<b>Total Aging Cluster Pass Through Awards</b>			<b>3,423,025.74</b>	<b>142,449.39</b>	<b>1,680,348.25</b>	<b>1,391,218.09</b>	<b>(146,680.77)</b>
		<b>Total Other Pass Through Awards, CA Dept. of Aging</b>			<b>1,277,884.58</b>	<b>87,930.01</b>	<b>382,665.00</b>	<b>445,155.71</b>	<b>150,420.72</b>
		<b>Total Other State \$ Aging Cluster Pass Through Awards, CA Dept. of Aging</b>			<b>279,756.00</b>	<b>-</b>	<b>-</b>	<b>118,908.00</b>	<b>118,908.00</b>
		<b>Total Other State \$ Pass Through Awards, CA Dept. of Aging</b>			<b>552,020.15</b>	<b>35,062.31</b>	<b>324,647.29</b>	<b>214,460.14</b>	<b>(75,124.84)</b>
		<b>Total Medicaid Cluster Pass Through Awards</b>			<b>2,058,225.50</b>	<b>131,525.34</b>	<b>643,839.23</b>	<b>645,372.64</b>	<b>133,058.75</b>
		<b>Total TANF Cluster Pass Through Awards</b>			<b>1,571,163.81</b>	<b>110,048.94</b>	<b>259,143.71</b>	<b>311,773.43</b>	<b>162,678.66</b>
		<b>Total Other Pass Through Awards</b>			<b>14,916,773.36</b>	<b>1,965,131.09</b>	<b>4,783,721.86</b>	<b>4,353,293.62</b>	<b>1,534,702.85</b>
		<b>Total Federal and Federal Pass Through ARRA Awards</b>			<b>640,091.95</b>	<b>(0.35)</b>	<b>1,333.00</b>	<b>1,333.35</b>	<b>-</b>
		<b>Total Federal and Federal Pass Through Awards</b>			<b>69,362,584.69</b>	<b>5,669,436.33</b>	<b>19,872,889.58</b>	<b>21,110,131.49</b>	<b>6,906,678.24</b>
		<b>Total State and Other Awards Through CA Dept. of Aging</b>			<b>831,778.15</b>	<b>35,062.31</b>	<b>324,647.29</b>	<b>333,368.14</b>	<b>43,783.16</b>
		<b>Grand Total Federal, Federal Pass Through and CDA Other Awards</b>			<b>\$ 70,194,360.84</b>	<b>\$ 5,704,498.64</b>	<b>\$ 20,197,536.87</b>	<b>\$ 21,443,499.63</b>	<b>\$ 6,950,461.40</b>

**SCHEDULE OF SUPPLEMENTARY INFORMATION  
(CSU FORMAT)**

*The CSU, Chico  
Research Foundation  
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June 30, 2013	<u>Current Unrestricted</u>	<u>Noncurrent Unrestricted</u>	<u>Total</u>
<b>INVESTMENTS HELD BY THE UNIVERSITY UNDER CONTRACTUAL AGREEMENT</b>			
Portion of investments held by the University under contractual agreements	\$ -	\$ -	\$ -
			<b>Amount</b>
<b>NET POSITION - NET INVESTMENT IN CAPITAL ASSETS</b>			
Capital assets - net of accumulated depreciation			\$ 15,276,206
Long-term debt obligations - current portion			(680,573)
Long-term debt obligations - net of current portion			(4,159,789)
Other:			
OID prepaid interest			38,264
Bond reserves			310,756
Interest payable			(15,210)
<b>Net Position - Net Investment in Capital Assets</b>			<u>\$ 10,769,654</u>

*See notes to the supplementary information.*

**SCHEDULE OF SUPPLEMENTARY INFORMATION  
(CSU FORMAT)**

*The CSU, Chico  
Research Foundation  
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June 30, 2013

**Amount**

**OTHER POSTEMPLOYMENT BENEFITS OBLIGATION (OPEB)**

Annual Required Contribution (ARC)	\$ 21,456
Contributions	<u>(16,978)</u>
<b>Increase in Net OPEB Obligation (NOO)</b>	4,478
<b>NOO - Beginning of Year</b>	<u>552,934</u>
<b>NOO - End of Year</b>	<u>\$ 557,412</u>

*See notes to the supplementary information.*

## 1. FUND ACCOUNTING AND COMPARATIVE FINANCIAL INFORMATION

### Fund Accounting

The accounts of the Research Foundation are maintained in accordance with the principles of fund accounting. Under fund accounting, resources for various purposes are classified for accounting and reporting purposes into funds established according to their nature and purpose. The funds are organized as follows:

*General Fund:* This fund is used to account for all financial resources except those required to be accounted for in another fund. This fund recognizes as income various administrative fees from other funds.

*Plant and Bond Fund:* This fund is used to account for acquisition of physical properties and funds expended and invested in physical properties for the General Fund, Board Designated Fund, Sponsored Programs Fund, and Campus Programs Fund.

In addition, this fund is used to account for the bond construction and the principal, interest, and reserve accounts. Bond proceeds are deposited into the bond construction account. The proceeds are used to finance the construction and acquisition of buildings and equipment as required by the Bond's resolution. The principal, interest, and reserve account is used to account for the payment obligations of the Research Foundation Auxiliary Organization Bond Series 2003.

*Board Designated Fund:* This fund is used to account for all amounts specifically allocated by the Board of Directors to certain programs. These funds are used primarily for the development of grant and contract proposals. Funding is also allocated to support and to finance other CSU, Chico, related projects. The amount expended from Board designations amounted to \$235,396 and \$93,161 in 2013 and 2012, respectively. The amount expended from incentive accounts amounted to \$901,293 and \$1,337,605 in 2013 and 2012, respectively.

*Auxiliary Activities Fund:* This fund is used to account for the operations of the University Farm, KCHO radio station, and other enterprise activities. These operations reimburse the General Fund for accounting and data processing administration expenses based on the usage of these services.

*Sponsored Programs Fund:* This fund reflects the activity of various programs designed for instruction, research, and community services. These programs are funded by federal and state governmental agencies and various other organizations. The General Fund receives administrative fees to cover the indirect overhead costs of these programs.

*Campus Programs Fund:* This fund accounts for certain special activities of Research Foundation-affiliated programs. These funds are carried as unrestricted net position. The Research Foundation receives an administrative fee equal to 8% of Campus Program Fund expenditures.

**Comparative Financial Information**

The schedules of net position and schedules of revenues, expenses, and changes in net position include prior-year summarized comparative information in total, but not by net position class. Such information does not include sufficient detail to constitute a presentation in conformity with generally accepted accounting principles. Accordingly, such information should be read in conjunction with the Research Foundation’s financial statements for the year ended June 30, 2012, from which the summarized information was derived.

**2. SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**

The accompanying schedule of expenditures of federal awards includes the federal grant activity of the Research Foundation and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

**3. INTERFUND RECEIVABLES AND PAYABLES**

The Research Foundation records direct interfund receivables and payables as they occur. As a result of the use of a general checking account for all funds except the Agriculture Fund and some sponsored program funds, indirect interfund receivable and payable entries are recorded upon receipt, disbursement, or transfer of amounts among funds. Interfund receivables and payables include long-term notes payable as follows:

	<u>2013</u>	<u>2012</u>
The Farm in the Auxiliary Activities Fund has a note payable to the General Fund for the front-end loader to be repaid in annual installments in the amount of \$14,000 plus interest, which accrues at the LAIF rate plus 1.00% (effectively 1.37% at June 30, 2012).	\$ -	\$ 53,591

**NOTES TO THE SUPPLEMENTARY INFORMATION**

June 30, 2013 and 2012

*The CSU, Chico  
Research Foundation***4. NET POSITION RESERVES**

The Board, under guidelines established by the Chancellor's Office, developed policies that reserve the net position of the Research Foundation. Future increases will also be reserved by these policies.

Reservations are as follows for the year ended June 30, 2013:

	<b>General Fund</b>	<b>Plant and Bond Fund</b>	<b>Activities Fund</b>
Investment in plant, equipment, and breeding herd	\$ -	\$ 9,066,517	\$ 1,335,511
Inventory reserve	-	-	178,455
Reserve for working capital	601,133	-	327,921
Capital replacement reserve	65,000	-	160,000
<b>Total Net Position Reserves</b>	666,133	9,066,517	2,001,887
Available for general purposes	779,261	-	636,079
<b>Total Net Position</b>	<b>\$ 1,445,394</b>	<b>\$ 9,066,517</b>	<b>\$ 2,637,966</b>

**OTHER REPORTS SECTION**



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**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

To the Board of Directors  
The CSU, Chico Research Foundation  
Chico, California

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the business-type activities of CSU, Chico Research Foundation (the Research Foundation), as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the Research Foundation's basic financial statements, and have issued our report thereon dated September 20, 2013.

***Internal Control Over Financial Reporting***

In planning and performing our audit of the financial statements, we considered the Research Foundation's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Research Foundation's internal control. Accordingly, we do not express an opinion on the effectiveness of the Research Foundation's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the Research Foundation's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

***Compliance and Other Matters***

As part of obtaining reasonable assurance about whether the Research Foundation's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.



**INDEPENDENT AUDITORS' REPORT ON INTERNAL  
CONTROL OVER FINANCIAL REPORTING AND ON  
COMPLIANCE AND OTHER MATTERS BASED ON AN  
AUDIT OF FINANCIAL STATEMENTS PERFORMED IN  
ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

Continued

**Purpose of This Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Research Foundation's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Research Foundation's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

*Matson and Isom*

September 20, 2013  
Chico, California



MATSON  
& ISOM

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE  
FOR EACH MAJOR FEDERAL PROGRAM AND ON  
INTERNAL CONTROL OVER COMPLIANCE REQUIRED  
BY OMB CIRCULAR A-133**

To the Board of Directors  
The CSU, Chico Research Foundation  
Chico, California

**Report on Compliance for Each Major Federal Program**

We have audited CSU, Chico Research Foundation's (the Research Foundation) compliance with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133 *Compliance Supplement* that could have a direct and material effect on each of the Research Foundation's major federal programs for the year ended June 30, 2013. The Research Foundation's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

***Management's Responsibility***

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its federal programs.

***Auditors' Responsibility***

Our responsibility is to express an opinion on compliance for each of the Research Foundation's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Research Foundation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Research Foundation's compliance.

***Opinion on Each Major Federal Program***

In our opinion, the Research Foundation complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2013.

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE  
FOR EACH MAJOR FEDERAL PROGRAM AND ON  
INTERNAL CONTROL OVER COMPLIANCE REQUIRED  
BY OMB CIRCULAR A-133**

Continued

**Report on Internal Control Over Compliance**

Management of the Research Foundation is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Research Foundation's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Research Foundation's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of the testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

*Matson and Isom*

September 20, 2013  
Chico, California

**FINDINGS AND QUESTIONED COSTS SECTION**

**SCHEDULE OF FINDINGS AND  
QUESTIONED COSTS**

June 30, 2013

*The CSU, Chico  
Research Foundation*

**A. SUMMARY OF AUDITORS' RESULTS**

1. The auditors' report expresses an unmodified opinion on the financial statements of The CSU, Chico Research Foundation (the Research Foundation).
2. No deficiencies relating to internal control over financial reporting are reported in the Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With *Government Auditing Standards*, or in part B of this schedule. No deficiencies relating to internal control over compliance is reported or included in part C of this schedule.
3. No instances of noncompliance material to the financial statements of the Research Foundation were disclosed during the audit.
4. No deficiencies relating to the audit of the major federal award programs are reported in the Independent Auditors' Report on Compliance for Each Major Federal Program and on Internal Control Over Compliance Required by OMB Circular A-133.
5. The auditors' report on compliance for the major federal award programs for the Research Foundation expresses an unmodified opinion.
6. There are no findings relative to the major federal award programs for the Research Foundation reported in part C of this schedule.
7. The programs tested as major programs include:

<b>Aging Cluster</b>	<b>CFDA No.</b>
Special Programs for the Aging – Title III, Part B – Grants for Supportive Services and Senior Centers	93.044
Special Programs for the Aging – Title III, Part C – Nutrition Services	93.045
Nutrition Services Incentive Program	93.053
 <b>Other Aging Programs</b>	
National Family Caregiver Support, Title III, Part E	93.052
Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	93.779
 <b>SNAP Cluster</b>	
Supplemental Nutrition Assistance Program (No expenditures in 2012-2013)	10.551
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561
8. The threshold for distinguishing Types A and B programs was \$633,304.
9. The Research Foundation was determined to be a low-risk auditee.

**SCHEDULE OF FINDINGS AND  
QUESTIONED COSTS**

June 30, 2013

*The CSU, Chico  
Research Foundation*

**B. FINDINGS  
FINANCIAL STATEMENTS AUDIT**

None.

**SCHEDULE OF FINDINGS AND  
QUESTIONED COSTS**

June 30, 2013

*The CSU, Chico  
Research Foundation*

**C. FINDINGS  
FEDERAL AWARDS AUDIT**

None.

None.



**SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**  
June 30, 2013

*The CSU, Chico  
Research Foundation*

None.